Academic Affairs
2017-18 Handbook
for Chairs, Program Directors,
and Academic Coordinators
HANDBOOK FOR DEPARTMENT CHAIRS, PROGRAM DIRECTORS, AND ACADEMIC COORDINATORS

2017-2018
HELP! Who to Contact at Middlebury College
if you need information about…

Anderson Freeman Resource Center ........................................... Jennifer Herrera (5743)
Benefits, Human Resources – Health and Welfare .......................... Lisa Hoff (3372)
Benefits, Human Resources - Retirement ..................................... Franklin Daniel (5755)
Book orders and course packs for classes ................................. Dean Leary (2158)
Budgets (department) ............................................................. Lynn Dunton (3085)
Budgets (program enrichment funds) ........................................ Lynn Dunton (3085)
Calendar (Academic) information .............................................. LeRoy Graham (5669)
Catalogue: Course .................................................................... Kathleen Parent (2207)
Catalogue: Winter Term ............................................................. Kathleen Parent (2207)
Center for Teaching, Learning & Research/Peer Tutoring ............... JoAnn Brewer (3131)
Class lists...................................................................................... Department Coordinator
Classroom absenteeism ............................................................. Commons Dean
Commencement information .................................................... Lisa Ayers (2885)
Commencement information (Summer Schools) ......................... Beth Karnes Keefe (5685)

Computer Help Desk ............................................................... (2200)
Computers: Academic computing .............................................. Mary Backus (5711)
Contracts, employment ............................................................. Donna McDurfee (5380)
Convocation information .......................................................... Lisa Ayers (2885)
Council on Reviews ................................................................. Donna McDurfee (5380)
Course Response Forms ........................................................... Academic Affairs (5735)
Diversity Initiatives .................................................................... Miguel Fernandez (5792)
Education Technology ............................................................. Shel Sax (5679)
Educational Affairs Committee .................................................. Donna McDurfee (5380)
Elections to faculty committees ................................................ Donna McDurfee (5380)
Environmental Health and Safety ............................................. Jen Kazmierczak (5726)
External Department Reviews ................................................... Sandra King (2007)
Faculty housing ........................................................................ Pam Norton (5504)
Faculty meetings (scheduling, agenda) ...................................... Donna McDurfee (5380)
Faculty development funds (including travel to conferences) ....... Lynn Dunton (3085)
Film orders ................................................................................ Sue Driscoll (2048)
First-Year Seminars ................................................................... James Berg (5709)
Honor code (Cheating on tests, plagiarism, etc.) ......................... Karen Guttenberg (2024)
International Student and Faculty Support ................................ International Student & Scholar Services (5858)
Interview scheduling for recruiting ............................................. Charlene Barrett (5289)
Lecture series (symposia) ........................................................... Sandra King (2007)
Library: general questions ....................................................... Circulation (2000)
Matching Funds (Cost-Share) for Faculty Grants ......................... Franci Farnsworth (5889)
Media Services .......................................................................... Help Desk (2200)
Moving expenses: (new faculty) ............................................... Charlene Barrett (5289)
Moving expenses: (faculty leave moving) ................................... Lynn Dunton (3085)
New Faculty Events ................................................................. Sandra King (2007)
Off-Campus Study/Study Abroad .............................................. Beth Miller (5745)
Office assignments .................................................................... Kathleen Parent (2207)
Scheduling, Courses ............................................................... Registrar’s Office (5770)
Scheduling, Events ................................................................. Events Management (2885)
Sexual harassment ..................................................................... Susan Parsons Ritter (2246)

Sponsored Research (external faculty grants) ............................ Franci Farnsworth (5889)
Start-up Funds ........................................................................... Lynn Dunton (3085)
Student employment ............................................................... Student Employment Office (5377)
Student fellowships ................................................................... Colleen Norden (3026)
Student internships ................................................................. Peggy Burns (3450)
Taxes ......................................................................................... Catherine Tobin (5633)
Telephone Operation questions ................................................ Ginny Bukowski (5657)
Threat Assessment .................................................................... Jennifer Kazmierczak (5726)
Winter Term ................................................................................. Kathleen Parent (2207)
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CHAIRS’ AND COORDINATOR CALENDAR 2017-2018

Note: Dates provided here are subject to change! Please check email announcements carefully in case dates shift.

BEGINNING OF EACH MONTH

General Deadlines:

✓ Faculty Development Professional Fund (FPDF): deadline at least 1 month before date of conference or research travel with a final deadline of June 1. Undergraduate Collaborative Research Fund (UCRF) – Ongoing with a final deadline of March 1 for Spring term projects

✓ Scholarly Publication Subvention Fund (SPSF): ongoing, providing funds are still available

✓ Textbook Orders Due: May 1 for Fall, Nov. 1 for Winter Term, and Dec. 1 for Spring

✓ Religious Holidays: please refer to the Academic Calendar

AUGUST 2017

✓ Coursepacks, Fall 2017 – if you have faculty using coursepacks for Fall Term, the Master Coursepack (clean copies) should be submitted to The College Store

✓ Returning faculty have access to offices. New faculty can move into offices after Aug. 15

✓ Update placement exams for new students and send to Reprographics (Chemistry, French, German, Latin, Psychology, Russian, Spanish)

✓ Enter placement exam results in Banner before registration begins

✓ Finalize updates to Department Web Page(s)

8/16-26 Bread Loaf Writers’ Conference
8/18 VT Language Schools Commencement
8/22-23 Faculty Teaching and Writing Retreat - Mountain Top Inn & Resort, Chittenden, VT
8/28-8/29 New Faculty Orientation, Hillcrest 103
8/29 New Faculty Dinner, Kirk Alumni Center, 6:00 p.m.
8/30 Chairs/Directors Meeting, 1:30-5:00 p.m., Kirk Alumni Center
8/31-9/3 Alumni College
 Coordinator and Chair should meet to discuss office procedures, recruiting updates, faculty reviews, department events and lectures, budget information, etc.

 Forward any graduate information that the Coordinator or department faculty may have received regarding previous majors to College Advancement

 Post Fall class schedule and faculty office hours

 Remind faculty that any course scheduling changes they initiate with the Scheduling Office should be copied to the Department Coordinator

 Remind Senior majors to submit the On-line Intent to Graduate/Diploma Application found on the Registrar’s Office website under Information for Students

 Remind Faculty in your department that student course warnings can be issued any time during the semester - students who receive warnings receive assistance from Commons Deans and may make dramatic improvements in the course.

 Note: For this year, the space-change request process will happen in September. An email for next fiscal year operationally-funded space projects will come from Mary Stanley.

 9/4-10 Fall MiddView: Orientation schedule available on the web: go.middlebury.edu/middview

 9/5 Academic Forum 2:00-4:00 p.m., Kenyon Arena

 9/7 Banner Registration for new students

 9/8 Summer Study informational/reminder email from Dean of Curriculum

 9/8 Faculty Meeting, 9:00 a.m., Bread Loaf Campus

 9/10 Convocation, 7:30 p.m., Mead Chapel (faculty line up on Old Chapel Road at 7:00 p.m.)

 9/11 Fall Term classes begin (updated course rosters can be printed from reports available in Hyperion)

 9/15 New Winter Term course proposals due and Spring 2018 course proposals due from new faculty and faculty returning from leave. All proposals must be submitted to the Kathleen Parent, electronically for consideration by the Curriculum Committee. Forms are available at go.middlebury.edu/proposalforms.

 9/18 Chairs/Directors Meeting, 4:30 p.m., Axinn 229

 9/18 Summer Study course proposals due to Kathleen Parent, kparent@middlebury.edu
9/19  CAOS Meeting 10:00-11:30 a.m., Mitchell Green Lounge, McCullough
9/21  CAOS Council Meeting, 10:00-11:00, OCH 204
9/21-23 Clifford Symposium
9/22  Banner Preprinted Course Forms for Winter Term and Spring 2018: Available for pick-up in Old Chapel.
9/22  Deadline for Winter Term 2018 catalog changes (proposed changes must be submitted electronically to Kathleen Parent)
9/22  Last day to add academic courses (add cards)
9/29  Last day to add/drop Fall II PE courses (online)
9/30  Deadline for Departmental Faculty Research Assistant Fund (FRAF).

OCTOBER 2017

✔ Prior to scheduling lectures or events that may appeal to a wide campus audience, refer to the Master Calendar on the Middlebury College Web Page

✔ RECRUITING REMINDER: Candidate lectures should not be scheduled in conflict with Faculty Meetings, Chairs Meetings or Carol Rifelj Faculty Lecture Series (Wed. @ 4:30 p.m.). Check the Calendar of Events before scheduling!

✔ Forward any information that the Coordinator or department faculty may have received regarding the activities of alumni to College Advancement

10/1  Senior Research Project Supplement Deadline: Check the URO webpage (go/uro)
10/2  Winter Term and Spring 2018 Banner Course Forms due from faculty to coordinator.
10/3  Winter Term and Spring 2018 Banner Course Forms due by NOON. Academic Coordinators submit completed course forms to Kathleen Parent in Old Chapel.
10/4-10/13 Winter Term and Spring 2018 Banner data entry and review.
10/6  Faculty Small Group Meetings, 2:45 p.m., Axinn 103, 104, 109, 219, 220
10/6  Last day to invoke Pass/D/Fail option
10/9  Chairs/Directors Meeting, 4:30 p.m., Axinn 229
10/13 Fall Faculty Symposium, 1:00-6:00 p.m., Axinn
10/13-15  Fall Family Weekend
10/13    Last day to drop fall courses and to revoke Pass/D/Fail option and to return to standard letter grading

Mid-Oct   Curriculum Committee makes Summer Study 2018 course recommendations to the VPAA
10/16    Winter Term and Spring 2018 Scheduling Freeze (until draft schedule is posted on the web)
10/17    CAOS Meeting, 10:00-11:30 Mitchell Green Lounge, McCullough
10/19    CAOS Council Meeting, 10:00-11:00, Old Chapel 204
10/19-21  Trustee Board Meeting
10/23-24  Midterm Recess
10/25    Classes Resume (8:00 a.m.)
10/25    Spring 2018 – Alternate PINs generated. Deadline for coordinators to add faculty advising hours to the office hours to the web
10/26    Advising Period Begins: Students begin to request instructor approval or restriction overrides from instructors, schedule advising meetings, and obtain Alt PINs (if assigned)
10/26    Draft of Winter Term and Spring 2018 Course Schedule available on web
10/26    Winter Term 2018 Catalog available on the Web
10/27    Department Coordinators enter Placement Exam results into Banner before Spring 2018 Round One begins. (Chemistry, French, German, Latin, Psychology, Russian, Spanish)
10/27-29  Homecoming
10/27    Winter Term: Deadline to apply to departments for “approval-required” courses
10/30    Chairs’ letters for Fall Reviews due. The full review schedule is available on the web at go.middlebury.edu/reviews.

NOVEMBER 2017

**Winter Term Registration**

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<tr>
<th>Date</th>
<th>Day</th>
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<tbody>
<tr>
<td>10/30</td>
<td>M</td>
<td>Winter Term Registration for students w/8-16.99 credits earned</td>
</tr>
<tr>
<td>10/31</td>
<td>T</td>
<td>Winter Term Registration for students w/3-7.99 credits earned</td>
</tr>
<tr>
<td>11/1</td>
<td>W</td>
<td>Winter Term Registration for students w/17-36+ credits earned</td>
</tr>
</tbody>
</table>
11/1 Notification to department/program directors to begin teaching load planning for 2018-19

11/1 Winter Term book orders due to Dean Leary at The College Store

11/1 Winter Term 2018 ADD/DROP Period begins online (through November 15) Students needing add/drop signatures for Visiting Instructor’s courses should be referred to Kathleen Parent in Old Chapel 107.

11/2 Spring 2018: Registration Round One Opens at Noon (practice round) Students continue to request instructor approval or restriction overrides.

11/3 Faculty Plenary Session, 2:45 p.m., McCardell Bicentennial Hall 216

11/6 Department Chairs/Program Directors Meeting, 4:30 p.m., Axinn 229

11/7 Deadline for students to settle financial accounts for registration

11/7 Spring 2018 Registration Round One Ends Students should have obtained overrides and waivers prior to registering.

11/9 Curriculum Committee makes Summer Study 2017 course recommendations to the VPAA

**Spring Term Registration (Round 2)**

| 8  | W | Spring Term Registration for students w/31-36+ credits earned |
| 9  | H | Spring Term Registration for students w/27-30.99 credits earned |
| 10 | F | Spring Term Registration for students w/21-26.99 credits earned |
| 13 | M | Spring Term Registration for students w/17-20.99 credits earned |
| 14 | T | Spring Term Registration for students w/13-16.99 credits earned |
| 15 | W | Spring Term Registration for students w/8-12.99 credits earned |
| 16 | H | Spring Term Registration for students w/3-7.99 credits earned |

11/14 CAOS Meeting, 10:00-11:30, Mitchell Green Lounge, McCullough

11/15 New major and program proposals for 2018-19 due to the Educational Affairs Committee. All proposals for new majors and programs should be submitted by email to the Dean of Curriculum.

*Changes to existing majors, programs, and minors are due to the Curriculum Committee, kparent@middlebury.edu on 1/15/18.

Mid-Nov Summer Study 2018 course decisions communicated to proposing faculty

11/16 CAOS Council Meeting, 10:00-11:00, Old Chapel 204
Final course changes due to Kathleen Parent by 5:00 pm (such as section size, opening blind section, new section info, etc.).

NOTE: Emily Proctor, Dean of Curriculum must first approve new sections or changes in enrollment-please forward email approval from Emily Proctor with requests.
- Coordinators review all active blind sections and notify Kathleen Parent of those sections that are needed.
- Contact students on waitlists for new sections opened. Must register through Registrar’s Office in Forest.

ADD/DROP Period begins for Spring 2018

Thanksgiving Recess (4:15 p.m. Faculty with courses or screenings scheduled for Tuesday evening should arrange for a make-up time if desired.)

Classes Resume (8:00 a.m.)

Deadline for Winter Term 2017 Internships for credit—submit to CCI

DECEMBER 2017

- Information relating to 2018-2019 departmental budget proposals will be provided by the Budget Office this month.
- Student Scheduled Exam deadlines: email from Registrar Office
- For grades on independent projects: remind faculty to use an "S" for a continuing project
- Request and file (from faculty) Fall semester course syllabi

Deadline for submitting requests to EAC for additional course sections/staffing Requests for Spring 2018

Spring Term book orders due to Dean Leary at The College Store

Chairs/Directors Meeting, 4:30 p.m., Axinn 229

Deadline for faculty to submit teaching load forms for Dept. Chair review via BannerWeb.

Fall Term Classes End (4:15 p. m.)

Exam Period (Monday 11th- and Thurs. 14th Reading Days)

Faculty Plenary Session, 2:45 p.m., MBH 216

CAOS Meeting, 10:00-11:30, MBH 219
12/13 Submit names of faculty teaching first-year seminars for 2018-19 to Jim Berg and Diane Burnham.

12/14 CAOS Council Meeting, 10:00-11:00, Old Chapel 204

Mid-Dec Summer Study 2018 course offerings announced to students

12/17 Recess Begins (10:00 p.m.)

<table>
<thead>
<tr>
<th>JANUARY 2018</th>
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<tbody>
<tr>
<td>✓ Post Winter Term class schedule and faculty's office hours</td>
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<tr>
<td>✓ Prior to scheduling lectures or events that may appeal to a wide campus audience, refer to the Master Calendar on the Middlebury College website</td>
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<tr>
<td>✓ Begin to assist Chair with preparation of Teaching Report</td>
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<tr>
<td>✓ New/replacement capital equipment and repair budgets due to Dean of Curriculum</td>
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<tr>
<td>✓ FY19 Departmental &amp; program budget requests due to Budget Office</td>
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<tr>
<td>✓ Feb 2018 First Year Online Registration</td>
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<tr>
<td>✓ Update placement exams for new February students and send to Printing Services</td>
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<tr>
<td>✓ Send New Student Placement Exam information to Dean of Students for Arrival Packets (only for specific departments)</td>
</tr>
<tr>
<td>✓ Mid-year budget check to compare actual and budgeted expenses</td>
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</tbody>
</table>

1/2 Fall Grades due at Noon

1/8 Chairs/Directors Meeting, 3:00-5:00 p.m., Axinn 229

1/8 Winter Term Classes begin (8:00 a.m. updated course rosters can be printed from reports available in Hyperion)

1/9 CAOS Meeting, 10:00-11:30 a.m., MBH 219

1/10 Winter Term Add/Drop Period ends
Updated course rosters will be available in Hyperion 24 hours after the Registrar’s office has completed their data entry of the add/drop cards

1/12 Faculty Plenary Session, 1:45 p.m., MBH 216

1/15 Department Staffing Reports due (Teaching Load Form) via BannerWeb.

1/15 Proposed changes in requirements for majors, programs and minors for 2018-19
Due to Curriculum Committee (submit electronically to Kathleen Parent)

1/18  CAOS Council Meeting, 10:00-11:00 a.m., Old Chapel 204

1/25-27  Trustee Board Meeting

1/29  Chairs’ letters for Winter Term reviews due. The full review schedule is available on the web at go.middlebury.edu/reviews.

1/30  Annual Information for Faculty Salaries due

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FEBRUARY 2018

✓ Post Spring class schedule and faculty office hours

✓ Forward any graduate information that the Coordinator or department faculty may have received regarding previous majors to College Advancement

✓ Remind faculty that any course scheduling changes they initiate with the Scheduling Office should be copied to the Department Coordinator

✓ Prior to scheduling lectures or events that may appeal to a wide campus audience, refer to the Calendar of Events

✓ Discuss with Chair office assignments for 2018-19

✓ Meeting for Faculty scheduled for leave in 2019-20, Location TBD

✓ Fall Term Banner process begins: Calendar will be sent by Kathleen Parent

✓ Remind Faculty in your department that student course warnings (found on the Registrar’s Office website) can be issued any time during the semester - students who receive warnings receive assistance from Commons Deans and may make dramatic improvements in the course

✓ Chairs’/Directors’ salary meetings with the VPAA begin. Chairs’/Directors’ reports must be submitted to the VPAA (ovpaa@middlebury.edu) at least two business days before the scheduled meeting.

2/2  New Course Proposals and Professors of Practice Proposals for 2018-19 due to Curriculum Committee. All proposals must be submitted to Kathleen Parent electronically for consideration by the Curriculum Committee. Forms are available at go.middlebury.edu/proposalforms.

2/2  Winter Term classes end; Recess begins (4:15 p.m.)

2/3  Celebration for mid-year graduates

2/5  First-year seminar proposals for 2017-18 due. All proposals must be submitted to Diane Burnham electronically for consideration by Jim Berg, FYS Director, and the Curriculum Committee. Forms are available at go.middlebury.edu/proposalforms.
2/6  CAOS Meeting, 10:00-11:30 a.m., MBH 219
2/7  Spring Orientation Begins (Incoming Febs)
2/9  Registration for new Feb students (online)
2/9  Requests for Office Assignments for 2018-19 due to Kathleen Parent
2/12 Spring Classes begin (8:00 a.m.)
2/12 Winter Term Grades due by Noon (online BannerWeb for Faculty)

Mid-Feb  EAC review of Teaching Load Forms and Department/Program Staffing reports completed
2/15  CAOS Council Meeting, 10:00-11:00 a.m., Old Chapel 204
2/16  Faculty Small Group Meetings, 2:45 p.m., Axinn 103, 104, 109, 219, 220
2/19  Chairs/Directors Meeting, 4:30 p.m., Axinn Center 229
2/22-24 Winter Carnival Recess (classes end 4:15 on the 22nd)
2/23  Summer Study 2018 course data entry and review
2/26  Classes resume at 8:00 a.m.
2/26  Last day to add spring courses (ends at 5:00 p.m.) and to invoke Pass/D/Fail option
   • Check Independent Project Section A to make sure all students have advisors (and weren’t just added to the “A - Staff Section”)
   • Updated course rosters will be available in Hyperion 24 hours after the Registrar’s office has completed their data entry of the add cards
2/27  Last day to add/drop Spring II PE Courses (online)

MARCH 2018

✓ Make reservations for Senior Reception
✓ Deadline for summer UCRF requests (funding permitting) is early March

3/9  Faculty Plenary Session, 2:45 p.m., MBH 216 (location not yet confirmed)
3/9  Last day to invoke the Pass/D/Fail option
3/13 CAOS Meeting, 10:00-11:30 a.m., Mitchell Green Lounge, McCullough
Mid-Mar  2018-19 Course Catalog available for Coordinators to update.

3/15 CAOS Council Meeting, 10:00-11:00 a.m., Old Chapel 204

3/16 Last day for students to drop fall courses and to revoke Pass/D/Fail option and to return to standard letter grading

3/16-17 College Board of Overseers Meetings

3/19 Chairs/Directors Meeting, 4:30 p.m., Axinn 229

3/23 Spring Recess Begins (4:15 p.m.)

3/26 Chairs’ letters for Spring Reviews due. The full review schedule is available on the web at go.middlebury.edu/reviews.

3/31 All evaluations (Annual Performance Summary) for coordinators and other staff must be completed

**APRIL 2018**

✓ Prepare copies of Seniors’ files for Chair with instructions to review major requirements for graduation

✓ Prospective Students visiting days

✓ Final Leave Schedule available on the web

✓ April Chairs/Directors Meeting will be scheduled by division

✓ Update Department and Program Staffing Report with any changes

✓ Request and electronically file course syllabi from faculty for Spring semester

✓ Tentative list of Department Honors forwarded to Registrar’s Office

✓ Faculty on Leave and Office Moves Forms are located on the Facilities web page—to be completed by either the individual faculty or the Department Coordinator.

✓ Chair/Director should ensure that advisees of faculty going on leave in 2018-19 know who their advisor will be during that year.

**Early Apr**  Summer Study 2018 Registration

4/2  Classes Resume at 8:00 a.m.

4/6  Deadline for all EAC proposals for 2019-20

4/6  Faculty Plenary Session, 2:45 p.m., MBH 216
4/6 Proposals for Winter Term 2019 due from Middlebury faculty and Visiting faculty – submit electronically to Kathleen Parent (Use form, either Visiting or Middlebury Faculty Proposal, available on Academic Affairs webpage at go.middlebury.edu/proposalforms)

4/10 CAOS Meeting, 10:00-11:30 a.m., Mitchell Green Lounge, McCullough

4/19 CAOS Council Meeting, 10:00-11:00 a.m., Old Chapel 204

4/20 Student Research Symposium; classes do not meet

4/30 Chairs/Directors Meeting, 4:30 p.m., Axinn 229

TBD Round 1 and Fall registration dates (online) Department Coordinators enter Placement Exam results into Banner before Fall Round One registration. (Chemistry, French, German, Latin, Psychology, Russian, Spanish)

May 2018

✓ Student Scheduled Exam deadlines: email from Registrar’s Office

✓ Grades: remind faculty to use an "S" on a continuing project

✓ Remind departing faculty to return keys and leave computer

✓ Seniors with double or joint majors need to decide which department they will be marching with during Commencement and notify Registrar's Office

✓ Determine with Chair mail forwarding procedures for faculty going on leave in your department

5/1 Fall Term book orders due to Dean Leary at the College Store

5/8 CAOS Meeting, 10:00-11:30 a.m., Mitchell Green Lounge, McCullough

5/10-12 Trustee Board Meeting

5/14 Spring Term Classes End (4:15 p.m.)

5/15 Faculty Plenary Session, 2:45 p.m., Wilson Hall in McCullough

5/15-16 Reading Days

5/17 CAOS Council Meeting, 10:00-11:00 a.m., Old Chapel 204

5/17-22 Final Exam Period
5/23 Senior Grades due by Noon (online BannerWeb for Faculty)
5/26 Baccalaureate
5/27 Commencement
5/30 Recruiting Report due to VPAA/DOF

Late May Summer Study Information Sessions for Summer 2019

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**JUNE 2018**

- Enrichment Fund Donor Reports Due to Lynn Dunton
- Budget check – prepare for the closing of 2017-18 fiscal year
- Encourage Chair to discuss new software needs with new faculty and submit computer and software request to LIS

Early Jun-Mid Aug Summer Study courses offered

- **6/1** Deadline for Faculty Professional Development Fund (FPDF) applications for current fiscal year - NO APPLICATIONS WILL BE ACCEPTED AFTER THIS DATE FOR CURRENT FISCAL YEAR.

- **6/1** Spring grades for non-Seniors due at Noon
- **6/8-10** Reunion 2017
- **6/22** VT Language Schools 8-week session begins (tentative)
- **6/26** Bread Loaf School of English in Vermont begins
- **6/29** VT Language Schools 7-week session begins (tentative)
- **6/30** Faculty leaving college employment must vacate offices

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**JULY 2018**

- If you maintain an Excel spreadsheet of department budget expenses, update for new fiscal year
- Update student files and advisee lists (printed transcripts should be shredded or kept in a locked drawer)
- Clean up hard drive, delete oldest year of cash vouchers, JE, etc.
- Clean out department files
Accounts Payable closes previous budget year

Early July-Mid Aug

Summer Study grades reported

7/3 Language School 6-week session begins (tentative)

8/1 Leave proposals for 2019-20 DUE

8/15-25 Bread Loaf Writers’ Conference

8/17 VT Language Schools Commencement (tentative)

8/30-9/2 Alumni College
<table>
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<tr>
<th>ACADEMIC ADMINISTRATION</th>
<th>OFFICE</th>
<th>ADMIN. SUPPORT</th>
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<tr>
<td>Academic Administration Webpage</td>
<td>S. King</td>
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<tr>
<td>Academic &amp; Operational Administration of the Language Schools</td>
<td>S. Snyder</td>
<td>L. Huston</td>
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<td>Academic Calendar</td>
<td>SB</td>
<td>L. Graham</td>
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<tr>
<td>Academic Equipment budgets</td>
<td>EP</td>
<td>L. Dunton</td>
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<tr>
<td>(The) Academic Enrichment Fund</td>
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<td>L. Dunton</td>
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RESPONSIBILITIES

ACADEMIC COORDINATORS

Supervisor of Academic Office Support (SAOS)
The position of Supervisor of Academic Office Support serves as supervisor of the coordinators and provides training, development, and support for the Academic Coordinators. The supervisor schedules a required monthly meeting for all coordinators to discuss new procedures, improved strategies for performing shared tasks, and other issues of common concern. When necessary, training sessions are scheduled to introduce new software and upgrades. The supervisor approves time sheets, organizes and participates, with the department chair or program director, in all academic coordinator performance evaluations. The supervisor also assists department chairs in the hiring of new academic coordinators when positions become available. A smaller group of elected coordinators, known as the Coordinators Council and the Dean of Curriculum meet monthly to discuss issues that concern academic coordinators as a group.

Coordinator Role and Responsibilities

Mission Statement
Facilitates the educational mission of the department(s) and affiliated programs by providing administrative and technical support to the chair(s) and faculty members. While the duties of academic coordinators may vary somewhat from department to department, all coordinators provide a high level of support to faculty, staff, and students while ensuring compliance with college-wide administrative procedures. Coordinators are expected to manage multiple processes for the department and to serve as a key liaison between the academic program and all other offices on campus.

Academic Coordinator Expectations
• Consistently provides a high level of accurate, organized, efficient, and confidential administrative support
• Shows initiative and works independently to facilitate daily tasks, administrative deadlines, and various department projects/goals
• Has the flexibility to adapt to a changing work environment and advances in technology
• Provides continuity and stability during department/program transitions
• Maintains a consistent and dependable work schedule
• Contributes to a positive and professional work environment

Coordinator Responsibilities
All coordinators provide support to faculty and students while ensuring compliance with college-wide administrative procedures. Below is a comprehensive list of tasks, some of which may not be performed by all academic coordinators.

Highest Priority (to meet administrative deadlines)
• Faculty Recruiting: read and know the recruiting section of this handbook, and work with your Chair (or Chair of the search committee) closely on all aspects of a recruitment; set up position(s) using current software program, arrange for approved ad copy to be posted in
selected publications, train search committee members to use software, monitor applicant
database and keep the statuses updated as the search moves forward, prepare recruiting
schedule for on and off-campus interviews (travel, lodging, meals, seminars), prepare three
candidate folders for every on-campus interview for the administration, (prepare the hired
candidate folder for administration if no one was interviewed on-campus) and provide chair
with the template of the recruiting report(s) with information that you have, including the final
statistics (from Interfolio) and summary documentation (from Jobelephant) attached to each
report due no later than May 30
• **Budgets**: review budgets monthly, assist chair in preparing department budgets for next fiscal
year, process all purchase requests and reimbursements, monitor and update chair regularly on
spending
• **Course Catalog**: proofread initial catalog copy, monitor online catalog, and submit edits to
Academic Affairs
• **Registration**: complete course scheduling forms and submit to Academic Affairs, review data
entered, process any changes/corrections, enter placement exam results, and waivers/approvals
prior to registration
• **Commencement**: print senior transcripts for course verification by the chair, submit tentative
and final honors candidates to the Registrar’s Office, coordinate with Events Management the
processing of vouchers and certificates for senior awards, schedule and organize senior
department reception and any other department commencement activities
• **Record retention**: Follow guidelines for maintaining and disposing of all confidential material

**High Priority**
• Advise chair/faculty of academic guidelines and deadlines
• Maintain all department files (syllabi, thesis database, student forms, etc.)
• Organize all department lectures and events: prepares lecture contracts and tax forms, secure
funding and prepare budgets, secure travel and lodging arrangements, reserve rooms, hire
caterers, prepare for technology needs, hire crowd control managers, and publicize event
• Review class and exam schedules--communicate changes/errors to Scheduling Office
• Assist faculty with any academic scheduling needs
• Organize exam materials and envelopes for self-scheduled exams
• Assist with posting class cancellations
• Order office supplies
• Maintain operation of office/building equipment and request repairs as necessary
• Direct prospective students to meet with department chair or faculty member
• Assist current students through proper channels for completing all requirements
• Assist new faculty and Assistants in Instruction with office set-up
• Assist and prepare for Visiting Winter Term Faculty assigned to department/program
• Maintain accurate information on department's main web page
• Update office hours on the web
• Hire, delegate tasks and oversee student office assistants - including approving time sheets
• Participate in monthly coordinator meetings, computer training, and workshops
• Schedule monthly meetings with department chair
Medium Priority
- Maintain student files for advising purposes
- Hire and organize schedule of student workers for course screenings
- Attend department meetings and take minutes, upon request from the chair
- Schedule vans/buses for class field trips
- Prepare information needed for department representative at Academic Forum
- Order desk copies, and help prepare course packs
- Sign department and college forms on behalf of the chair (with prior agreement—does not include signing Degree Audit and Transfer Credit forms)
- Assist in preparing and submitting payment/reimbursement vouchers, and upon request, printing budget reports using current software
- Review, process, and disseminate information from college departments and other sources
- Maintain knowledge of auxiliary departmental procedures (Events Management, College Store, Mailing Services, LIS etc.)
- Sort and distribute mail

Low Priority
- Label and send/bring senior honor theses to Special Collections-Davis Family Library
- Photocopy course materials or send to Reprographics (with agreed upon lead time)
- Assist with completing library reserves, textbook orders, and reserving films for courses
- Assist with grant proposal forms
- Distribute exams when necessary (illness, professional meeting)
- Assist with the printing of letters of recommendation
- Assist faculty with computer questions
- Forward first-class professional mail for faculty on leave

The following items are not the responsibility of academic coordinators:
- Filling in for faculty at Academic Forum
- Preparing end of year reports for endowed funds
- Determining that seniors have met course requirements for graduation
- Advising students on course content and curricular issues
- Picking up self-scheduled exams
- Meeting with prospective students and parents
- Finalizing the Final Recruiting Report (assisting with the preparation by providing the Chair/Director with final statistics is the responsibility of Academic Coordinators)
- Arranging travel for FPDF or for faculty on leave
- Correspondence and preparation of book reviews, essays, manuscripts
- Creating or updating individual faculty member's web page
- Providing content for department/program web pages and social media
- Personal errands, ordering business cards, phone calls, or faxes
- Duties related to a faculty member's position in an outside professional organization
- Forwarding of personal or non-business related mail while faculty is on leave
CHAIR RESPONSIBILITIES

Administrative Responsibilities
- Ensure that the department is represented at start-of-semester events (e.g., Academic Forum).
- Sign major declaration forms, approve transfer credits and study abroad course credit, approve senior degree audits, and verify graduation requirements for graduating seniors.
- Assist new majors in choosing an advisor, if requested, and ensure that advising responsibilities are spread evenly across the faculty in the department.
- Present diplomas at commencement.
- Review coordinator’s performance annually. In some departments/programs, the Chair/Director also conducts annual performance reviews for other staff (e.g., technical support staff). All staff performance reviews must be completed and documentation submitted to HR by March 31.
- Review grant proposals submitted by departmental colleagues and indicate approval by signing the ‘blue sheet.’
- Complete all end of the year reports (recruiting, endowed funds, etc).

Budgetary Responsibilities
- Serve as budget administrator for and provide oversight of departmental budget, including regular (at least monthly) tracking of expenditures and ensuring that funds are allocated appropriately.
- In addition to monthly monitoring of expenditures, conduct a mid-year assessment of actual expenses relative to budgeted amounts to ensure that any necessary adjustments to spending are made such that the department does not exceed its approved budget.
- Complete annual budget requests for additional funding or reallocation.
- Submit equipment requests to the Dean of Curriculum.
- Provide oversight of departmental enrichment funds.
- Provide oversight of endowed funds (e.g., for guest lectures).

Curricular Responsibilities
- Review and approve new course proposals prior to submitting to Curriculum Committee.
- Develop teaching schedule and course offerings, ensuring that the department is meeting its obligations with respect to FYSE, CW courses, and teaching courses outside the prime time slots.
- Review and approve individual teaching plans, ensure that faculty are teaching within the teaching guidelines, and work with individual faculty who are not teaching within the guidelines to develop a plan for meeting the expectations of the guidelines.

Personnel Responsibilities
- Complete the responsibilities outlined in the handbook for colleagues under review, including consultations before the First Review.
- Submit position requests for new faculty positions or for leave replacements to the EAC.
- Oversee recruiting of new faculty.
• Submit recruiting reports by May 30 for any year in which your department recruited a new faculty member. (Even for a one-semester hire, a recruiting report needs to be submitted).
• Ensure that new and untenured colleagues are effectively mentored within the department.
• Meet with the VPAA/DOF to discuss annual faculty salary forms.
• Be familiar with college policies on non-academic leaves, and assist colleagues requesting medical, parental, or other non-academic leaves.
• Respond to any other personnel issues that may arise within your department.
COLLEGE POLICIES

ANTI-HARASSMENT/DISCRIMINATION POLICY AND POLICY AGAINST SEXUAL MISCONDUCT, DOMESTIC AND DATING VIOLENCE AND MISCONDUCT, AND STALKING

Policies

Department chairs and program directors are frequently the first to hear reports involving potential harassment, discrimination, sexual misconduct, domestic and dating violence and misconduct, stalking or related retaliation involving students or other faculty or staff. Chairs and directors should thus be familiar with the College’s Anti-Harassment/Discrimination Policy (which includes the College’s policy on Sexual Relationships between Faculty and Students) and the College’s Policy Against Sexual Misconduct, Domestic and Dating Violence and Misconduct, and Stalking. These policies are available online at go/antiharassment (or http://www.middlebury.edu/about/handbook/misc/antiharassment) and go/sexualmisconduct (or http://www.middlebury.edu/about/handbook/misc/October). It is particularly crucial that Chairs and Directors be familiar with policies and best practices in this area.

Mandatory Reporting

Any staff or faculty member who learns of an incident of sexual misconduct (including sexual assault), domestic or dating violence or misconduct, stalking, harassment, discrimination or related retaliation involving a student or covered third party (as defined by Middlebury’s policies) must report this information to Middlebury’s Title IX Coordinator, a HRO, or a JAO (see below for contact information), unless their status as a confidential resource precludes this disclosure. Confidential resources include the staff of the Parton Center for Health and Wellness, the staff of the Chaplain’s Office, MiddSafe Advocates, or other medical, counseling, support or religious personnel and volunteers who are required by law to maintain confidentiality.

Faculty and staff with supervisory responsibility are expected to report all incidents of sexual misconduct (including sexual assault), domestic or dating violence or misconduct, stalking, harassment, discrimination or related retaliation involving employees to the Title IX Coordinator, a HRO, a JAO, the Vice President for Academic Affairs/Dean of Faculty or Provost (faculty matters), or to Human Resources (staff matters) (see contact information below).

In case of an emergency please call Public Safety at 802.443.5911 or dial 911.

Middlebury requires its faculty and staff to report these incidents so that we can provide the necessary resources and information to the affected party (including information about filing a complaint with Middlebury and/or the police). We handle all cases with sensitivity and discretion. In sexual assault cases, if an individual wants to maintain confidentiality and does not wish to pursue a complaint under Middlebury’s SMDVS Policy, Middlebury will make every effort to respect this request and will evaluate the request against its responsibility to provide a safe, non-discriminatory environment for all students, faculty and staff, including the individual who reported the sexual assault. Although rare, there are times when Middlebury may not be able to honor the individual’s request. Further, if Middlebury honors the request, Middlebury’s ability to appropriately investigate the incident and pursue disciplinary action against the respondent, if warranted, may be limited.
Please see the Confidentiality Section of Middlebury’s SMDVS Policy for further information about how Middlebury evaluates the wishes of the complainant in sexual assault, domestic and dating violence and misconduct and stalking cases. For information about how Middlebury handles similar requests in harassment and discrimination cases please see the Confidentiality Section of Middlebury’s Anti-Harassment/Discrimination Policy.

Resources and Support

If someone has experienced sexual misconduct, dating or domestic violence or misconduct, or stalking, please encourage them to seek immediate medical attention, even if they aren’t sure if they have any physical injuries. Please see the link below to Sexual Violence Resources and Information for information on medical care options, including examination by a Sexual Assault Nurse Examiner (SANE), and services offered by Parton Center for Health and Wellness and Porter Hospital.

We encourage individuals to seek the services of a SANE immediately following an experience of sexual trauma, as certain kinds of evidence collection, including toxicology testing, is time sensitive. In most cases, DNA evidence needs to be collected within 72 hours in order to be analyzed by a crime lab— but a sexual assault forensic exam can reveal other forms of evidence beyond this time frame that can be useful if the person decides to report. A SANE exam is conducted by a professional with special training in working with individuals who may have experienced sexual trauma of all kinds. They can care for injuries, test and provide prophylaxis for sexually transmitted infections and/or pregnancy, and collect forensic evidence (if requested). The person seeking services does not have to be certain that they have experienced sexual trauma to request a SANE exam or any other kind of medical or emotional care. The SANE can offer options for reporting, and individuals have the right to have someone with them during this exam including an advocate. SANE exams are free of charge.

For individuals who wish to see a SANE, we offer the following guidance: Resist the urge to cleanse yourself before you seek treatment. It may be difficult to keep from washing yourself, but if you do, you may destroy evidence that could be useful should you decide to report the experience. Do not wash, change clothes, eat, drink, smoke, brush your teeth, go to the bathroom, or brush your hair. Bring a change of clothing with you to the exam, since your clothes may be collected as evidence.

If the individual is not sure whether to report the incident or needs other forms of support encourage them to consider talking with a counselor or advocate on or off-campus. They may not feel ready to talk about what happened, but counselors can provide a confidential and safe space to explore any feelings or challenges that have arisen after the incident. See the contact information below for MiddSafe (Middlebury Safe and Confidential Advocates), Parton Center for Health and Wellness, Employee and Family Assistance Program, Chaplain’s Office, WomenSafe, and Counseling Service of Addison County.

Note: Even if the person wants to seek a confidential resource, faculty and staff must still report the matter to the Title IX Coordinator, a HRO, or a JAO.
The individual also has the right to report the incident to the police and/or seek a protective order from a court (or to choose not to do so). Middlebury will provide assistance if the person decides to pursue either option.

Accommodations and Services

Faculty may receive requests from the Title IX office for academic accommodations for students who have experienced sexual assault, domestic or dating violence, or stalking. The law requires institutions to provide reasonable accommodations for reporting parties. Our policy specifically addresses this topic in more detail, but faculty should be aware that such accommodations could include: Deadline extensions, incompletes, course changes, late drops, no contact orders, or other arrangements as appropriate. See the SMDVS Policy, Section 6 (“Services and Accommodations”).

Training

All faculty and staff are required to complete periodic online and/or live training on the topics of sexual assault, domestic violence, dating violence, stalking, discrimination, harassment and related retaliation.

Contacts

- **Title IX Coordinator/Compliance Officer**  
  Susan P. Ritter, J.D., 802.443.3289; sritter@middlebury.edu

- **Judicial Affairs Officer and Title IX designee:**  
  Karen Guttentag, 802.443.2024; kguttent@middlebury.edu

- **Judicial Affairs Officer and Title IX designee:**  
  Brian Lind, 802.443.5385; blind@middlebury.edu

- **Human Relations Officer and Title IX Designee:**  
  Steve Collier, J.D., 802.443.3474; scollier@middlebury.edu

- **Human Relations Officer and Title IX Designee**  
  Earl Fechter, J.D., 802.443.3426; efechter@middlebury.edu

- **Department of Public Safety:** 802.443.5911

- **Middlebury Police:** (802) 388-3191 or 911

- **Human Resources:** 802.443.5465; http://www.middlebury.edu/offices/business/hr

- **Vice President for Academic Affairs/Dean of the Faculty:**  
  Andi Lloyd, 802.443.5735; lloyd@middlebury.edu
• **Provost:**
  Susan Baldridge, 802.443.5518; scbaldrige@middlebury.edu

• *Parton Center for Health and Wellness: Health Services: 802.443.5135; *Counseling Services: 802.443.5141

• *MiddSafe (students only): 802.377.0239

• *Employee and Family Assistance Program (employees only): 800.828.6025

• *College Chaplain: 802.443.5626

• *WomenSafe 24-Hour Hotline: 1.800.388.4205

• *Porter Hospital, Middlebury, VT: 802.388.4701

• *Counseling Service of Addison County (CSAC): 802.388.7641

**Sexual Violence Resources and Information**
gosexualviolenceinfo

*Denotes a confidential resource*
FACULTY HOUSING PROGRAMS

If your department is recruiting this year, you may be asked by candidates about the College’s housing programs, which are summarized on the web at http://www.middlebury.edu/about/handbook/faculty/faculty_housing. A brief overview of the college’s housing programs follows.

College Rental Program

The college has a rental program for new and untenured faculty members. Full details of the program can be obtained from the Business Services Office (x5504, http://www.middlebury.edu/about/handbook/faculty/faculty_housing). All full-time untenured faculty are eligible for College-owned housing, but newly hired faculty will be given priority. Housing is not a guaranteed benefit offered by the College, but a convenience the College is pleased to supply when possible. If you are discussing faculty housing with job candidates, it is important to stress that housing is determined by lottery, and in most years demand for college housing exceeds supply. The College does maintain a listing of non-college housing available for rent, and new colleagues should be directed to that listing (available at the link above).

College housing is allocated by lottery each spring. By April 15 of each year, VPAA/DOF Office will forward to the Business Services Operations Manager the names of new faculty members joining the faculty the following academic year, and a current mailing address and email address for each. On or around May 1, Pam Norton, the Operations Manager, will email each new faculty member information on the lottery process for assigning faculty housing and the address for the College website that lists all available housing with floor plans, pictures, and details on each unit. New faculty members will be requested to send a ranked list of their preferences via email to the Operations Manager by the deadline set by the Operations Manager. Requests are filled by a random lottery, held no later than mid-May each year.

Mortgage Program

Middlebury College has a mortgage program, in partnership with the National Bank of Middlebury, to assist faculty in the purchase of their first home in the Middlebury area. The program is open to tenured or tenure-track faculty who have passed their first review, and to athletic faculty with 5 years of service. A full description of the program is available in the Faculty Handbook at http://www.middlebury.edu/about/handbook/faculty/faculty_housing. You can refer interested faculty members to the Business Office (x5504) or the National Bank of Middlebury (802-388-4982).

INSTITUTIONAL REVIEW BOARD

The purpose of the Institutional Review Board (IRB) is to protect human subjects who participate in original research conducted either at Middlebury or by an employee or student of Middlebury. (Regarding the jurisdiction of the IRB, references to the campus, students, faculty, or staff of “Middlebury” includes MIIS, Bread Loaf, the schools abroad and the Language Schools.) It is intended to ensure researchers follow the federal guidelines with regard to the treatment of human subjects.

Human subject research involves the systematic collection of personal or private data from or about living human beings. Any discipline may involve human subject research. Sociological,
anthropological, and psychological studies often involve human subjects; research in areas such as biology, economics, geography, history, and political science also can involve human subjects.

Anyone who engages in scholarly research using human subjects as an object of study, either on- or off-campus must first obtain approval from Middlebury’s IRB. Persons who must apply for approval include:

- Middlebury faculty and staff
- Middlebury students who conduct independent research on or off campus
- Researchers not affiliated with Middlebury, conducting primary research with subjects on campus
- Anyone analyzing unpublished data collected at the College

In addition, anyone affiliated with Middlebury who is preparing a grant proposal involving human subjects must obtain the signature of the IRB Chair on the Middlebury College Grant Proposal Endorsement (Blue Sheet) and must apply for IRB approval before grant funds are received. See Middlebury’s IRB policy for more information on who must submit an application at go.middlebury.edu/irb.

The IRB is a standing committee that has members from both the Middlebury and Monterey campuses. It meets once per month while classes are in session to review proposals that require full committee approval. The Committee reviews minimal risk research year round and generally issues decisions within two weeks from the time of receipt.

For research involving vulnerable populations or sensitive topics, applicants should submit, at least a week in advance of the board meetings, an application with appropriate materials documenting original human subjects research. The full board application is an online form that is submitted through the IRB website at go.middlebury.edu/irbapp. Minimal risk research can be submitted for review at any time using the short form available on the IRB website. This site also includes information about the materials that must accompany applications.

Meeting dates and submission deadlines are listed at go.middlebury.edu/irbdeadlines.

INTERNATIONAL TRAVEL

Chairs should be familiar with policies on international travel, summarized in the following checklist for faculty/staff engaging in research abroad, and should refer colleagues traveling international to the information below.

**Faculty/Staff taking Student Research Assistants Abroad**

**Before students research assistants are paid, reimbursed, or have expenses covered** (airfare/food/lodging), please be sure you have completed the following.

- Check to see if your student research assistant needs approval from the college in order to participate on the research project with you.
- If research is to be done abroad in a country where there is a U.S. State Department travel warning, students must go through a review process overseen by the Global Operations Committee. Students will be asked to complete the International Activity Approval Form in
which they describe the risks and their emergency action/contingency plans. A decision will be
communicated to the student within two weeks of receiving the request for review. If approval
is granted, the faculty member will be expected to continue to monitor the situation that
prompted the second level of review from the time of approval through the students’ return.

• Ensure that the student has registered his/her travel in the college’s Travel Registration system
  (for every trip abroad). Although registration is not currently required of faculty members, we
  strongly encourage you to register your own travel in that system.

• Ensure that students have secured adequate international insurance (medical, evacuation, and
  repatriation). Middlebury students can purchase a plan for the duration of their time abroad
  through HTH Worldwide for approximately $50.00/month. Students who wish to enroll can
  enter code EWG-9608 on the home page under “Have a Group Access Code?”.

• Provide your student research assistant with the pre-departure checklist for students available
  here. (There is also a faculty checklist for your use on the same page.)

• Students who aren’t going to be paid (even if you are covering their expenses) must fill out the
  Volunteer Form.

• If you hire a Middlebury student who is not a U.S. citizen, please have the student consult with
  the Tax Office and the International Student & Scholars office to ensure that s/he fully
  understands the tax obligations and immigration issues. If the student is a citizen of the country
  in which the research is being performed, there could be substantial tax obligations.

• For additional information, see the Global Operations committee website:
  http://www.middlebury.edu/international/global_operations/students.

Other tips for faculty/staff research abroad

Visa – before you go
  • Ensure passport is valid for at least 6 months beyond your intended stay.
  • Obtain appropriate documentation to travel, study, work and/or reside in that country.
  • See http://www.middlebury.edu/international/global_operations/travel for visa agencies and be
    sure you apply for the appropriate visa if you will be on “college business” while abroad
    (including grant-funded projects). The US State Department has “Country Information” for all
    countries.
  • You may need official permissions for your research or for aspects of it (e.g., human subjects,
    archaeological research). You place yourself and Middlebury at risk if you do not obtain
    appropriate permissions.
  • Keep copies of your passport and visa and work permit at home and with you when you are
    travelling.
  • Register with Middlebury’s Travel Registry: http://www.middlebury.edu/international/travel.
  • Register with the U.S. State Department or with the embassy of the country of your citizenship.

Health and Safety
  • Review required (and recommended) immunizations on the Centers for Disease Control
    website.
  • Be prepared to handle any health expenses. Middlebury College health plan covers costs
    outside the U.S. but you will probably need to pay and then request reimbursement.
• Bring your insurance card and proof of insurance letter with you. Bring local emergency numbers and the Global Rescue card or contact information.
• Check whether required medication is available in your destination country or stock-up on supplies before leaving; verify that your medications can be taken into the countries you are visiting.
• Check whether your insurance covers medical evacuation and repatriation of remains. All benefits-eligible faculty and staff are enrolled in UNUM’s Worldwide Emergency Travel Assistance program.
• Review U.S. Department of State’s country specific briefings.
• Create an emergency protocol.

Travel
• For car rental: check age restrictions and driver’s license requirements. Middlebury College is a member of the E&I Purchasing Group. Enterprise Rent-A-Car and National Car Rental are the preferred rental car suppliers for E&I Purchasing Group members. Middlebury’s membership in the E&I Purchasing group allows us to consolidate spending, simplify program management, and reduce our annual car rental expenditures. Rentals with the E&I contract with Enterprise and National means that all insurance is included in the rental rate. More details are available on the Controller’s webpage under Corporate Car Rental Rates.
• Bring a calling card for emergencies and make sure it works for the countries you’ll be in or make arrangements to be able to use a cell phone internationally.
• If federally-funded, all international travel must be on US carriers. Contact the Sponsored Research Office or Director of Grants & Contracts Administration for more information.

Finances
• If you are using college funds (including external grants paid through the college), verify the requirements for travel advances and reimbursements with the appropriate budget administrators.
• Use a college p-card (if you have one) for in-country expenses as much as possible (ideally one that’s coded to your grant).
• Determine whether you will need cash in local currency when you arrive.
• Bring debit/credit cards and the information on card (number of card, phone number to call in case cards are stolen). Notify debit/credit card companies of your travel plans. Make sure your credit card (Visa, Mastercard, etc.) is accepted in the countries of travel.

Visa and Reporting – after you arrive
• Do you have to register (or get a visa) in your host country/town?
• Are there any requirements to check-in during various intervals?
• Do you have to unregister when leaving?
• Are there any reporting requirements such as tax reporting?

Communication
Will there be readily available internet access? Will your computer work – is there readily available electricity? Is the voltage the same or do you need adapters? What kind of adapter?

Does your US cell phone work or do you need a new cell phone or special “card” – where to buy it? What are the costs?

If you are taking your computer, consult the Export Control website for advice.

Additional Resources

- U.S. State Department – international travel advice and information
- Centers for Disease Control – Travelers Health Information (including what immunizations are required or suggested)
- “Tips for Faculty going on leave”: This is a section of the Academic Affairs website page on the Research Leave Program. Scroll down!
- Checklist for Students Going Abroad: Includes additional information more appropriate for those who don’t travel abroad frequently
- Study Abroad: Pre-Departure Handbook – although designed for students going abroad on non-Middlebury programs, it could be a helpful resource particularly if you haven’t travelled abroad recently

RESOURCES:

- Midd/MIIS Policies and Procedures for International Activity
- Midd/MIIS Emergency Management Plan

INVITING FOREIGN NATIONALS

The College has a long-standing commitment to international education. We frequently host international visitors who provide unique perspectives on many different topics and issues. The following guidelines are provided to advise you of the process required to successfully invite a foreign national to teach, lecture, or provide other services at Middlebury College and to pay and/or reimburse them for their expenses. The U.S. government has important regulations and processes for bringing international visitors to campus, so we ask for your attention to these guidelines. Many of the items listed here require advance planning, so please be prepared to start planning for an international visitor earlier than you might otherwise need to!

1. If you are thinking of inviting a foreign national to visit, please contact the tax manager by email at Middletowntaxoffice@middlebury.edu (or by telephone at x 5633) to discuss the potential tax/payment issues for a foreign national as well as to determine if the person and their purpose needs to be classified as employment. The Tax Office will contact the visitor to request that s/he submit past visa history and passport information online via a secure website, and will then determine the visitor’s tax status. Be prepared to provide the tax office with the visitor’s full legal name and a valid email (one which they monitor on a regular basis).

Be sure to begin this process as soon as you consider inviting an international visitor. In some countries it can take more than a month to secure an appointment at the U.S. Embassy to obtain a
visa. Visitors who choose to visit on a visa waiver program can expect to have the maximum in withholding. (In Vermont this is 38.1 percent of their payment.) Assume all visitors will be taxed until the tax office informs you otherwise.

Information for payments to U.S. citizens, lawful permanent residents, and foreign nationals can be found at go.middlebury.edu/ic.

2. If your visitor is currently in the U.S. but not at Middlebury and has been invited to provide services at Middlebury, please check with the individual to find out his or her current visa status. Your visitor may be required to obtain written permission from his or her sponsor to speak at Middlebury.

It is recommended to contact ISSS at isss@middlebury.edu or x5858 with the information in #4 below so ISSS can reach out to the individual to determine what kind, if any, authorization is needed in order for Middlebury College to legally pay or reimburse expenses.

3. If your visitor is not in the U.S., please try to determine if the visit to Middlebury is part of a longer visit including several other institutions. This may help in determining the appropriate visa for which to apply.

4. In the event that it is determined that the visitor will need assistance from the College to obtain a visa, ISSS (International Student and Scholar Services) will determine the appropriate visa category and any information that is needed. ISSS may be reached by email at: isss@middlebury.edu or by phone at x 5858. It may then be helpful if you have some information about the person in advance. This might include:

- Full name,
- Country of citizenship,
- Country of legal residence (if different),
- Type of services the person will be performing (e.g., lecturing, teaching, consulting, engaging in research),
- Inclusive dates of his or her visit,
- How the person will support him/herself financially while at Middlebury (including the amount your department or program will be paying the person),
- Any other outside sources of support and the amounts.

If ISSS determines that the College will bring the visitor to the U.S. on an Exchange Visitor visa through our J-visa Program, we will ask you and the visitor to provide ISSS staff with required information. ISSS will prepare and issue a DS-2019 Form so he or she may apply for a J-1 visa. ISSS will also communicate with the visitor about his or her obligations in terms of meeting with ISSS staff once the person is on campus and providing copies of travel documents to show that the visitor entered the U.S. appropriately.

Please note if the visitor must obtain a visa stamp from US Embassy or Consulate prior to coming to Middlebury, it is recommended to allow for plenty of time for this process to occur. We always recommend 2 to 3 months in advance of the visit.
5. Once the visitor has submitted his/her visa and passport information online to the Tax Office, the Tax Office will determine which tax documents the visitor will have to sign and what copies of documents will need to be submitted to the Tax Office. Most documents should be processed prior to the visit. In most cases, the visitor will sign a Foreign National Information Form, which contains all the information s/he submitted online, and a Form W-8BEN (which is the equivalent of Form W-9 for US Citizens). In addition, usually a copy of the picture page of the passport, potentially the visa page of the passport, and the custom office’s stamp in the passport when the foreign national arrives in the U.S. are needed. Please submit a copy of all documents with the voucher even if they have been submitted to the Tax Office.

OFFICE ASSIGNMENTS

The Dean of Curriculum will request proposed office assignments for the upcoming year from Chairs in early January. Each Department Chair will submit a list of requested office assignments for their department to Kathleen Parent by Friday, February 9, 2018. That list should include the following:

- who will not be on campus next year (retirements, terminations, and on-leave away);
- who might be on campus but could move to a leave space (on-leave/on-campus, visitors, emeriti);
- new hires, continuing faculty, and faculty returning from leave;
- lab and studio assignments (where applicable);
- part-time faculty teaching less than 50%;
- support staff, in addition to Department Coordinators, who are assigned to academic departments, but whose appointments are not fundamentally teaching appointments.

The Dean of Curriculum will draft a list of office assignments (in consultation with the Dean of the Faculty where necessary) based on the requests that were submitted, using the following order of priorities:

- Full-time faculty according to rank and seniority,
- Part-time faculty (including those on Associate Status),
- Middlebury faculty members on leave at Middlebury,
- Non-teaching staff and visitors.

Factors taken into account in making office assignments include proximity to departmental colleagues or colleagues in programs, need for specialized equipment, possible use of the office in the following year, safety considerations, departmental and/or individual requests, and special circumstances. It is often impossible for a colleague on leave to remain in his/her regular office, but we will attempt to find alternative spaces for those who will be spending their leave in Middlebury.

We attempt to assign offices to one individual whenever possible. However, when office space is at a premium, part-time faculty may be asked to share offices. After resolution of first-round conflicts, the draft list of the respective office assignments will be sent to Department Chairs for review in early-April. Department Chairs will review their department’s draft assignments and either acknowledge their approval of the draft assignments or send their additional requests to the Dean of Curriculum by mid-April. After any additional conflicts are resolved, the Dean of Curriculum will circulate the final office assignment list (by late-April).
For planning purposes, Chairs should advise faculty colleagues of the following.

- New faculty colleagues should not expect to be able to move into their offices before August 15.
- Faculty members returning from leave will normally not be able to move in their offices before August 15.
- Faculty members leaving the employ of the College at the end of the academic year will normally be expected to vacate their offices no later than June 30.
- Faculty members going on emeritus status may request space in the library as outlined in the Emeriti Office Policy below.
- Faculty members spending their leaves away from Middlebury must vacate their offices no later than June 30.
- Office moves are typically scheduled during the month of July.

Requests for use of offices prior to, or after these dates, as applicable, should be submitted to the Manager of Office Moves (Thad Stowe, x5457). These guidelines are necessary to allow time to provide all services (for example, painting, shelving, carpet and room cleaning) that have been requested. The Office Move Policy is available at go.middlebury.edu/ompolicy.

**Faculty Requests for Library Carrels**

Library carrels for faculty members will be assigned on a yearly basis with priority for those who do not already have office space on campus, such as those on leave who are remaining in Middlebury and faculty emeriti. Faculty members who have a specific research project or agenda that requires frequent use of library materials will be given priority for carrel assignments. Faculty members who were assigned carrels for 2017-2018 should not assume that their carrels will be renewed for 2018-2019. Requests for library carrels, including a short paragraph of justification, need to accompany the Office Request forms (submitted to Kathleen Parent) when returned on February 9; otherwise carrels will be re-assigned in response to new requests received.

**Emeriti Office Policy**

Emeriti whose current research would benefit from having access to a carrel in the Davis Library may apply to the Dean of Curriculum for such study space, if available. In the assignment of these carrels, priority will be given to active members of the faculty on academic leave. Emeriti, like active faculty members, will be asked to describe the specific research project they are pursuing. The assignment of library carrels will take place on a yearly basis and will be reviewed annually thereafter as requests from new Emeriti arise.

The College will, if possible, offer emeriti shared office space in the library. Emeriti newly assigned to a shared library office will be approved for a 3-year term. At the end of the 3-year term, Emeriti vacating library offices will have the opportunity to request a library carrel.
POLICY ON GRADE DISPUTES

Department chairs and program directors are the second line of recourse for students seeking resolution of a grade dispute. The full policy is available in the College Handbook at go.middlebury.edu/gradestranscripts (see section G, Change in Grade). In short, students wishing to dispute a grade may do so as follows.

1. The student must first speak to the instructor of the course. If the instructor agrees with the student, he or she must submit a change of grade form to the Administration Committee.
2. If the instructor does not agree with the student, and the student still wishes to dispute the grade, he or she must next consult with the department chair. If you agree with the student that the grade should be changed, you may submit a change of grade form to the Administration Committee.
3. If you do not agree with the student, and the student still wishes to pursue the matter, the student may then appeal in writing to the VPAA/DOF. The VPAA/DOF will consult with you and with the instructor, and make a final decision.

SPOUSE/PARTNER EMPLOYMENT GUIDELINES

Introduction
Like many liberal arts colleges in rural areas, Middlebury College understands that a candidate for a faculty position and the spouse/partner of that candidate often seek employment simultaneously. In order that Middlebury College continue to be a desirable place to work and live, the College seeks to respond to these needs to the extent it is able by providing informational assistance to spouses and partners who seek employment. Spousal/partner employment is a vital consideration in realizing our goal of attracting and retaining the very best faculty and staff.

Guidelines
Middlebury College will try to facilitate spouse/partner employment in the following ways. The VPAA/DOF’s Office and the Human Resources Office will extend assistance to the spouses/partners of present or incoming faculty and staff in their efforts secure employment in the community. A person who is a spouse/partner of a Middlebury College employee, or candidate for employment, will be considered an internal candidate for posted vacancies for staff positions. Please contact the Human Resources department for more information.

Middlebury College is an active member of the Academic Career Network and the New England Higher Education Recruitment Consortium, regional ventures that seek to address dual-career problems in academic institutions. The Academic Career Network (www.fivecolleges.edu/acn) offers a number of faculty-oriented career support services, including a web-based database of faculty partner profiles, a website that maintains links to academic and administrative positions at member institutions that is available exclusively to faculty partners, personalized notification of relevant career opportunities for registered partners; and career management and networking opportunities. Member institutions include Williams, Amherst, Mt. Holyoke, Smith, Hampshire, UMass Amherst, Trinity, Connecticut, Vassar, Union, Skidmore, and Wesleyan.

Middlebury College also actively cooperates with the University of Vermont, St. Michael’s College, and Champlain College to facilitate the sharing of information about faculty positions, including both
permanent and adjunct opportunities. Candidates with questions about spouse/partner employment can be referred to the VPAA/DOF.

The New England Higher Education Recruitment Consortium supports a higher education career website to assist job seekers at member institutions. The University of Vermont, Dartmouth, and Champlain College are among the 50 regional member institutions. The New England HERC website can be accessed directly at: www.newenglandherc.org.

Middlebury College, however, cannot guarantee employment for any individual. Spousal/partner employment will be based upon the same principles pertaining to employment elsewhere at the College.

1) Middlebury will strive to attract and retain the best faculty and staff.

2) While doing so, Middlebury will also strive to increase the number of women and persons of color on its administration, faculty, and staff.

Procedures

When a department chair schedules a campus interview for a candidate for a position on the Middlebury faculty, he or she should inform the candidate that it is College policy to offer informational assistance through the Human Resources Office to a spouse or partner who is seeking employment. The VPAA/DOF will provide the candidate with information about the Academic Career Network, the New England HERC, and other academic career possibilities in the area.

A list of open positions at Middlebury College is maintained on the Human Resources Office website at go/jobs.

This website is also linked to a listing of open academic positions, which will be updated regularly by the academic administration. The candidate should be given the name, address, and phone number of a staff member in Human Resources, as well as the home page website, so that s/he can undertake a search. (Liaison in the Human Resources Office: Cathy Vincent, Manager, Faculty Administration in Human Resources).

Extensive information on employers in the region is available at the state labor department: http://www.labor.vermont.gov/

Local job opportunities are posted by the Addison County Economic Development Corporation: http://addisoncountyedc.org/jobs

In dealing with issues of spousal/partner employment, the academic administration and other college offices can neither guarantee employment nor violate equal employment opportunity for all applicants. The purpose of this policy and these procedures is to make certain that, for spouses and partners of current or prospective employees, information about job opportunities is made as readily and fully available as possible.
THREAT ASSESSMENT AND RESPONSE POLICY

Middlebury’s Threat Assessment and Management Team (“TAM Team”) is empowered to assess risk and formulate an appropriate response in situations where an individual’s behavior and/or statements generate concern that he or she may present a threat to health or safety of others. The TAM Team seeks to mitigate potential risks before they result in harm.

Anyone who believes that an individual has committed or may commit an act of violence, is engaging in behavior or making statements that generate concern about the potential for violence, or otherwise may pose a threat to the health or safety of any member of the College community should call the Department of Public Safety promptly at 802.443.5911, or, for an immediate emergency, dial 911. Individuals may also make a report to a Commons Dean or any member of the TAM Team. TAM Team members and contact information are listed on the Team’s website: go/threatassessment.
BUDGET OVERSIGHT

ALLOWABLE EXPENDITURE POLICY FOR DEPARTMENTAL AND PROGRAM BUDGETS

In order to avoid a system that would require administrative approvals for minor expenditures, it is important for budget administrators to understand the College's policies regarding allowable expenditures – expenditures permitted with advance approval, as well as expenditures that are not permitted. Because the revenue the College receives from students (in the form of tuition) and from donors (in the form of gifts) is intended to support the College's mission of educating students, we cannot spend College funds on items that are not directly related to that mission. Items that a corporation may routinely pay for out of its profits are often inappropriate for a nonprofit entity.

Listed below are examples of expenditures that are permitted only with the advance approval of Senior Budget Administrators (signature approval required on expenditure or reimbursement documents) and expenditures that are not permitted at all.

Expenditures Permitted only with Advance Approval from a Senior Budget Administrator. Department chairs and program directors should contact the VPAA/DOF for such approval.

- Faculty and/or staff meals at the Grille, except when entertaining visitors
- Departmental retreats (except when using up to $150 of program enrichment funds)
- Flowers for public department/program events (except when using up to $50 of departmental funds per fiscal year, if those funds are available without going over budget)
- Kitchen Equipment
- Retirement celebrations: chairs/directors may request permission to use up to $325 of departmental funds per fiscal year, if those funds are available without going over budget.

Expenditures Not Permitted

- Artwork for offices
- Briefcases
- Off-campus meals between College employees, except when entertaining visitors
- Alcohol (see policy under budgets)
- Meals for department meetings
- Consumable kitchen supplies (e.g. coffee, k-cups)
- Contributions (political and charitable)
- Expensive desk accessories
- Flowers (except when sent by President’s Office, Provost’s Office, VPAA’s office, Advancement, or HR)
- Holiday decorations, parties, and celebrations (including retirement celebrations)
- Memberships in non-job related professional societies
- PDAs, cell phones, and pagers. (Faculty can request permission to purchase tablets, such as iPads, using certain funding sources, if the intended use is consistent with the educational mission of the institution.)
- Cell phone or tablet data plans
- Plants for office or special events
- Travel related items:
  - Air travel clubs
  - First class/business class airfare
o Health club memberships
o Hotel amenities (movies, personal phone calls, laundry expenses, newspapers, etc.)
o Optional conference events (golf outings, tours, etc.)
o Non-business entertainment and travel
o Payment of fines or parking tickets
o Purchase of clothing and other personal items
o Spouse and family-related costs

As this list makes clear, college funds can never be used to cover personal expenses.

GUIDELINES FOR USING DEPARTMENT FUNDS

Departmental Funding of Desk Copies and Course Packs
Chairs should make sure that new faculty understand the College policy outlined here. Faculty who have prepared a course pack for sale by the College Store to students may charge one copy for themselves to the department budget. Chairs should remind colleagues that course packs are often surprisingly expensive, as the cost of permissions is rising rapidly. As an alternative to Course Packs, faculty should be encouraged to place material on reserve whenever possible, either in hardcopy or using the library's electronic reserve system. Expensive course packs are unpopular with students and may be an unnecessary drain on the departmental budget. Departmental funds are not intended to be used to purchase desk copies of books, however. The College Store no longer routinely charges complimentary desk copies to departmental accounts. However, books that are not available as complimentary desk copies and are being used in a course can be charged to a faculty member’s departmental budget if s/he presents to the College Store the publisher’s letter denying a desk copy. (Because foreign publishers typically do not provide desk copies, or even acknowledge requests for them, a letter is not required for books from foreign publishers.)

To request either examination copies or desk copies, faculty may visit http://www.facultycenter.net/cgi-fc/fcPublisherContact.mac/top (user name 70215, password ptk444). Many publishers now have online request forms; you may also choose to go to their individual websites. Faculty who need a textbook before a desk copy arrives can purchase the book with their own funds at a 15% discount through the store. When the faculty member returns the book to the College Store (it must be in resalable condition), a refund will be made.

Purchase of Alcohol with Departmental Funds
1. Under Vermont State Law, the College can legally provide alcohol when it is served under a valid liquor license.
2. The costs of alcohol served at catered events or purchased at a restaurant may be reimbursed from departmental budgets.
3. The College cannot legally, and will not, reimburse employees for receipts submitted from their own purchase of alcohol.
4. State law does not allow employees or others to bring alcohol into College facilities.

Department Retreats
Costs of retreats for the purpose of deliberating on the academic program and mission of a department or program may be paid from enrichment funds up to a maximum of $150 in a year. Retreats should be held at College facilities whenever possible (e.g. Hadley Barn).
**Program Enrichment Funds**

Funds are budgeted each year that are earmarked for lectures and co-curricular programs specific to your department. Vouchers, initialed by the Department Chair, should be submitted directly to Accounts Payable.

Each Department Chair received a memo in the summer detailing that department's allocation for 2017-18 and an overview of the guidelines and procedures for the use of these funds. Please see the Academic Administration website for additional information about Program Enrichment funds.

In 2003, the College created a pooled fund called the *Academic Enrichment Fund* to which faculty may apply for additional support for lecturers, symposia, and conferences. There are also funds on campus for the support of activities focused in particular areas: international affairs, foreign language and culture, the arts, and the environment. Overseers of these funds would welcome ideas for lectures, co-sponsored events, or possible collaborations among colleagues and departments. In addition, if you are planning an event you may wish to contact the Faculty Heads of Commons to find out if a Commons may wish to host or co-sponsor your event.

Departments/programs are encouraged to use these funds for the broad purpose of *program enrichment*, and not to regard them merely as *lecture funds*, unless the funds are restricted for that particular use. If they are not restricted lecture funds, they may be used to cover expenses associated with class outings such as field trips to theatrical and cultural events or museums; they may also pay for honoraria and other expenses directly paid to lecturers, artists, or performers who visit campus in order to enhance specific courses or the discipline in general; and to help cover costs for campus symposia and conferences. *NOTE: While meals are often an important component of events, expenditures for meals or catering should not absorb too large a proportion of program enrichment expenditures, and students should be included in all meals covered by these funds.*

For departments that have “EFxxxx” funding, up to $500 may be directed toward student research expenses. While some departments may choose to continue to use all of their allocation as they have in the past, we hope that those whose enrichment funds have been underspent, or who have felt the need for more support for student research in their department, will find this flexibility helpful. The Office for Undergraduate Research will continue to make available other centralized sources of funding for student research.

Departments/programs that wish to use their enrichment funds to purchase materials or equipment that would directly benefit our students require the approval from the Dean of Curriculum or his/her designee.
OVERSIGHT OF DEPARTMENT BUDGETS

The Chair is the supervisor of the department budget and is responsible for keeping the department within its budget. Chairs should discuss with Department Coordinators a schedule for monthly budget updates throughout the academic year. Since Chairs do not have full control over charges to several lines of the budget, it is good practice to establish procedures with colleagues that ensure that the Chair is able to provide adequate budget oversight. The Chair must approve all expenses charged to the department budget; see the “Allowable Expenditure Policy for the Academic Sector” in the Appendix for some additional guidelines. Reimbursements for Chairs’ expenses should be sent to Lynn Dunton, Service Building Office 211. If you have questions or concerns about your department budget throughout the academic year, please contact Lynn Dunton (x3085 or via email). Our pathway to financial sustainability requires all budget administrators to ensure that their expenditures remain within the approved budget, and we thus expect that Chairs will keep departmental spending within the department’s approved budget. If unusual circumstances arise and you become concerned about that your approved budget may be inadequate, please alert Lynn Dunton prior to approving any expenses in excess of your approved budget. We cannot guarantee that we will be able to make additional funds available to cover excess expenses, but will work with chairs to develop a plan for spending that allows the department to remain within budget.

Specific guidelines for the preparation of next year’s budget will be sent to Chairs by the Budget Office. The proposed budget will require the approval of the VPAA/DOF and the Budget Office. If you have questions specifically about budget data entry, or would like to request training, please contact the Budget Office by email (budget@middlebury.edu). If you have procedural questions about the department budget process, please contact Lynn Dunton.

USING BANNER TO TRACK BUDGETS

How to Use Banner to Track Budgets
Banner is the computer software that the College uses for most of its activities, including tracking financial data. Banner uses the acronym, FOAPAL, for budget numbers. This stands for Fund, Organization, Account, Program, Activity, and Location. An index is a shortcut to Fund, Org., and Program information, and consists of 6 letters (e.g., DFFPDF is the index for the Faculty Professional Development Fund). Most budgets have an index associated with them, and indexes should be used whenever possible to lessen the chance that an incorrect budget number is used. When using an index, the only other information you need for a complete FOAPAL is an account code and an activity code (if applicable). A table referencing Account/Expense codes that are commonly used by Academic Departments is on the Budget Office website in the Resource Guide for Middlebury Budget Administrators at go.middlebury.edu/middbudgets. More information about access or usage of Banner may be found on the LIS website at go.middlebury.edu/bannerhelp.
### Commonly Used Banner Account Codes

#### Equipment expenses
- 702120 Media equipment
- 702130 Office equipment
- 702210 Academic Equip < $1000
- 702220 Academic Equip >$1000
- 702310 Computers
- 702320 Printers
- 702330 Other computer equipment

#### Equipment repair
- 702520 Other equipment repair
- 702530 Computer equipment repair

#### Contracted Services
- 703535 Consultants
- 703550 Service Consultants
- 703560 Software Maintenance
- 703580 Other Contracted Services

#### Supplies
- 704020 College Store Purchases
- 704060 Hardware
- 704150 Other Supplies
- 704210 Office Supplies- toner
- 704220 Office Supplies-other

#### College Travel
- 706110 College Travel: Food
- 706120 College Travel: Lodging
- 706130 College Travel: Misc.
- 706210 College Travel: Airfare

#### Visitor Travel
- 706610 Visitor Travel: Food
- 706620 Visitor Travel: Lodging
- 706630 Visitor Travel: Misc.
- 706710 Visitor Travel: Airfare

#### Other Expenses
- 701020 Toll Calls
- 704020 College Store
- 710010 Advertising
- 710040 Books
- 710090 Field Trips
- 710100 Film/Video
- 710160 Lecturers/Performers
- 710190 Miscellaneous
- 710230 Printing
- 710250 Software
- 710285 Subscriptions
- 710520 Non-College Catering/Restaurants
- 710610 Dues/Memberships: Faculty/Staff
DEPARTMENTAL CURRICULUM

TEACHING EXPECTATIONS AND CURRICULAR PLANNING

Chairs and Directors are responsible for distributing teaching workloads equitably among all colleagues, for ensuring the efficient application of teaching resources, and for ensuring that all faculty workloads reflect curricular, departmental, and/or programmatic needs.

Teaching responsibilities for full-time faculty members are guided by a balance of three measures: course preparations, contact hours, and enrolled students. Full-time faculty members teach an average of 4.5 course preparations, 18 contact hours, and 90 enrolled students per academic year, as averaged over 4 years. All of these numbers represent a total of Fall, Winter, and Spring semesters. Because of differences in pedagogy across disciplines, faculty teaching responsibilities can vary from these averages, provided that these variations maintain a balance between measures that are higher and lower than average (e.g., a colleague may have a smaller-than-average number of courses if they have a higher-than-average number of students or contact hours). The most common teaching schedule is to teach two courses during each Fall and Spring semester, and a Winter Term course every other year. Chairs have flexibility in distributing teaching loads as long as the department average falls within those ranges for any given academic year, and as long as the distribution of teaching loads is such that the Department or Program is meeting its commitment to college-wide curricular needs, including Winter Term, the Writing Program, and the FYSE program. A summary of the specific guidelines follows:

1. All faculty workloads, averaged over a four-year period and including all semesters (Fall, Winter Term, and Spring), should fall within the following ranges:

   - 3.5-5.5 course preparations per academic year
   - 65-115 enrolled students per academic year
   - 14-22 contact hours per academic year

2. Faculty who are below the average in one of these measures by any significant amount should, at a minimum, be at or above the averages in both of the other two, and, to a corresponding extent, above the average in at least one. Chairs/Directors should consult with the Dean of the Faculty if they are unsure about whether a given faculty member’s teaching load is consistent with the guidelines.

3. Full-time faculty must teach at least one course in every Fall and Spring semester.

4. Faculty whose teaching loads are above or below these guidelines are expected to work with the Chair of their department or program and/or the Dean of the Faculty to chart a future teaching trajectory that is consistent with these guidelines.

5. A full course release reduces the above averages and ranges by 1 course preparation, 4 contact hours, and 20 students. A half course release reduces each measure by half of these amounts.

6. A single course preparation is defined as a body of material taught in a specific term and listed with a distinct number. A course may include any combination of lectures, discussion sections, workshops, screenings, or any other format that is best suited for teaching a particular subject.
7. The same course taught in two distinct sections in any single term will count as a single course preparation, but with a correspondingly larger number of contact hours and enrolled students than a single section would.

8. Lab sections that require each student to meet for a minimum of 150 minutes per week and are taught solely by the faculty instructor count as 0.5 of a course preparation in total. That is, a course that is taught with laboratory sections will count as 1.5 courses regardless of the number of laboratory sections. The contact hours associated with each lab section do, however, count independently. (A course taught with three 50-minute lectures per week and two 3-hour lab sections per week would thus count for 1.5 courses and 9 contact hours.) In a course where each student attends fewer than 150 minutes of lab per week, a faculty member may combine laboratory sections associated with multiple course preparations to reach the 150-minute threshold for a 0.5 course preparation. (For example, if a faculty teaches two courses, each of which requires students to attend a 75-minute lab each week, that faculty member would reach the 150-minute threshold and be able to claim a 0.5 course preparation.)

9. An enrolled student is defined as one student in a distinctly numbered course. (Students enrolled in different types of sections of a course—e.g., a lecture and a discussion section—count only once.)

10. A contact hour is a 50-minute period in which a faculty member formally meets with students in a required, scheduled, interactive, credit-bearing academic pursuit. Discretionary teaching commitments (film screenings, voluntary help sessions, self-scheduled review sessions) do not count as contact hours.

11. A standard Winter Term course, meeting for 8-10 hours per week, counts as 4 contact hours for the year. This is to make weekly contact hours in Winter Term comparable to those in the regular term so fall, winter, and spring hours can be added together to get a total for the year. The expectation that faculty teach an average of 18 contact hours per year was computed based on this conversion for Winter Term courses. When a Winter Term course meets for more than 10 hours/week, the course counts for half the number of actual weekly contact hours.

12. Because the teaching guidelines are given by the total over all three semesters, Winter Term courses are comparable to Fall and Spring semester courses for the purposes of teaching workload, and thus faculty may teach a Fall or Spring semester course in lieu of a Winter Term course, or vice versa, provided that doing so does not compromise the department or program’s ability to meet its responsibility to participate in Winter Term.

13. Team-taught courses in which both faculty members participate fully throughout the semester will be credited to both faculty members as they would if taught by a single instructor. However, faculty members may be limited to two such team-taught courses every five years, unless the team-taught course is part of a contractual obligation. Exceptions to this policy can be granted in advance by the Dean of the Faculty. If responsibilities in a team-taught course are divided between the two instructors, each faculty member will receive credit for 0.5 preparations, half the total students, and half the total contact hours. There is no limit on the number of courses that a faculty member can team-teach using this division of duty.
14. Department chairs should attempt to distribute thesis supervision duties equitably. Where it is impossible to avoid overburdening a particular faculty member, his or her teaching load may be adjusted in consultation with the Dean of the Faculty.

15. A one-semester senior tutorial of approximately 9 students will typically count as one course preparation, three contact hours, and the actual number of enrolled students.

16. The equivalent of up to four 0700-level student credits of independent senior work per academic year is considered to be a normal part of a faculty member’s workload. Student credits of 0700-level advising beyond the expected four may be banked for future course release. Upon accumulating ten student credits of 0700-level advising beyond the expected four, faculty may request a full course release in consultation with the department chair or program director.

17. A faculty member who significantly surpasses these general expectations may apply for one full course release over a five-year period.

**Enrollment Limits**

Minimum and maximum enrollment of courses is left to the discretion of each department. Normally courses with more than 45 enrolled students will be offered only when major requirements, lecture format, and physical resources dictate that as the most effective pedagogy. Any changes to the enrollment limits must be approved by the Dean of Curriculum and the Curriculum Committee must be notified.

Faculty members teaching in more than one department or program will have to consult with both chairs/directors. On the Department/Program Staffing Report (described under “Overview of Teaching Expectations”, above), the Department Chair will provide a proposed maximum enrollment for each course, which will also be used when completing Banner Course Forms.

Banner forms will be preprinted with the enrollment maximum and the anticipated enrollment based on the last time the course was offered. Faculty members will be asked to review these numbers and revise them as appropriate. Enrollment changes should be confirmed with the Department Chair or Program Director.

The maximum enrollment figures will be used by Course Scheduling to assign appropriate classrooms. The maximum enrollment listed on the Banner form for each course should reflect an accurate expectation of maximum class size, as room scheduling proceeds more smoothly when the scheduling office has realistic estimates of course size.

**Staffing Reports**

The Chair/Director provides oversight of curricular planning to ensure consistent coverage of needed courses, equitable distribution of teaching assignments, and optimal use of staffing resources. Curricular planning culminates in the creation of a Department/Program Staffing Report, summarizing the individual Faculty Teaching Plans that are submitted to the Chair/Director on December 4, 2017. Recognizing that different departments/programs have different curricular planning structures, the following guidelines are designed to provide an overview of how a Chair/Director might approach the creation of those reports.
Fall semester (beginning in November):

The Chair develops a list of courses needed for the following academic year, divided into F/W/S.

In developing this list, chairs should be mindful of the following: the overall number of courses offered in relevant categories (e.g., 0100-, 0200-, and 0300-level); requirements and electives for the major, minor, or tracks; FYS and College Writing commitments; regular commitments to interdisciplinary programs and the winter term curriculum; anticipated movement of students into upper-level sections.

Program directors should contact program affiliates and invite colleagues either to teach a particular course(s) or to propose a course they wish to teach. Program Directors then contact the Chair of any departmental colleague who may be able to teach a program course, copying the Dean of the Faculty, requesting that the department accommodate the colleague’s request to teach the program course. This latter step is not necessary in situations in which a colleague has a contractual obligation to a particular program. The Dean of Faculty will work with Program Directors to try to facilitate availability of departmental colleagues to teach requested courses.

Solicit input and feedback on proposed list of courses from colleagues, requesting that colleagues inform the Chair/Director of:

- Changes to leave schedule/plans,
- New courses for which a faculty member intends to seek approval,
- Any commitments to or interest in teaching courses in another department or program.

Meet with faculty colleagues to review individual faculty teaching plans.

Faculty Teaching Plans are submitted via BannerWeb to the Chair/Director no later than December 4, 2017.

Winter term:

Chairs/Program Directors will review and approve individual Faculty Teaching Plans via BannerWeb no later than January 15, 2018.

Chairs/Program Directors will review Departmental Teaching Summaries and submit via BannerWeb to the EAC no later than January 15, 2018.

Forms will be reviewed by the EAC and the Academic Administration. Department Chairs/Program Directors may be contacted for further discussion of allocation of teaching resources. EAC review will be completed by mid-February.

WINTER TERM GUIDELINES

Course proposals from regular faculty

Faculty members are encouraged to submit proposals for Winter Term courses that will appeal to a broad range of students. Because many juniors are abroad, and many seniors are pursuing independent projects or theses during Winter Term, the majority of students taking Winter Term
It is therefore important that the majority of courses be offered with minimal prerequisites and made accessible to students outside the major. Ideally, Winter Term courses should satisfy general distribution requirements rather than simply departmental requirements (though it is not necessary that they satisfy any requirements at all). There will of course be instances in which advanced courses are necessary and can be offered, but in general the Curriculum Committee reserves the right to reject a course that would be perfectly suitable for fall or spring semester but is too specialized for Winter Term.

*Middlebury faculty members teaching during Winter Term will be expected to submit their Winter Term course proposals to their Department Chair or Program Director for approval.* Approval does not mean that the course necessarily fulfills requirements for a departmental major. Rather, department Chairs are asked simply to assess each proposal’s general interest and viability as a course and to suggest to the faculty member any changes that might strengthen it prior to its review by the Curriculum Committee. Chairs are also asked to be alert to possible duplication of subject matter in the courses offered by their department or program as a whole. *Middlebury faculty members who are not teaching during winter term will be expected to remain available on campus during the month of January.* For more information on Winter Term Course Structures and General Procedures, please refer to the College Handbook.

**Course Proposals from visiting Winter Term faculty**

Regular faculty members are asked to bear in mind that the College has a need for approximately 50-60 qualified visiting instructors each Winter Term as a result of the revised Teaching Load Guidelines and Winter Term release for Chairs, Directors, and certain committee members. Faculty members may assist in recruiting potential visitors, if they wish. Colleagues at other academic institutions, successful guest lecturers, and local artists and professionals are all possible visitors. When issuing an invitation for a potential visitor to apply, faculty should forward to the potential visitor this link, where relevant guidelines and documents can be found:

[http://www.middlebury.edu/academics/administration/wtvisitingfaculty/guidelines](http://www.middlebury.edu/academics/administration/wtvisitingfaculty/guidelines).

These guidelines describe the structure and purpose of Winter Term for those who may not be well acquainted with the College, so that each potential visitor will be informed about the College’s curriculum, grading policies, and expectations for Winter Term courses before he or she attempts to submit a proposal. Please do not make any commitments to a potential visiting instructor. Fewer than half of the submitted course proposals were accepted for Winter Term 2018. Finally, housing is a critical issue; any help in locating housing for a visitor will be greatly appreciated. Winter Term proposals from both visitors and regular Middlebury faculty members for Winter Term 2018 were due on April 3, 2017. Winter Term proposals from new Middlebury faculty members or those returning from leave are due on September 15, 2017. *NOTE: If you are planning to invite a foreign national to submit a proposal for Winter Term, please first contact the International Student and Scholar Services Offices at 802-443-5858.*

**Enrollment and Banner Registration**

*Standard enrollment limits for all Winter Term courses will be a maximum of 22 students for regular courses, 38 for team-taught courses, 18 for language courses (per instructor), and 12 for College Writing.* Exceptions will be made for studio art courses, lab courses, and other special cases at the discretion of the Curriculum Committee. If enrollment pressures warrant, up to three students may be added after registration, for a total of 25 for a single-instructor class.

*Winter Term Banner registration* occurs over a 3-day period with students with 8-16.99 credits earned registering on the first day, students with 3-7.99 credits earned registering on the second day, and
students with 17-36 credits earned registering on the final day. Students register for their top course choice. If that course has filled to the maximum enrollment, the student is notified immediately, and can then select another course. The Winter Term Course Catalog and the schedule of class meeting times will be available to students on the web prior to registration.

Courses that enroll fewer than 10 students may be cancelled. In reviewing courses for a given Winter Term, the Curriculum Committee takes into account the course’s enrollment any previous times it was offered.

Hosting Visiting Faculty
All visiting Winter Term faculty, even those whose courses are interdepartmental (INTD), will be assigned to a host department. Kathleen Parent will coordinate with the appropriate support offices for book orders, library information, ID cards, and other general support. However, host departments will be asked to:

- Help arrange office space for visiting instructors by asking faculty members on release time to share their offices;
- Receive mail for visitors;
- Provide access to photocopiers (a separate budget number will be provided); and
- Maintain contact with the visiting instructor and facilitate communication between students and visitors.

Due to an increased number of visitors who have their own cell phones, the College will no longer provide a phone extension or voice mailbox, but each visitor will be given an email account and network connection for using computers in our computing labs. Housing for visitors continues to be limited, and is at the visitor’s expense. Although the college provides links to websites that might be useful to visitors in finding housing, it is ultimately their responsibility to secure housing. There have been instances in the past when some visiting instructors have stayed with the friends or colleagues who invited them, but a faculty member who invites a visitor is under no obligation to house him or her for the month.

Student Options for Winter Term
Students are required to earn Middlebury credits during two winter terms. All first-year students must enroll in a winter term course their first winter term at Middlebury. This includes both September and February matriculants. The second credit may be earned by enrolling in a winter term course, a credit-bearing independent project, a credit-bearing internship, or by studying abroad and earning winter term equivalent credit. (A minimum of two winter term credits and a maximum of four winter term credits may count toward the graduation requirement of 36 course credits.)

Independent Projects (0500 Work)
Students with 8 or more credits may submit a proposal to their faculty sponsor for on-or off-campus winter term independent work either as a continuation of their major or minor or as work outside of their major or minor as long as:

- They have not completed more than two units of winter term independent work; and
- They have received the approval of the chair or director of the department or program in which the work will be completed.

Students are not allowed to pursue independent projects during their first winter term.
How to Apply: Contact a faculty member in the department/program in which the work will be done to ask if they will advise the project; once approved by the faculty member, the student should register for that faculty’s independent project section during the registration period (or by add card after the registration period has ended).

Deadline: Ongoing until the Add Deadline.

Winter Term Internships
Winter term internships involve significant high-level exposure to the fundamental work of an organization, with ties to a student's academic and/or professional interests. They challenge students to apply their liberal arts learning in a real-world setting, and provide students with an opportunity to reflect meaningfully on the connections between their classroom learning and their outside-the-classroom experiences. Internships can be pursued during winter term and students can apply for the opportunity to earn academic credit for their work in reflecting upon, and making meaning of, the internship experience. The Curriculum Committee oversees this process, in partnership with individual faculty members and with the Center for Careers & Internships (CCI). To apply, students identify an internship opportunity and a faculty member whom they wish to advise them in connection with the internship. If the faculty member agrees that the internship provides a worthwhile opportunity for the application of liberal arts learning, the student and faculty member together develop a plan for the academic work that will express the student’s thoughtful reflection on the internship and its connections to their learning. The student then submits the faculty member’s approval and supporting documentation to the Center for Careers & Internships (CCI), as detailed on the CCI website. Students in these approved winter term internships spend a minimum of 25 hours per week (or 100 hours total) at their internship site, and complete the agreed upon academic work. At the end of the internship, the student, the faculty member, and the on-site work supervisor submit evaluation reports. The faculty member grades the student’s work in response to the internship on a Credit/No Credit basis. Students with fewer than 8 credits may not undertake winter term internships for credit, and students who take more than one internship in four years should pursue them in substantially different fields. A student may not repeat the same winter term internship for credit. A relative may not function as an internship supervisor. If the internship is in a non-English-speaking country, the committee will expect competence in the language of that country, although exceptions apply. Students can also pursue internships during winter term without receiving academic credit. More information about internship opportunities and the application process for winter term internship credit is available from CCI in Adirondack House (visit the internship pages on the CCI web site at go/internships or contact Cheryl Whitney Lower, ext. 5798). More information about the faculty member’s role, appropriate academic work in connection with internships, and grading of such work is available from the dean of curriculum (contact Emily Proctor, ext. 5954).

Deadline: November 30, 2017

Study Abroad during Winter Term
Proposals/applications for study abroad in an accredited program for winter term credit must be submitted to International Programs. These proposals do NOT need to be submitted to the Curriculum Committee. Students must apply to International Programs by October 15 for pre-approval of winter-term off-campus courses. No courses/programs will be approved after the fact. Programs must be at least four complete weeks long (regardless of the total number of contact hours) to earn a maximum of one unit of Middlebury credit. The program/course must meet for at least the same number of hours, over the four weeks, as an equivalent Middlebury course. A program that meets more than 36 hours will NOT receive additional credit. Only a program/course
that meets the definition of “liberal arts” (in other words, students should not take courses that are of a pre-professional nature such as business, journalism, etc.), and receives a letter grade of C- or better, may be applied to the Middlebury College degree. Programs/courses graded on a pass/fail basis or audited are NOT transferable. The grade earned in a pre-approved winter term study abroad program will be recorded on the Middlebury transcript and will factor into the GPA. The transcript reflecting work completed must be issued by an accredited institution of higher education. Students will be notified if credit is pre-approved after International Programs reviews their applications. For more information, please see http://www.middlebury.edu/international/73780/programs/winter_term.

**Deadline: October 15, 2017**

**Opting Out of Winter Term**

Students who elect not to participate in winter term MUST still participate in winter term registration by enrolling in the No-Credit, "Opt-Out" option. Students registering for this No-Credit option will not receive academic credit during winter term, and will not be eligible to remain on campus. This option is not available to students who are participating in their first winter term, i.e., students who entered Middlebury in the Spring or Fall of 2017. Students participating as a member of a Middlebury College winter team sport MUST be enrolled in an academic credit-bearing course during winter term.

**Winter Term Student Led Courses**

Proposals for student-led courses may be submitted to the Curriculum Committee. Such proposals will ordinarily originate with a student or group of students. The proposals must be reviewed and supported by a department or program prior to their submission to the Curriculum Committee. The participants in a student-led course are normally presumed to have studied at the college level in areas relevant to the proposed course. However, some student-led courses may be designed to provide an intensive introduction to a new area. The number of participants in student-led courses may normally be no fewer than five and no more than 12.

The chair or director of the department/program supporting the course will forward, via email to Kathleen Parent, a written endorsement of the student leader and will designate a faculty supervisor for the proposed course. The designated faculty supervisor will also forward, via email to Kathleen Parent, a statement accepting this role. The Curriculum Committee will consider this information along with the course proposal form. The student leader will organize and conduct the course and propose its syllabus. Student leaders shall consult with the faculty supervisor on a regular basis. The faculty supervisor shall determine credit. These courses are graded Credit/No Credit. Student-led courses are subject to the following restrictions:

a. The proposal should be submitted to the Curriculum Committee by the end of the second week of the fall semester. **Deadline: September 21, 2017**

b. A proposal must include a departmental recommendation of the course and its leader, a detailed syllabus, and a list of interested students who will participate in the course if it is offered. Proposed work assignments and meeting schedules must also be submitted.

c. If the student leader drops the course, it will be canceled automatically.
## WINTER TERM CHECKLIST 2018

<table>
<thead>
<tr>
<th>Function</th>
<th>Person/Office Responsible</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Regular Faculty and Visitor Winter Term Course Approval Notification</td>
<td>Kathleen Parent</td>
<td>Notification of approved WT courses will be sent to the faculty member and Dept. Coordinator and will include budget amounts for course enrichment. Coordinators should contact Kathleen Parent if they're not sure which of their faculty are teaching a WT course.</td>
</tr>
<tr>
<td>Banner Form Deadline from faculty October 2, 2017</td>
<td>Kathleen Parent</td>
<td></td>
</tr>
<tr>
<td>Banner Data Entry: October 4 – October 13</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Winter Term Catalog</td>
<td>Kathleen Parent</td>
<td>Available on the web: October 26</td>
</tr>
<tr>
<td>Changes to WT course descriptions are due by September 22, 2017</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Winter Term Schedule</td>
<td>Kathleen Parent</td>
<td>Available on the web: October 26</td>
</tr>
<tr>
<td>Winter Term Registration</td>
<td>Registrar’s Office</td>
<td>Registration: Oct 30 – Nov 1</td>
</tr>
<tr>
<td>Signatures for Visiting Faculty Add/Drop Cards</td>
<td>Kathleen Parent</td>
<td>Students needing signatures on Visiting Faculty add/drop cards prior to the start of WT should be referred to K.Parent in Old Chapel 107. Once classes begin, students should contact the visiting instructor.</td>
</tr>
<tr>
<td>In Progress: November 1- January 10</td>
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<tr>
<td>Visas</td>
<td>International Student and Scholar Services</td>
<td>Joani Taylor</td>
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<tr>
<td>Book Orders/Library Reserves</td>
<td>College Store &amp; Library (Dean Leary, Kellam Ayres)</td>
<td>Visiting faculty are contacted directly by these departments Midd Faculty Deadline: November 1, 2017</td>
</tr>
<tr>
<td>In Progress: October</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Office Assignments</td>
<td>Host Department</td>
<td>DCURR has assigned host departments for visiting instructors; Chairs will designate office space for visitors within their department. Departments need to inform Kathleen Parent of the assigned office space.</td>
</tr>
<tr>
<td>Ordering Office Keys</td>
<td>Kathleen Parent will order all office keys and necessary classroom keys for visiting instructors.</td>
<td></td>
</tr>
<tr>
<td>LIS Needs</td>
<td>Shel Sax</td>
<td>Visiting faculty will receive a form from LIS asking about technology needs.</td>
</tr>
<tr>
<td>In Progress: October</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Email/Server Access | LIS | All visiting faculty will have email and server access but will not receive individual computers. Computer labs will be open and accessible.  
*Accounts will be accessible in mid-November |
| ID Cards | Public Safety | *ID cards will be available in mid-December |
| Photocopy Access | Printer Management/Telephone Services  
*In cases where only 4 digits are necessary in photocopiers, please use 4748. | For the month of January all photocopiers will be programmed with the number 84748 (visit), and should be used by all visiting faculty. |
| Mail | Host Dept. Coordinators  
Visiting Faculty will be instructed to receive their arrival packet and office keys from K. Parent, and will then be directed to their host department for mail and support. | Coordinators will provide mailboxes for visitors in a space within the department to encourage departmental interactions. At conclusion of WT, Coordinators should advise Mailing Services to cease delivery. |
| Course Rosters | Kathleen Parent and Departmental Coordinators | Visiting faculty will receive a course roster in their arrival packets, but may request updated rosters from the Departmental Coordinator. |
| Grades | Registrar’s Office | Faculty enter grades via BannerWeb. |
| Course Response Forms | Sandra King | After being processed, course response forms are sent to the Middlebury email accounts. |
| Winter Term Independent Work | Department/Program Chairs | Students interested in pursuing independent projects (either on or off-campus) must consult with the Department which the work will be completed. |
SUMMER STUDY

The complete Summer Study Guidelines are available in the College Handbook at go.middlebury.edu/handbook.

1. General

a. The Curriculum Committee is responsible for the general administration of the Summer Study academic program. All courses must be approved first by the Curriculum Committee, which may make or suggest adjustments in proposed courses in consultation with those concerned, and then by the VPAA/DOF who, in consultation with other College administrators, will review proposed courses for logistical and financial feasibility. Only courses approved by both the Curriculum Committee and the VPAA will be offered.

b. Each course proposal should contain the following items: title, description, class format, prerequisites, maximum effective size, and budgetary requests (if any). Summer Study courses should include recommendations concerning academic distribution and cultures and civilizations distribution requirements.

c. The same grading system applies to all students taking a particular course for credit. Summer Study courses are graded on an A-F basis unless special arrangements are made through the Curriculum Committee (e.g., occasional independent projects or other courses where the instructor decides that the special nature of the course is better suited to honors/pass/fail grading). All Summer Study course work must be completed by the end of the Summer Study period. Grades of incomplete will be submitted according to existing procedures except that in the Commons dean’s absence, the student and faculty member will consult directly with the Dean of Students or the Dean of Students’ designate. Incomplete work must be satisfactorily completed by the last day of classes of the following fall term.

d. Auditing of Summer Study courses is not permitted.

2. Summer Study Course Structure and General Procedures

a. Unless an exception is granted by the Curriculum Committee, enrollment will normally be limited to 15 students per instructor in any Summer Study course, and 25 for a team-taught course. The enrollment limit for a Summer Study course that satisfies the College Writing requirement will be 12 students.

b. During the Summer Study period, faculty and students are involved in a maximum of one academic credit-bearing course at a time (including Language Schools, Bread Loaf School of English, and other Middlebury programs). Summer Study courses must meet for a minimum of four weeks and 32 hours of instruction, during the available Summer Study period.

c. A maximum of three Summer Study credits will count toward required credits for graduation.

d. Summer Study course registration:
(1) Students are not required to enroll in any Summer Study offering. Students who do enroll must have already matriculated: they may not take a Summer Study course in advance of their first semester or term as Middlebury students.

(2) Summer Study course offerings will be announced the preceding winter or spring. Students will apply to the faculty member offering a particular course, and only those students receiving faculty approval will be permitted to register. Students will register via procedures announced by the Registrar’s office.

(3) Changes in course registration may be made only during the first three days on which the class meets during the Summer Study period. Due to time constraints during Summer Study, late adds are automatically charged a late fee of $50.

(4) A student who misses the first class meeting of a Summer Study course must be excused by the faculty member or it will be assumed that the student has dropped the course.

POLICIES AND OPPORTUNITIES FOR STUDENTS

Chair Oversight of Independent Projects
Chairs and Program Directors play an important role in the oversight of student independent projects, both in terms of approving those projects and ensuring that the work of advising those projects is distributed equitably among faculty in a department or program. The election of an independent research project (0500-0700 level work) provides an opportunity for a student to engage in independent work in his or her field of interest. It is a privilege open to those students with advanced preparation and superior records in their fields; exceptions to these restrictions will be considered by submitting a request to the Curriculum Committee. The 0500 (and higher) projects in a student’s major or minor department must be approved by the department. All 0500 (and higher) projects outside the major or minor departments must be approved by the Chair/Director of the department or program in which the work is to be done. Students who have earned 17 or fewer credits, not including AP credits, are normally not permitted to undertake independent work during the Fall and Spring semesters. Exceptions may be considered upon written request to the Curriculum Committee. Students with 8 or more credits may pursue independent work during winter term, or summer study, provided the 0500 course is offered then. A student is limited to four 0500 projects in any discipline, not including winter term independent projects. Independent study courses are graded on an A-F basis unless special arrangements are made through the dean of curriculum (e.g., occasional independent projects where the instructor decides that the special nature of the course is better suited to honors/pass/fail grading).

Fellowships Program
The college is committed to identifying competitive candidates to compete and succeed in prestigious national scholarship and fellowship competitions. Although most competitive awards support postgraduate study, there are several highly selective awards for undergraduates. Promising students should be encouraged to meet with the fellowship adviser early in their undergraduate career.

Results of national merit-based competitions and information on award opportunities are online at go.middlebury.edu/fellowships. The Fellowships Program is located in the CTLR, Davis Family Library 225.

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The fellowships below are currently advised by Lisa Gates, Associate Dean for Fellowships and Research (x3183). You may find more information at go.middlebury.edu/fellowshipslist.

- Beinecke Scholarship
- Churchill Scholarship
- Fulbright Grants
- Gates Cambridge Scholarship
- Goldwater Scholarship
- Keasbey Scholarship
- Knight-Hennessey Scholarship
- Marshall Scholarship
- Mitchell Scholarship
- National Institutes of Health Graduate Partnership Program
- National Institutes of Health Oxbridge Scholars Program
- National Science Foundation (NSF) Graduate Research Fellowship Program
- Payne International Development Fellowship
- Pickering Foreign Affairs Fellowship
- Rangel International Affairs Program
- Rhodes Scholarship
- Saint Andrews Society of New York Scholarship
- Schwarzman Scholarship
- Soros Fellowships for New Americans
- Truman Scholarship (with Professor Bert Johnson)
- Udall Fellowship (with Professor Christopher McGrory Klyza)
- Elie Wiesel Prize in Ethics
- Watson Fellowship
- Yenching Academy Scholarship

**Undergraduate Research, Senior Work, and Academic Travel Funds for Students**
(Administered by Undergraduate Research (URO) in the Center for Teaching, Learning and Research by Lisa Gates, Associate Dean for Fellowships and Research.)

Undergraduate Research supports students conducting independent and faculty-mentored research through advising, information about opportunities, funding for research and academic travel and the Summer Research Assistant Program. They also coordinate the Spring Student Symposium, an annual event presenting student research in a professional environment. Through URO, faculty mentors can also find information about funding sources, working with undergraduate researchers and resources available through Middlebury and through the Council for Undergraduate Research (CUR), a national organization focused on undergraduate research. Contact Lisa Gates for more information on CUR, professional development opportunities, and resources at go/uro.

The following funds are to support student travel and research related expenses:
1. Academic Travel
   • Provides travel grants for students to present at or attend academic conferences and symposia in support of their academic work (maximum grant: $1,000 to present, $350 to attend)
   • No deadlines, ongoing application review; apply at least one month before travel date. See go.middlebury.edu/atf

2. Senior Research Project Supplement (SRPS)
   • Support of up to $350 for students conducting senior work; applications should be submitted prior to project start and are reviewed upon submission
   • For support up to $1,600, three application deadlines, October 1, mid-January and April 15. See go.middlebury.edu/srps
   • For support up to $3,000, apply with the SRPS deadlines to the Hoskin Family Fund for research in developing or rapidly changing nations. See go.middlebury.edu/hoskin
   • Students must have senior status at time of project and can receive funding only once.

3. Kellogg Fellowship
   • Provides $5,000 for student and $1,000 to advisor to support senior work in humanities or area of humanistic inquiry. Deadline is March of student’s junior year. See go.middlebury.edu/kellogg

4. Selover Research Fund
   • American Studies funding for Smithsonian J-term internship (early October deadline) or student research projects in Japanese Studies (rolling deadline). See go.middlebury.edu/selover

5. Baldwin-Coney Scholarship for Geology Field Camp
   • Up to $2,000 to students to help defray the cost of attending a geology field camp. April deadline. See go.middlebury.edu/baldwinconey

6. Academic Outreach Endowment for Service Learning (Administered by Tiffany Sargent, Community Engagement, DKE Alumni House)
   • Students and Faculty eligible to apply
   • See description above (#2 under “Course Development & Curricular Programming”)

Summer Research Assistants (SRAs)
Each year faculty can apply for funding (ranging between $4,300 and $5,300 maximum per student) to support summer research assistants (SRAs). Annual requests typically allow support for up to two students per faculty member. The call for requests goes out in February and the application deadline is in early March. Funding is available through gift, endowed and college budgets in the general categories of the Digital Liberal Arts, Undergraduate Collaborative Research Fund (UCRF), Reilly Environmental Studies Fund, and Undergraduate Research Summer Funds (STEM fields). Funding only supports continuing Middlebury undergraduate students. See go/summerfunds
Keeping Track of Majors: Senior Audits and Degree Verification
Department chairs are responsible for approving degree audits for senior majors, and for verifying that degree requirements have been met. Students are required to submit a degree audit form to the Registrar’s Office during the first semester of their senior year. The department chair or program director reviews and signs the student’s plan for completion of the major (page 1 of the degree audit form). Departments should retain copies of signed forms.

In the second half of the spring semester, Chairs and Program Directors are asked to verify that all graduating seniors, both majors and minors (if applicable), have met the requirements of the major or minor.

Senior Awards
Senior Awards will be presented during the department/program Commencement weekend receptions or at other end of the year events as determined by each department/program. The Department of Event Management will provide you with the yearly letter describing your department’s awards and the amount available for each award. Each department or program will still be responsible for reporting the winners’ names back to the Department of Event Management for the Commencement program by the stated deadline. It is essential to meet this deadline to be sure the award recipients’ names are able to appear in the program. The Department of Event Management will advise departmental coordinators on the appropriate process. Changes to the award description must be approved by College Advancement prior to January in order to be considered for the May award process.

External Departmental Reviews
In 1996-97, the academic administration began the practice of conducting—as a norm—three external reviews of academic departments and programs each year, with a goal of reviewing each department or program every 10 years. An external review provides an opportunity to enhance and improve our academic programs and to shape the future of the curriculum. It also offers an occasion for chairs/directors to anticipate future staffing needs, and for faculty to reflect on pedagogical practices and the role of their department or program within the College. If your department/program is chosen for a review, a letter outlining the procedures that will need to be followed for the review will be sent to you by the Academic Administration. A multi-year schedule for reviews is circulated to the chairs annually; you may request a copy from the Dean of Curriculum (by email: deur@middlebury.edu). An overview of the timeline for an external review is provided below. Departments that wish to request an external review at a time other than that indicated on the schedule should do so by contacting the Dean of Curriculum (by email: deur@middlebury.edu). Because we do not have the capacity to conduct more than four reviews each year, we cannot always accommodate requests for a different review schedule.

Schedule Overview
- Each academic department/program will be reviewed approximately once every ten years.
- The chairs of departments under review will be notified during the academic year prior to the review and will consult with the administration regarding the appropriate semester for the review.
- In January of the preceding year, a meeting will be held for chairs with upcoming reviews to provide an overview of the process and answer questions.
• Approximately 6 months prior to the review visit, the chair will provide recommendations regarding potential review team members.

• In the months preceding the review, department faculty will undertake a thorough self-study, consisting of a narrative and supporting materials. The Office of Planning and Assessment will provide relevant data to the department in support of the review. The self-study and supporting materials should be submitted to the Dean of Curriculum at least 2 months prior to the review team’s visit.

• When the review team report has been submitted to the Dean of Curriculum (usually 4-6 weeks after the review), it will be reviewed by the academic administration and shared with the department.

• Within two months of receipt of the report, the department meets to discuss the report and prepares a written response.

• Within six months of the review visit, the academic administration will meet with the department to discuss the report, the department’s response, and next steps. Agreed upon next steps will be recorded in a memorandum of understanding (MOU), and items in the MOU will be followed up as appropriate by the department and/or the academic administration.

• At the end of each academic year, departments will be asked to produce an annual report, which includes any efforts or changes made as a result of the external review.
EVENTS PLANNING

COMMENCEMENT WEEKEND RECEIPTIONS

Policy regarding department/program receptions funded by Commencement budget

1) Timing
The reserved times available for Departmental or Program Receptions during Commencement weekend will be confirmed by the Department of Event Management in the Spring.

2) Alcohol at Receptions
Dining Services is not able to support alcohol service at any Departmental receptions during Commencement week. Under Vermont State Law the College can legally provide alcohol when it is served under a valid liquor license. State law does not allow employees or others to bring alcohol into College facilities. The costs of alcohol served at departmental receptions or purchased at a restaurant may be reimbursed from departmental budgets, but the Commencement budget will not reimburse these types of expenditures. The College cannot legally, and will not, reimburse employees for receipts submitted from their own purchase of alcohol. Departments wishing to provide alcohol at a reception may do so when following these regulations. However, providing alcohol cannot increase the impact of service requirements from any College department. For example, if the size and/or location of your event would require fencing to meet state regulations, alcohol will not be permitted. Questions should be directed to the Department of Event Management.

3) Funding
The Commencement budget will offer a reimbursement to aid in the costs of the Commencement receptions, at a rate that will be determined in the Spring. This reimbursement is available only to degree departments and programs and only for receptions scheduled during the approved time. End of the year receptions held at other times will not be financially supported by the Commencement budget.

Receptions catered by Dining Services will be charged the appropriate rate, which will be billed directly to the Commencement budget. It will be necessary to provide Dining Services with a list of majors that actually attend the event. Charges that exceed the approved balance will then be charged to the Department.

To be reimbursed for off-campus receptions, faculty must send a completed voucher with the total cost and a list of majors who attended the event to the Department of Event Management. Please do NOT put purchases at stores or restaurants on a charge slip. If you do have to charge something, please charge it to your own department budget and send a completed journal entry form indicating total cost and a list of majors so that it can be reimbursed to the department. The Commencement budget will not reimburse expenses relating to alcohol (see #2) even if the alcohol is purchased at a licensed establishment. The deadline for submitting vouchers and journal entries will be provided in early Spring.

4) Planning Events
Departments and programs will make reception arrangements through the Department of Event Management, as is done throughout the year. It is not possible to schedule these events at a time when they would conflict with other official college events.
With the high demand for our facilities and catering staff during Commencement weekend, the College is unable to provide off-campus catering or special set-up services for such events (tables, chairs, tents, etc.).

5) Senior Awards
Senior Awards will be presented during the department/program Commencement weekend receptions or at other end of the year events as determined by each department/program. The Department of Event Management will provide you with the yearly letter describing your department’s awards and the amount available for each award. Each department or program will still be responsible for reporting the winner’s names back to the Department of Event Management for the Commencement program by the stated deadline. It is essential to meet this deadline to be sure the award recipients’ names are able to appear in the program. The Department of Event Management will advise departmental coordinators on the appropriate process. Changes to the award description must be approved by College Advancement prior to January in order to be considered for the May award process.

6) Any additional information about the Commencement weekend event schedule will come from the Department of Event Management as necessary.

Reception Scheduling Timeline for 2018
To guarantee service support for these events please follow this scheduling timeline:

- Venue scheduled and preliminary food order placed no later than April 6
- Menu finalized no later than April 20
- Final head count to Dining Events no later than 7 days prior to the event
- Final set up details to the Department of Event Management no later than 7 days prior to the event

Due to the volume of events during Senior week, requests for changes later than 7 days prior to the event cannot be supported.

EVENTS PLANNING FOR LECTURES/EVENTS

NOTE: Events cannot be scheduled less than seven (7) days in advance of the event date. Planning travel arrangements, invitations, advertising, etc. for any events prior to scheduling does not constitute a reason for approval of your desired date or venue. An event is not approved to occur until official confirmation from the Department of Event Management has been received to confirm the event date and location. Should your event come in conflict with another event or create a service requirement that cannot be supported, you will be asked to plan the event for another day/time or academic term.

The very first step in planning an event on campus, before finalizing plans with the speaker(s), is for departments/programs to check Middlebury’s Events Calendar at go.middlebury.edu/events to look for dates that do not conflict with events on related topics. As not all events being planned are ready for marketing to the public, it is also recommended that an inquiry for conflict check be sent to Event Management to confirm there are no events in the planning process that may impact the ability for an event to be approved.
If your event is performance related (dance, music, theatrical, etc.) and will require support services relating to performance, please consult the Production Advisory Group information found at go/pag.

Please note that the College Handbook identifies certain “black-out” periods when no events should be planned. See go/daterestrict for more information.

Prior to finalizing arrangements with the speaker(s), it is important that you secure a venue for the event. To do so, submit the online room reservation request form found at go/eventreserve. The Department of Event Management schedules requests in event date order and will confirm your room reservation at their earliest convenience. Without receipt of this confirmation, there is no guarantee that a venue will be made available.

To advertise your event in “Events at Middlebury,” it is necessary for you to submit the information by submitting the online form located at go/eventreserve. Please note that in order to have your event appear on the events calendar, you must first reserve a room for the event. Do not hesitate to consult with the Department of Event Management (x2885) for assistance with the process. When preparing posters for a lecture/event, be sure to give credit to any endowed fund(s) sponsoring the event.

If a department is planning to invite a foreign national to give a lecture, Department Chairs need to consult the Procedures for Inviting Foreign Nationals (see Appendix). (Note: These procedures should also be followed if the department is planning to invite a foreign national to submit a Winter Term course proposal.)

**Crowd Control Policy**

All venues with an occupancy rate greater than 50 people, must supply a minimum of one trained crowd manager or crowd manager supervisor. Where the occupant load exceeds 250, additional crowd managers must be provided at a ratio of 1 crowd manager/supervisor for every 250 occupants unless otherwise permitted by the following:

1. This requirement does not apply to assembly occupancies used exclusively for religious worship with an occupant load not exceeding 2000.
2. The ratio of trained crowd managers to occupants may be reduced where, in the opinion of the authority having jurisdiction (a State of Vermont Fire Marshal or his/her designee), the existence of an approved, supervised automatic sprinkler system and the nature of the event warrant.
3. The crowd manager is required to attend approved training to be certified as a crowd manager.
4. This requirement does not apply to assembly occupancies in College classrooms where used for nonpublic events. That is an academic class session (students only) or team practices that are for the teams only.
5. Training will be provided through the Environmental, Health & Safety Department on a regular basis as needed.

**Accounting and Reporting**

Accounting:
- All vouchers go directly to Accounts Payable.
- *The name of the lecturer should be on all vouchers.*
- *The subject and date of the event should be on all vouchers.*
- Please remember that you must use the separate pink Travel Expense Voucher form for any
travel expenses and remember to attach all original receipts. All other expenses may go on a regular voucher.

- Include a signed copy of the appropriate visitor contract (go/ic).
- If an honorarium or travel reimbursements are being paid, a W-9 form (see Forms section) should be completed and signed by the lecturer.
- The citizenship status of the lecturer should be on the honorarium voucher.
- Vouchers for payment of meals should include the names of the attendees and whether the meal was a breakfast, lunch, or dinner.

Reporting:
Please keep an electronic copy of the poster and any relevant event information for potential donor reporting.

It should be noted that departments/programs should keep careful track of all expenses charged to their program enrichment fund(s), and not exceed the total amount allocated to their department or program. Any charges exceeding your enrichment budget will be charged to your department budget.
EXTERNAL FUNDING

Externally Funded Grants
Faculty members are encouraged to seek outside funding to support their research and other scholarly activities. Proposals for grants that will be paid to Middlebury or involve a commitment of Middlebury resources (including the commitment to teach courses) must go through the College's endorsement process, using the internal endorsement form (formerly known as the “blue sheet”). This form is available from the Office of Grants and Sponsored Programs at http://www.middlebury.edu/offices/support/grants/sro/policies/endorsement. Middlebury policy requires that such proposals be approved by the Department Chair. In this approval the Department Chair certifies that the proposed project is consistent with departmental and Middlebury College research objectives and policy, that space and facilities for effective performance are available, and that the individual initiating the proposal and such other personnel as may be required will be available without interference with their academic duties and will be able to perform the research effectively. The chair may “sign” the endorsement form by sending an email to the Office of Grants and Sponsored Programs (ogsp@middlebury.edu) that includes the following language from the form: "The attached proposal fits the department’s overall program and academic objectives. Adequate space is available or planned to conduct the project. The professional time allotted is realistic and within College guidelines. For the most recent FY Report on Faculty Grants visit http://www.middlebury.edu/offices/support/grants/sro/facgrant.

Matching Funds (Sponsored Research Matching Fund)
This College fund is available to assist with cost-sharing on grant proposals for equipment and faculty research. This fund may also be used to assist with maintenance costs for grant-funded equipment. Proposals that have the highest priority are those for research equipment, student stipends, and supplies for research that involves students; other proposed budget items will be considered depending on the nature of the item and demand for matching funds at that time. The fund may not be used to provide cost-share on faculty salaries (or to free up grant funds to pay for faculty salaries).

Examples of budget items in a grant proposal that could be covered with “matching funds”: required cost share in a grant proposal for any expenses related to faculty research, other faculty scholarship or creative activities, or student research; support for travel costs or other research expenses related to a grant-funded project to show “institutional support”; costs related to student research (including student stipends) to show “institutional support”; costs related to a proposed grant which exceed the grant limit. The only limitations are the amount in the Matching Fund budget and the fact that there be no appearance that the fund is supporting faculty salaries.

Faculty members should submit requests to Franci Farnsworth (Associate Director of Grants & Sponsored Programs) for consideration by the Dean for Faculty Development and Research. Requests should be submitted at least two months prior to the grant deadline.

Faculty members may request maintenance costs for grant-funded equipment for the life of the grant or for three years after the warranty expires. Funds will be committed on the basis of the actual cost of a maintenance contract or a reasonable estimate of the costs to maintain a piece of equipment.
IMPORTANT NOTE: “Matching funds” may also be requested during the life of a grant to assist with unanticipated project expenses, hiring additional students, or other unusual grant-related expenses. Examples: replacing equipment or supplies lost due to some kind of catastrophe (e.g., a freezer failure); covering costs that are not allowable grant expenses or appropriate for other college budgets; additional students or student travel expenses. Decisions about use of “matching funds” to support grant-funded faculty research are made by the Dean for Faculty Development and Research in consultation with other members of the academic administration. Equipment items that have been twice turned down by granting agencies have a high priority for college funding in the next budget cycle.

INTERNAL FUNDING RESOURCES

Faculty Professional Development Fund – FPDF
(Administered by the Dean for Faculty Development and Research)
- Up to a total of $3,000 in any fiscal year, may be pro-rated according to FTE
- Conference travel up to $800 for conference(s) when not presenting
- Language Teaching Assistants: Conference travel up to $650 for one conference per academic year
- Support for research needs:
  - Examples of covered expenditures: travel, supplies, up to $200 per year for membership fees to professional organizations (included within fiscal year allocations)
  - Examples of expenditures that are not covered: dissertation research, personal journal subscriptions, books
- This is not an entitlement nor does it accumulate from year to year
- Deadlines: reviewed continuously, submit applications 1 months prior to travel
- FPDF web Application form link can be found on the Academic Administration web page under Funding for Research
- Restrictions and reimbursement procedures can be found on-line on the Funding for Research web page

Long Term Professional Development Fund - LPDF
(Administered by the Dean for Faculty Development and Research)
- 3-5 years in length, $4,500-13,000, 1-5 awards/year
- Only tenured faculty are eligible
- Priority is given to first-time applicants (these are normally once-in-a-career awards)
- Faculty with endowed professorships are not eligible; if you receive an endowed professorship during the term of your LPDF, your grant will become inactive
- Deadline: late February for projects starting in the next fiscal year (July 1st)

Undergraduate Collaborative Research Fund (UCRF)
(Administered by the Dean for Faculty Development and Research)
- Preference for non-science projects
- UCRF funds are not available to help students in their own independent research projects
  (See the description for Senior Work Funds and Senior Research Fellowships)
- Can be used for summer research assistants. The deadline for summer projects is early March (if funding permits). Maximum summer award will be announced.
UCRF cannot be used for students who have graduated

Deadlines: reviewed continuously, with a final deadline during the first week of the spring term. Maximum award for academic year projects will be announced.

Faculty Research Assistant Fund (FRAF)
(Administered by the Dean for Faculty Development and Research)
- Provide wages during the academic year for student assistants for faculty scholarship or course development
- Through allocations to Departments and Programs, up to 12 hours per week to work with several faculty members (deadline is late September)
- For short-term or individual needs, through the office of the Dean for Faculty Development and Research, on recommendation by the Department Chair or Program Director (150 hours maximum per academic year or 75 hours maximum per semester)
- FRAF cannot be used for summer projects
- Students who have graduated cannot be hired

Reprints and Subvention (Administered by the Dean for Faculty Development and Research)

The College will assist faculty with production costs and reprint costs for scholarly publications through the Scholarly Publication Subvention Fund (SPSF). All requests should be made using the application available on the Academic Administration web page and sent to the DFDR.

- Reprints. Assistance will be in the form of the cost of 100 reprints, normally not to exceed $300 for a single set
- Page Charges, Submission Fees, and Copyright Fees for Articles. There is a maximum grant amount of $2000 per article.
- Scholarly Books and Artistic Works. Assistance will be provided (as funding permits) for tenured and tenure-track faculty, and faculty whose appointments make them eligible to serve on Faculty Council (as defined by the faculty in Spring 2014) to cover production costs when an academic publisher requires a subvention as a condition for publication. Special appointment faculty who are eligible to serve on Faculty Council may also draw on the subvention support if funding permits. It may also be used for indexing, copyright or permission fees, or copyediting (i.e., copyediting of the final version of a manuscript after it has been accepted for publication). The funds for copyediting will only be paid to an independently-hired editor (not to the publishing press). The maximum grant amount for each book project is $2500 and repayment is not required. Because of the financial pressures affecting the world of publishing, there may be special circumstances that would warrant additional subvention support. Faculty members may also apply to the VPAA/DOF for a loan to cover production costs in excess of $2500. Such loans are to be repaid by payroll deduction over a period not to exceed 24 months at an interest rate to be established at the beginning of each academic year. The remaining terms will be negotiated between the applicant and the Dean as part of the application process.

Applications may be submitted at any time and must include a written contract with a publisher (if applicable). The faculty member may not be the publisher, producer, or agent.
**Start-Up Funds**  
(Administered by the Dean for Faculty Development and Research)  
- Negotiated with the VPAA/DOF during hiring phase

**Departmental Budgets**  
(Administered by the VPAA/DOF)  
- Supplies and equipment for courses  
- Some departmental budgets contain funds to support the purchase of supplies and equipment for research with students

**Course Development & Curricular Programming**  
1. Ada Howe Kent – (Administered by the Dean for Faculty Development and the Dean of Curriculum)  
   - Funding (ranging between $1,500 and $3,000) for faculty who are developing new pedagogical directions, new courses, and research projects focused on teaching and pedagogy that would benefit from such support  
   - Deadlines: September 2017; February 2018

2. Academic Outreach Endowment for Community Connected Teaching, Learning and Research (Service Learning)  
(Administered by Tiffany Sargent, Director, Community Engagement, 118 South Main)  
- For curricular innovation in Community Connected Teaching, Learning and Research (Service Learning) pedagogy  
- Up to $4,000 in all disciplines  
- Deadline: April 1 (to Tiffany Sargent) for summer or following academic year projects (applications reviewed each spring). Funding is available after June 1st  
- Further information: see community Engagement website or go/aoe

3. Gladstone Award Honoring Excellence in Teaching  
(Administered by the VPAA/DOF)  
- Recognizes faculty who have exhibited exceptional teaching and mentoring within and beyond the classroom  
- Supports a project that will enhance teaching or support collaborative faculty/student work outside the classroom  
- Grant of $10,000, to be expended within eighteen months of the date of the award  
- Call for nominations (including self-nominations) will be released early in the spring semester, with proposals due by the middle of the spring semester

**Other Faculty Support Funds**  
1. Leave Moving Subsidy  
   - Requests should be submitted to the Dean for Faculty Development and Research

2. Emeriti Funds  
   - Travel and research funds are available for faculty who have retired  
   - Requests should be submitted to the Dean for Faculty Development and Research.

3. Dependent Care Support  
   - The office of the Dean for Faculty Development and Research will reimburse faculty for
up to $450 of documented dependent care expenses (including care of children, aging parents, or other dependents) that result directly from professional development activity such as participation in conferences, travel for research, or visits with collaborators at other institutions. The program is not designed to cover on-going dependent care expenses, but rather to address the additional burdens that flow from professional development activity, particularly activity that takes place away from Middlebury.

Please Note:

- The maximum monthly food and lodging allowable expense, when conducting research or attending conferences on College funding, is $3500.
- As a guideline, receipts are necessary for reimbursements for lodging and food, except in special circumstances when they are not readily obtainable. In such circumstances, faculty may use federal per diem rates for reimbursements.

PROFESSIONAL DEVELOPMENT OPPORTUNITIES FOR CHAIRS

We encourage Department Chairs and Program Directors to take advantage of professional development opportunities for academic department chairs. Understanding that most of you would prefer to use FPDF funding to attend conferences to support scholarly work, we are able this year to make a limited amount of funding available to chairs and program directors who wish to attend conferences or other professional development opportunities targeting department chairs. If you are interested in pursuing such an opportunity, contact the VPAA/DOF’s office for information on funding.

- ACE Leadership Academy for Department Chairs (http://www.acenet.edu/leadership/programs/Pages/Leadership-Academy-for-Dept-Chairs.aspx)
- Disciplinary-specific conferences:
  - Association of Departments of Foreign Languages Summer Seminars for chairs (http://www.adfl.org/seminars/index.htm)
  - Association of Departments of English Summer Seminars for department chairs (http://www.ade.org/seminars/)
  - American Sociological Association Department Chairs Conference
  - (http://www.asanet.org/AM2012/Chairconference.cfm)

If you find other opportunities that might be of interest to your colleagues, please send them to dfaculty@middlebury.edu, and we will update this list for future versions of this handbook.
COORDINATOR PERFORMANCE FEEDBACK AND DEVELOPMENT

Annual Performance Review Process for Coordinators
Academic Coordinators will be expected to complete an annual performance review by the end of March. New coordinators will receive informal evaluations during the first, third and sixth month of employment. Annual evaluations will be due at the end of March thereafter. Approval for “Exceeds Expectations” must be obtained from the VPAA/DOF prior to the Annual Performance Review meeting. Not all such requests will be approved, so it is important not to communicate to a coordinator they will be receiving this designation until you have received clear approval.

The Supervisor of Academic Office Support will notify Chairs and Coordinators, via email, of the upcoming review period and will attach the evaluation form. Upon receiving the evaluation information, the coordinator will schedule, with the SAOS, a date and time for the evaluation. The form is to be filled out by both the Chair and the Coordinator and sent to the SAOS prior to the scheduled feedback meeting. Chairs will receive further instruction on the Annual Evaluation Process during one of the regularly scheduled Chairs’ meetings.

Guidelines for Chairs
- Schedule regular (at least monthly) meetings with your department Coordinator, and make a point of using those meetings to discuss how things are going.
- If you are seeing performance issues that concern you, do not wait until the annual performance review to reveal your concerns. Contact the Supervisor of Academic Office Support if you have concerns and are unsure how to proceed.
- Hold a meeting with your department’s Coordinator approximately six months before the next Annual Performance Review (e.g., in the early fall) to discuss progress towards the goals identified in the previous year’s review, and to talk about how you can support your department’s Coordinator in achieving those goals. If you are a new chair, you may obtain a copy of the previous year’s review from Human Resources.
- Take advantage of the resources for supervisors provided by Human Resources. Resources can be found at go.middlebury.edu/supervisors.
- Consider attending a manager workshop (see go.middlebury.edu/managers).

FACULTY REVIEWS AND CONSULTATIONS

Department Chairs and Program Directors should consult the Middlebury College Handbook Chapters for Faculty carefully for information regarding their role in reviews of departmental colleagues as well as those who are members of a program. The Middlebury College Handbook also addresses the Chair’s and Director’s roles in post-review consultations. The Academic Affairs website contains an expanded section on the Review process at go.middlebury.edu/reviews. Although faculty under review are the intended audience for this website, Chairs and Directors may find it useful to consult this information as well.
FACULTY SALARY FORMS

At an annual salary consultation, department chairs will advise the VPAA/DOF in interpreting annual faculty salary forms. Faculty members may be recommended for salary increases based on continuing excellence in teaching effectiveness, scholarly activity, and/or contributions to the work of the College through distinguished service. In their report to the VPAA/DOF, Department chairs are asked to call the VPAA/DOF’s attention to faculty members who have distinguished themselves in at least one of these areas by notable or exceptional achievements within the previous year as well as identifying faculty members who may be struggling to meet expectations of College faculty. Although it may occasionally be true that all of the faculty members in any particular department are equally accomplished and hard-working, the input of chairs and directors is most useful if it draws distinctions among individuals.

NONACADEMIC LEAVES OF ABSENCE AND PAY OPTIONS

During the course of their careers faculty members may need extended time off for reasons such as a new baby, to care for an ailing family member, for one’s own medical condition, or for personal reasons. Middlebury provides a number of generous paid leave options - as well as unpaid options - to support benefits-eligible faculty during such life events. As described below, special income benefits are available for new parents (paid parental time) and for faculty members prevented from working by illness or injury (short-term disability and long-term disability). In addition, faculty accrue Faculty Leave Reserve (FLR) hours which can be used for a variety of purposes such as to provide pay when a leave is needed to care for a family member or to supplement partial pay received under one of the special paid leave benefits.

Notes:

Any faculty member on ongoing appointment is eligible to apply for leave in order to meet familial responsibilities. Normally, only one year of familial leave will be allowed outside the regular scheduling of reviews for reappointment, promotion, and tenure. The College will do its best to honor leave requests, but it is incumbent upon the individual requesting such a leave to apply early enough so that the department affected may have time to plan for a replacement or make other arrangements to cover classes.

When reviewing this section of the handbook it is important to keep in mind the distinction between a leave of absence and pay. A leave of absence is simply approval to be relieved of work duties for a period of time and is, by default, unpaid time. However, Middlebury offers a number of benefits that can be used to provide a faculty member with pay during an approved leave of absence. Therefore there is a two-part determination when a faculty member requests paid time off: First, a decision by the Dean of Faculty/VPAA as to whether (and to what extent) the individual is entitled to be released from work, and second a decision – which, depending on the benefit under consideration can fall under the purview of the Dean of Faculty/VPAA, Human Resources, or one of Middlebury’s insurance carriers - as to whether the faculty member is entitled to pay and/or continuation of benefits while relieved of duties.

Family and Medical Leave Act

The federal Family and Medical Leave Act (FMLA) and similar state leave laws entitle eligible faculty members to unpaid leaves of absence for: the foster placement, birth or adoption of a child; care of self or certain family member(s) with a serious health condition; certain exigencies arising
from a family member’s call to active military duty; or to care for a family member injured while on active military duty. (See the Employee Benefits chapter in the Employee Handbook or contact Human Resources for more information.) While the federal law provides for a period of unpaid, job-protected leave, as explained below Middlebury has several benefit programs and policies that provide for pay during certain FMLA-qualifying leaves.

**Faculty Leave Reserve (FLR) Pay**

Effective July 1, 2017 each benefits-eligible faculty member has a Faculty Leave Reserve (FLR) account; accrued FLR hours can be accessed to provide paid time off for a variety of needs. Faculty accrue 1.54 hours of FLR time each pay period, which results in the addition of one week (40 hours) of FLR time per year of full-time work (accruals are pro-rated for part-time work, except that during FMLA leaves and sabbaticals accruals are not reduced.) *Faculty members hired prior to July 1, 2017 were credited with a beginning balance of one week of FLR for each year of full-time service up to that date.*

Accrued FLR can be utilized for the following reasons:

- Pre- and post-parental-leave portion of semesters for new parents, should they wish to remain fully on leave instead of resuming non-teaching responsibilities.
- To augment the 60% pay received from either the short-term or long-term disability benefit plans and thus remain at full salary.
- Pre- or post-disability portions of semesters when a faculty member has no active disability, arrangements are in place for someone else to cover teaching, and full-time nonteaching duties are not available.
- Any sort of family leave other than parental leave that would qualify as FMLA time.
- Any reason that would qualify under the Vermont Earned Sick Time law.

Faculty members wishing to utilize FLR pay will coordinate the request for time off with the Dean of Faculty/VPAA and then work with Disability/Leave Specialist in Human Resources who will adjust the FLR balances to reflect time used. Faculty members with five or fewer years of service may be allowed, at the discretion of the Dean of Faculty/VPAA, to go into a negative FLR balance should needs arise that otherwise qualify for FLR but for which a sufficient balance has not accrued. In no event will the new faculty member be able to have a negative balance of more than 200 hours.

Faculty members out on leave who have exhausted their FLR balances (except for certain new faculty, as described above) will be unpaid unless they qualify for short-term or long-term disability, in which case they will be partially paid, typically at 60% of their base salary.

At retirement any accrued, unused FLR time will convert to College-paid medical and dental coverage, as described in the Middlebury College Health and Welfare Summary Plan Description. There is no option to cash-out FLR under any circumstances or to use it for any purpose not specifically covered by the policy.
The Faculty Leave Reserve Policy complies with the Vermont Earned Sick Leave Law, 21 V.S.A. & 481-486 which requires limited paid time away from work for: physical or mental illness, preventative care, or to arrange services related to domestic violence or sexual assault. Time can be for the employee’s own need or the needs of: a parent, spouse, child, sibling, parent-in-law, grandchild or foster child.

PARENTAL LEAVES/PARENTAL PAY

Introduction
Middlebury recognizes the critical importance of bonding time during the period following the birth or adoption of a child. While the federal Family and Medical Leave Act guarantees up to 12 weeks of leave, FMLA is unpaid. In order to provide financial support for faculty members who are welcoming new children to their families Middlebury has several options for paid time, which can be used in conjunction with FMLA time. Available options include: Paid Parental Time, Faculty Leave Reserve, course releases, and – for birth mothers - Short-Term Disability Pay.

Paid Parental Time Eligibility
Paid Parental Time is available to benefits-eligible faculty members while serving as the primary caregiver for a period of time during the first 12 months following the birth or adoption of a child. The definition of ‘primary caregiver’ will vary across family situations, but typically means that throughout the period of Paid Parental Time the parent has principal responsibility for the child for a significant portion of each work day during the work week. In the case of adoptions the benefit applies only when the child being adopted is less than 18 years of age.

Paid Parental Time Benefit
The amount and timing of Faculty Paid Parental Time will vary depending on whether or not the individual applying for the benefit is scheduled to teach during the leave/pay period and whether or not both parents are employees of Middlebury College. The most common scenarios are described below. When situations arise that do not fall into one of these categories, and when unexpected complexities arise, the Dean of Faculty/VPAA, in his or her sole discretion, will decide to what extent to adapt the Paid Parental Time benefit.

Primary Caregiver Scheduled to Teach
When a child is expected to be born or adopted during a semester in which the parent is scheduled to teach, the faculty member will be relieved of teaching duties for the entire semester. Specifically:

When the parent/faculty member is not also the birth mother:

- During the first 6 weeks post-birth/adoption a faculty member who is not also a birth mother will not be expected to perform any duties, and will receive full salary.
- For the remainder of the semester the faculty member can elect to:
  1. Continue or resume non-teaching duties such as committee work, administrative work, academic advising, and supervision of senior work, in which case he or she will continue to receive full pay, OR
  2. If the faculty member is eligible under the Family and Medical Leave Act (FMLA) he or she can elect to continue leave with no duties generally for up to an additional 6 weeks (contact Human Resources for details), in which case he or she can:
a) Use accrued Faculty Leave Reserve to maintain pay, OR
b) Be placed on unpaid leave with benefits.

When the parent/faculty member is the birth mother:

- During the post-birth period the birth mother is eligible for 6 weeks Paid Parental Time (paid at 100% pay) PLUS a period (typically 4 to 6 weeks) of Short-term Disability Pay (at 60% pay) during which time she will not be expected to perform any duties. A faculty member can opt to “supplement” the 60% STD pay with accrued FLR pay to receive up to full salary during the disability portion of the leave.
- For the remainder of the semester the faculty member can elect to:
  1. Continue or resume non-teaching duties such as committee work, administrative work, academic advising, and supervision of senior work, in which case he or she will continue to receive full pay, OR
  2. If the faculty member is eligible under the Family and Medical Leave Act (FMLA) he or she can elect to continue leave with no duties generally for up to an additional 6 weeks (contact Human Resources for details), in which case he or she can:
     a) Use accrued Faculty Leave Reserve to maintain pay, OR
     b) Be placed on unpaid leave with benefits.

The following guidelines should be used to determine which semester(s) faculty may be released from teaching duties in the event of a birth or adoption under the policy for faculty scheduled to teach:

<table>
<thead>
<tr>
<th>For birth or adoption that is expected to occur:</th>
<th>Semester(s) released from teaching:</th>
</tr>
</thead>
<tbody>
<tr>
<td>October 1 - November 30</td>
<td>Fall &amp; Winter Term</td>
</tr>
<tr>
<td>December 1 – January 31</td>
<td>Winter Term &amp; Spring</td>
</tr>
<tr>
<td>February 1 – May 15</td>
<td>Spring</td>
</tr>
<tr>
<td>May 16 – September 30</td>
<td>Fall</td>
</tr>
</tbody>
</table>

**Primary Caregiver NOT Scheduled to Teach**
If the faculty member is not scheduled to teach during the semester of the parental leave by reason of academic leave, administrative appointment, or other reason, he or she will be eligible for up to 6 weeks of Paid Parental Time after the birth or adoption, assuming he or she will be the primary caregiver during this period. If the faculty member is eligible under the Family and Medical Leave Act (FMLA) he or she can elect to continue leave with no duties generally for up to an additional 6
weeks (contact Human Resources for details), in which case he or she would be placed on unpaid leave with benefits, OR can opt to use Faculty Leave Reserve to maintain paid status. Birth mothers will also be eligible for an additional period of Short-Term Disability pay.

Both Parents Employed by Middlebury College

Two-Faculty Couples: In situations in which both parents are Middlebury faculty, and both are eligible under the College’s Paid Parental Time policy, the faculty members will allocate between themselves, in consultation with the Dean of Faculty/VPAA, a maximum of 12 weeks of Paid Parental Time. This means, in situations in which one parent receives a full-semester of paid leave, the other parent will not be eligible for Paid Parental Time. However, in this case the parent receiving the full semester leave will not be expected to resume non-teaching duties after 6 weeks or use Faculty Leave Reserve; instead he or she will receive a full 12 weeks of Paid Parental Time with full work release.

In cases where neither parent is receiving the full semester leave (because of academic leaves and/or administrative appointments, for example), each may take up to 6 weeks of full Paid Parental Time, with no duties required. The timing of the leave for the first parent to take a leave will obviously be tied to the date of the birth or adoption of the child. However, the timing of the Paid Parental Time (if any) for the second parent/employee is at the discretion of the Dean of Faculty/VPAA, and would generally be timed so as not to disrupt a full academic semester. (Many secondary Paid Parental Time leaves will be granted during J-Term, for example.) As an alternative, the second Faculty parent could request a course release to be used within 12 months of the birth/adoption. Approval of a course release would be at the discretion of the Dean of Faculty/VPAA.

In either case the birth mother will also be eligible for an additional period of paid Short-Term Disability pay.

Faculty/Staff Couples: In situations where one parent is a member of the faculty and the other of the staff there are several possible scenarios for the Faculty parent:

- Faculty Parent who is scheduled to teach takes the initial leave: A faculty member who is scheduled to teach during the semester in which the new child arrives/is scheduled to arrive will be eligible for a teaching release and pay as described in the “Primary Caregiver Scheduled to Teach” section, above.
- Faculty Parent who is NOT scheduled to teach takes the initial leave: A faculty member who is not scheduled to teach by reason of academic leave, administrative appointment, or other reason, and who will be the initial primary caregiver will be eligible for up to 6 weeks of Paid Parental Time immediately after the birth or adoption. If the parent is also the birth mother she will be eligible for an additional period of Short-Term Disability pay.
- Faculty Parent who takes a leave after the staff parent has used Staff Paid Parental Leave: When the staff parent takes parental leave first, a faculty spouse/partner (who subsequently qualifies as the primary caregiver) may take up to 6 weeks of fully paid parental leave, with no duties required. However, the timing of this Paid Parental Time leave is at the discretion of the Dean of Faculty/VPAA, and would generally be timed so as not to disrupt a full academic semester—during J-term, for example. As an alternative, the Faculty parent could request a course release to be used within 12 months of the birth/adoption. Approval of a course release would be at the discretion of the Dean of Faculty/VPAA.
In all cases a faculty member qualifying under the FMLA or similar state law is entitled to up to 12 weeks of leave within a year of the birth/adoption. Such leave can be a combination of Paid Parental Time, Short-Term Disability pay, FLR, course release time, or unpaid time.

Adoptive families
Recognizing that it can be very difficult to predict precisely when a child being adopted will be placed in the home, the Dean of Faculty/VPAA will work closely with faculty who are adoptive parents to apply the provisions of this policy to their particular circumstances. Generally speaking, faculty who receive their child within a teaching semester during which they have not made previous arrangements for work release under this policy, can elect to be relieved of all non-teaching duties immediately upon the arrival of the child, provided they qualify as primary caregiver. In these cases, the faculty member would be granted Paid Parental Time in the subsequent semester, under the terms described previously. In all cases a faculty member qualifying for FMLA leave is immediately entitled to up to 12 weeks of unpaid FMLA leave, and may use accrued FLR, in addition to the Paid Parental Time described above.

Effect of Leave on Tenure Review Schedule
If a faculty member in a regular appointment is released from teaching for a parental leave under this policy, that faculty member's review schedule will be postponed by one semester, unless the faculty member requests that the schedule not be so postponed. Please note: Parental leaves do not automatically postpone an academic leave. Any change to the leave schedule should be discussed with the Dean of Faculty/VPAA.

DISABILITY (MEDICAL) LEAVES/PAY

Introduction
Faculty who find that they need a leave of more than three days for medical reasons should arrange to meet with or otherwise contact the Dean of Faculty/VPAA as soon as possible.

Middlebury College provides two income replacement programs for faculty members unable to work due to disability. The Dean of Faculty/VPAA will work with the faculty member and Human Resources to make any necessary FMLA leave and disability pay arrangements and to ensure a smooth transition for the faculty member to and from disability status.

Details about the benefits available to faculty needing short- or long-term medical leaves or FMLA leave can be obtained by contacting Human Resources (x 5465) or from the Human Resources web site: http://www.middlebury.edu/offices/business/hr/staffandfaculty/benefits.

Short-term Disability Pay
Middlebury provides a self-insured, employer-paid short-term disability (STD) benefit which is accessible by faculty members prevented from working due to a qualifying illness or injury. After a two-week elimination period STD pays 60% of pre-disability wages* for up to 26 weeks. Full details on the benefit can be found in the Middlebury Health and Welfare Summary Plan Description (available online or from the Human Resources Office).
**Long-term Disability Pay**
Middlebury provides a fully-insured, employer-paid long-term disability (LTD) benefit which is available to faculty members unable to work for an extended period of time. LTD provides income replacement of 60% of pre-disability wages* during a qualifying and approved disability (see the Middlebury College Health and Welfare Benefit Plan Summary Plan Description or contact Human Resources for details about this plan).

*In order to receive disability pay a faculty member **must** file a disability application (available from the Human Resources Department) in a timely manner; failure to do so will result in a delay or even loss of eligibility for income replacement benefits. We cannot make exceptions to this requirement.

**Supplementing Disability Pay using Faculty Leave Reserve**
Faculty may arrange to use accrued Faculty Leave Reserve to augment the 60% short-term or long-term disability income replacement benefit as well as during the elimination period for STD, thus remaining at full salary. Arrangements to do so can be made through the Disability/Leave Specialist in the Human Resources Department.

**Timetable of Disability Pay, Benefits, and Status**
Many factors, such as a faculty member’s length of service, current benefit elections, full or partial disability status, etc. can affect the timeline of pay, benefits, and faculty status during a period of disability. The Dean of Faculty/VPAA and Human Resources work together to communicate specific timeline information to faculty members unable to work due to a medical condition. The chart below illustrates the most straight-forward scenario: it assumes a faculty member with multiple years of service, tenure/tenure track status, current enrollment in employee benefits and full (not partial) disability.

**Sample Disability Timeline for Tenured or Tenure Track Faculty**

<table>
<thead>
<tr>
<th>Time (from onset of disability)</th>
<th>Faculty Status</th>
<th>Pay (level and source)</th>
<th>Benefits Eligibility</th>
<th>FMLA Coverage</th>
</tr>
</thead>
<tbody>
<tr>
<td>0-3 months</td>
<td>Active, on medical leave</td>
<td>100% of salary: <em>Short-Term Disability pays 60% of weeks 3-12, use accrued Faculty Leave Reserve to cover two-week elimination period and to bring to 100% of pay for full period of disability</em></td>
<td>Regular employee benefits continue</td>
<td>FMLA period</td>
</tr>
<tr>
<td>3-6 months</td>
<td>Active, on medical leave</td>
<td>100% of salary: <em>Short-Term Disability pays 60% throughout,</em> Regular employee benefits continue</td>
<td></td>
<td>FMLA ends when individual has been absent</td>
</tr>
<tr>
<td>Duration</td>
<td>Status</td>
<td>Benefits and Leaves</td>
<td></td>
<td></td>
</tr>
<tr>
<td>------------</td>
<td>-------------------------------</td>
<td>--------------------------------------------------------------------------------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6-12 months</td>
<td>Active, on medical leave</td>
<td>60% of salary paid by LTD; up to 40% covered by accrued Faculty Leave Reserve</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Regular employee benefits continue</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Not available</td>
<td></td>
<td></td>
</tr>
<tr>
<td>12-24 months</td>
<td>Temporary Inactive status, on medical leave</td>
<td>60% of pre-disability wages paid by LTD. Use available accrued Faculty Leave Reserve for up to 40% of pay.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Continuation of Medical, Dental &amp; Vision benefits; no longer considered “actively employed” under LTD and Life insurance policies. Life Insurance may be converted, ported or may qualify for Life Waiver of Premium.</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;24 months</td>
<td>Disability retirement status</td>
<td>60% of pre-disability wages paid by LTD until normal retirement age or no longer disabled</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Medical/Dental/Vision eligibility similar to emeritus faculty. Period of College-paid individual coverage based on years of service (max 29 months).</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>N/A</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

This chart is provided for illustrative purposes only. Specific circumstances should be discussed with the Dean of Faculty/VPAA and Human Resources.

**Other Unpaid Leaves**
Faculty members on ongoing appointments are eligible to apply for leave for personal reasons. Such leaves are without pay and benefits and will usually last for a minimum of one semester and a maximum of one year. Normally, only one year of personal leave will be outside the regular scheduling of reviews for reappointment, promotion, and tenure.

The College will do its best to honor such requests, but it is incumbent upon the individual wishing such a leave to apply early enough so that the department affected may have time to plan for a replacement or make alternative arrangements for covering classes.

**Vermont Earned Sick Time (VEST) for Non-regular Faculty**
Faculty members who are otherwise classified as non-benefits-eligible are provided with a paid time off benefit under the Vermont Earned Sick Leave Law, 21 V.S.A. & 481-486. VEST allows for certain paid time away from work for: physical or mental illness, preventative care, or to arrange services related to domestic violence or sexual assault. Time can be for the employee’s own need or the needs of: a parent, spouse, child, sibling, parent-in-law, grandchild or foster child. See the VEST Policy in the Employee Handbook for details.
MENTORING NEW FACULTY

Faculty Expectations – Guidelines

The following is a list of expectations and guidelines, intended to serve as a practical guide for Chairs to use in mentoring and advising their colleagues. The Academic Affairs website contains a resource guide for new faculty, newly expanded for 2017-18, at http://www.middlebury.edu/academics/administration/newfaculty. For general expectations of faculty members at Middlebury, please see the section of the Faculty Handbook under Rules of Appointment 1.b. “Principles.”

- In addition to attendance at meetings of the faculty, faculty are expected to participate in significant all-College events such as Commencement, Convocation, and faculty-related events during Fall Family Weekends. The College will pay for the cost of rental of academic regalia for full-time junior faculty. The College Store offers an interest-free loan program, open to all faculty, to support the purchase of academic regalia. Contact the College Store (x2673) for more information.

- Teaching responsibilities for full-time faculty members are expected to include, on average, 4.5 course preparations, 18 contact hours, and 90 enrolled students per academic year, as averaged over 4 years. These numbers represent a total of Fall, Winter, and Spring terms. The teaching responsibilities are explained in more detail in the section of the Chairs’ Handbook on Teaching Expectations. Colleagues on regular appointment at all ranks are expected to share equally in teaching and advising, including independent and senior work, and to share in the day-to-day tasks necessary for the administration of our academic programs. Middlebury Faculty who are not scheduled to teach during Winter Term are expected to be present on campus and to carry out normal duties such as advising students, supervising senior theses, participating in departmental governance, and serving on faculty committees. Winter Term released time is not to be considered an academic leave. Significant absences from campus during winter term require permission from the Dean of the Faculty.

- Faculty are expected to be available (i. e., either on campus, by phone or where appropriate by e-mail), for advising, consultation, committee work, etc., on the days when College classes meet. Faculty are expected to hold at least three regularly scheduled office hours per week, arranged so as not to fall in the same course time slot. These should be announced on their syllabus, and posted in their Directory information online. To post office hours online, go to the Directory at go.middlebury.edu/dir and select “Change Information.” After logging on, you will be able to list your office hours for the current semester. Colleagues are encouraged to add "and by appointment" to their office hours because they are expected to meet students by appointment when necessary.

- Faculty who need to miss a class for illness or travel are encouraged to ask a colleague to replace them for a day. When one cannot be appropriately replaced by a colleague, any missed classes should be made up promptly and at times that do not unduly interfere with the other demands on students’ time. Faculty who anticipate missing a significant amount of class time (more than three classes or five working days) due to illness or other circumstances should contact the VPAA/DOF. Chairs should refer colleagues confronting serious medical issues—
those that require missing more than a week of class—to the Dean of the Faculty, who can make sure that they are aware of our policies for short-term and long-term disability.

- College regulations allow for "the equivalent of four days per month" maximum for external consulting—see the policy on consulting and outside employment in the Faculty Handbook. Consulting opportunities that require greater time commitments approved in advance by the VPAA/DOF. Such consulting should not interfere with the normal class schedule. All consulting obligations that involve contractual arrangements or continuing commitments must be approved by the VPAA/DOF.

- Faculty should make clear at the outset of their courses what their expectations are in terms of attendance/absences, graded work (including format, citations, and submission dates), examinations and final examinations. (See the "Academics" section of the Middlebury College Handbook for guidelines.) They should also make a point to remind students of the College policy on plagiarism, and the Honor Code.

- Course materials that students are expected to purchase should be used thoroughly in the course. In cases where only partial use is likely, there is the option of using the electronic reserve system (go.middlebury.edu/eres) at the Library, or of creating a course pack containing the necessary excerpts of books (information is available through the College Store).

In addition to the guidelines for all faculty (above), the information below should also be shared with new faculty.

Colleagues new to Middlebury should discuss grading practices with experienced colleagues early in the term. (A table of grade distribution by department is circulated to faculty every year, and may provide a useful starting point for discussion of departmental grading practices.) Graded work should be returned to students promptly while it may still have pedagogical significance. As a general rule of thumb, assignments of a particular type should be returned with feedback before the next assignment of that type is due. Chairs should make sure that new faculty are familiar with the process for submitting grades, and the requirement that grades be submitted promptly on Banner at the end of the semester.

Students should have some sense of their progress in a course prior to the drop-add deadline at the end of the fifth week of classes (seventh week for first-year students in their first semester). Students in serious difficulty should be referred to their Commons Dean and made aware of academic resources available through the Center for Teaching, Learning and Research (CTLR). Although faculty are not required to issue course warnings, we strongly encourage faculty to do so in order to insure that Commons Deans are aware of students who are struggling. Chairs should highlight the importance of course warnings to new faculty.

If a final exam is to be given, students should be so notified at the outset of the course. No final exams are allowed in the final week of class.

Cancellation of classes immediately prior to or following a vacation almost always places unfair pressure on other colleagues to do the same, and should occur only in an emergency.
New faculty are strongly encouraged to get an early sense of how their courses are progressing. Possible ways to do so include: having students provide informal written feedback in class (preferably anonymous and written), e.g., after a month of class and in order to allow time for modifications before the official course response forms are completed at the end of the course; having one's course videotaped for purposes of self-assessment; observation of others' teaching, or of one's own classes by a colleague from within or outside the department (such observation occurs only at the invitation of the individual who wishes to be observed).

Colleagues are particularly encouraged to familiarize themselves with the College policies on the following: “Alcohol and Other Drugs,” “Academic Honesty and Honor Code,” “Anti-harassment/Discrimination,” “Americans with Disabilities Act,” and “Rules of Appointment and Tenure,” all available at go.middlebury.edu/handbook. New Faculty will receive information on many of these policies during orientation, but Chairs are encouraged to follow up with them in case they have questions.

**Mentoring in the Department**

Chairs play an important role in mentoring junior colleagues, particularly new faculty. The College has a Faculty Mentor program (see below), in which new faculty are assigned to mentoring groups, but that program explicitly avoids pairing new faculty with colleagues from the same department. This leaves an important role for Chairs/Directors in mentoring new faculty. Chairs/Directors are encouraged to identify a specific individual in the department—who may or may not be the chair—to mentor new faculty. In addition to providing mentoring and guidance about the review process, including discussions of departmental expectations about scholarship, these mentoring responsibilities may include the following kinds of activities. Further information and suggestions about mentoring can be found below and in the Retention section at the end of the Recruiting section.

- Introduce new faculty colleagues to other members of the department. The VPAA/DOF may be able to make funds available to support building open houses to introduce new colleagues to the denizens of their building.
- Identify a colleague responsible for accompanying a new faculty colleague to his or her first faculty meeting. This colleague might also consider bringing the new faculty member up to speed on any issues likely to be discussed that are carried over from the previous year.
- Meet with new faculty members early to discuss the expectations for faculty at Middlebury (e.g., by going through the Faculty Expectations section of this handbook).
- Discuss departmental practices with respect to constructing syllabi: What information is common to include in your department? What statements about the Honor Code do you expect syllabi to contain?
- Discuss departmental grading practices, and share the departmental GPA data (provided every year) with new colleagues.
- Encourage your new colleagues to think about mechanisms for soliciting feedback on courses partway through the semester (e.g., through anonymous mid-term surveys of students), and advise them on how they might seek out feedback on their teaching, if they so wish (e.g., having a class videotaped, inviting colleagues to visit a class). Note that you cannot require a new colleague to undertake these activities (outside the requirements of the review process, of course), but you can make yourself available should your colleagues wish to seek your feedback.
• Don’t assume that you know the kinds of support your new colleague may want; provide your new colleague with opportunities to ask questions of you.
• Part of mentoring is helping a new colleague identify their own network of support, so offer to make introductions to others on campus who might be important sources of mentoring, or with whom your new colleague shares a teaching or scholarly interest.

Course Response Forms
In addition to using Course Response Forms (CRFs) in the formal review process, chairs are encouraged to use CRFs in the mentoring process, especially for new faculty and those who will be under review in the near future. Chairs now have online access to course response forms (CRFs) beginning Winter Term 2012 for their departmental colleagues. This is normally set up automatically. In order to login, go to: https://CRFAccess.middlebury.edu/username, where “username” is replaced you’re your college username. You will be prompted to enter your login information used to access email on a daily basis.

If you find that someone is missing from the list of CRFs that you can see, please contact the office of the VPAA/DOF and it will be corrected. If you will be writing for the programmatic portion of a review as the director, you should automatically get access to the CRFs for programmatic courses.

You should also remind your departmental colleagues that we now allow faculty to designate a “CRF-optional” course once every two years. The faculty member must have completed the equivalent of two years of full-time teaching in order to do so. In these cases, the faculty member can review the forms and decide whether to include them in the administration’s file.

There is a growing recognition of the limitations in Course Response Forms, and the biases that they can reflect, particularly for women and faculty of color. Chairs are encouraged to familiarize themselves with the scholarship on student evaluations, so as to be able to address this topic with new colleagues. The Chief Diversity Officer and the VPAA/DoF can provide a list of references on request.

Mentoring Program
All new faculty are assigned to mentoring groups when they arrive at Middlebury. These groups are constructed so that new faculty are paired up with established colleagues from outside their department. A typical group will have 2 or 3 new faculty and 2 or 3 established faculty, including both untenured and tenured colleagues. Mentoring groups are encouraged to meet monthly during the first year, and may choose to continue to meet after the first year.

MENTORING FOR LONG-TERM RETENTION

The recruiting manual provides an overview of mentoring practices designed to assist with the transition to the Middlebury College faculty. These serve as a foundation for mentoring over the long term as well, and Chairs/Directors are encouraged to review them even if you are not recruiting this year. Department Chairs and Program Directors are in a unique position to check in with junior colleagues from time to time, and to serve as mentors—or as a resource to help junior colleagues identify mentors elsewhere on campus. A network of mentors can be critical for helping faculty to navigate the tenure process, resolve complicated issues of work/life balance, and devise a successful and gratifying career trajectory. Chairs/Directors should keep in mind that junior faculty may need
to be mentored around mentoring—urged to take an active role in seeking out mentors at the college (and beyond).

**Resources for mentoring and the tenure process**
- Chairs and Directors should be familiar with the review process, and take full advantage of the mentoring opportunities within that process.
  - **Professional consultation:** This occurs during a candidate’s second year, and is important for the chair/director and another senior colleague to visit a class and provide feedback on teaching.
  - **Post-review consultation:** Following a successful First Review, Chairs and Directors meet with the candidate and the Dean for Faculty Development and Research to discuss the review, and to look ahead to the tenure review. This meeting provides an opportunity to invite questions and begin a conversation about the faculty member’s trajectory towards tenure.
- The Academic Administration has compiled information and FAQs about the review process at go/reviews, which contains both the Handbook language around reviews, and helpful suggestions contributed by former members of the COR. Although it is designed to be helpful to those under review, Chairs/Directors may also find it to be a useful resource.

**Resources for mentoring and work/life balance**
- Spousal employment concerns are a significant challenge for many faculty, and are one of the major reasons why faculty choose to leave Middlebury. Chairs/Directors should be familiar with our spousal employment policy found at go/spousalemp.
- Faculty with concerns about spousal employment can be referred to the VPAA/DOF (for spouses seeking faculty positions) or the HR Manager for Faculty Administration, Cathy Vincent (for spouses seeking non-faculty positions).
- There are resources about Work/Life balance available on the web at go/worklife.
- The Dean of Faculty’s office has a small amount of funding to support additional childcare expenses associated with professional development opportunities (e.g., conference travel). The Dean of the Faculty or Lynn Dunton can provide additional information.

**General resources and readings about mentoring**
- Mentoring resources from the University of Massachussets Center for Teaching & Faculty Development: http://www.umass.edu/ctfd/mentoring/resources.shtml
- Top Ten Things New Faculty Would Like to Hear From Colleagues http://academicladder.com/top-ten-things-new-faculty-members-would-like-to-hear-from-colleagues
TEACHING ASSISTANTS

Middlebury College’s Assistants in Language are often referred to on campus as Teaching Assistants (TAs). The Teaching Assistant (TA) program is expected to be a 1-year program. Program dates typically run from late August to the day after Commencement in May. On occasion, there may be circumstances when a Language Department Chair may seek an extension for the TA beyond one academic year. An extension must be approved by the Vice President of Academic Affairs (VPAA)/Dean of Faculty (DoF) and International Student & Scholar Services (ISSS) in advance of the Department Chair moving forward with an offer of extension. In addition, an extension may not be feasible based on an individual TA’s ability to qualify. Extensions must be processed before the program end date on the DS-2019 visa document.

All Teaching Assistants (TAs) in the languages are considered to be students in the BANNER administrative information system. TAs are selected by the Language Department Chairs and are hired by the VPAA/DOF since the TAs support the academic program.

Middlebury College typically uses the J-1 Exchange Visitor visa program category “University & College Students/Non-degree” to bring to the U.S. any TAs who require a visa status to enter the U.S. to participate in the TA program. Under this framework, the educational objective and purpose of Middlebury’s TA program is for TAs to learn about and contribute to the teaching of languages at a U.S. liberal arts institution.

**Arrival Information:** TAs will be asked to arrive about two weeks before the start of Fall Term classes so that they may participate in the New Faculty Orientation and Faculty Meetings. This arrival date also allows time for the TAs to attend a required orientation program, coordinated by ISSS, and to be prepared to assist their department once classes begin.

Department Chairs will make arrangements for the pick-up of their TA from the airport. Travel for the TAs is covered by the recruiting budget. Therefore, if the TA needs assistance with travel from Burlington to Middlebury, Department Chairs may charge the recruiting budget for that expense. We encourage you to use Middlebury Transit, which may reduce costs if they are meeting others coming to Middlebury at the same time. Visit [http://www.middleburytransit.com](http://www.middleburytransit.com) for more information about Middlebury Transit services. Alternatively, you or your designate may seek reimbursement for mileage if you/the designate choose to meet your TA at the airport.

Specific details about the arrival dates will be communicated to the TAs via email and with their visa documentation. The Department Chair and Coordinator will also be informed.

**Housing Information & Keys:** TAs live in special interest housing in Language Houses located on campus. Karin Hall-Kolts, the Residential Systems Coordinator, will house all TAs in pre-determined rooms within the Language Houses. The Language House Faculty Advisor will provide Karen with a list of undergraduate students who will live in the house with the TA and this list will be shared with the TA.

Through pre-arrival information, the TA will be informed about obtaining the key code or how to obtain keys at the Public Safety Office. Karin Hall-Kolts, Residential Systems Coordinator, will communicate with Public Safety regarding key access for the TAs. (Note: Department office keys, if necessary, should be requested and distributed by the Department Coordinator.)
Linens and Furnishings: The TA’s room will be equipped with a bed, furnishings, and lighting. The Custodial Department will provide linens (including towels, sheets, blankets and a pillow). It should be understood that these items must remain in the room when the student leaves campus at the end of the year.

Meals: TAs may eat in any of the dining halls on campus. The TA names are included in the early arrival list and may access meals in the dining hall prior to the start of classes.

ID Cards: The TA will be able to receive a Middlebury College identification “ID” card at Public Safety approximately 48 hours after he/she has checked in with ISSS and completed necessary paperwork at the Student Employment Office. This ID card will be used for identification purposes on campus and can be used to gain entrance to campus events that are open to campus ID holders.

Orientation: International Student and Scholar Services (ISSS) coordinates an orientation program for the TAs. (The Department of State requires that individuals in J-1 Exchange Visitor status be offered an orientation to campus and to their roles.) ISSS is located in the Service Building (2nd Floor). To comply with U.S. Government procedures, each TA must meet with ISSS staff upon arrival to check in so ISSS can validate their record in the Student & Exchange Visitor Information System (SEVIS). The TA will need to bring the following documents (if applicable) to the TA Orientation: original Form DS-2019 (the form used to obtain a U.S. visa) and a valid passport. ISSS will assist TAs with accessing their electronic I-94 arrival/departure records. ISSS will communicate directly with the TAs and will also notify the Department Chairs and Coordinators of the time and date of this meeting. All first-time TAs must attend Orientation. If any TAs are approved for a one-year extension, s/he will be expected to assist ISSS with orientation for the new TAs.

Employment Eligibility and Required Paperwork: Any employment issues for the Language Department Teaching Assistants (TA) should be addressed with the Student Employment Office.

U.S. employers must verify the employment eligibility and identity of all employees hired to work in the United States. To comply with the law, employees are required to complete an I-9 Employment Eligibility Verification form. The TAs will complete this form at the Student Employment Office during the orientation. The list of acceptable documents that can be used to satisfy the I-9 requirement can be accessed on the Student Employment Office website at go/seo.

Anyone who legally works in the United States needs a Social Security Number (SSN) in order for the Social Security Administration (SSA) to apply social security and Medicare payments made by the employer on behalf of the employee to the right account in the employee’s name. The SSN may also be used as an identification number for taxes and other purposes. Foreign nationals are eligible to receive an SSN if they have permission to work. Generally, F, J, H, TN, O, P visa holders are allowed to apply for a social security number as long as they are authorized to work in the U.S.

If your TA arrives without an SSN, s/he will need to apply for one. ISSS will facilitate a trip to the SSA office located at 58 Pearl Street in Burlington. If the TA misses the opportunity, s/he will need to make arrangements on her/his own to go to Burlington to apply for an SSN. The SSA office is open Monday through Friday, and office hours vary per day. The phone number is: 800-772-1213 or 802-951-6753. For more information about obtaining an SSN, please see the ISSS website FAQ on this topic. There are certain documents the TA will need to bring to the SSA office to apply for the number. TAs should meet with an ISSS Advisor to ensure they have the appropriate documentation before visiting the Social Security Administration office.
The Middlebury Tax Office will contact the TAs who are foreign nationals regarding their tax status as a non-resident alien and will instruct them on the necessary forms to complete. All new employees complete a W-4 tax withholding form in the Student Employment Office when they arrive on campus. Paychecks will be sent to the TA’s campus address on the regular bi-weekly pay schedule.

**J-1 Student (Non-Degree) Exchange Visitor Requirements:** Pursuant to J-1 student visa regulations and to maintain their immigration status, TAs are required to:

a. **Limit employment to no more than 20 hours per week.** These hours would include the TA’s language assistant duties for the department as well as their language house duties. If there is a desire or need to expand the TA duties beyond 20 hours per week, please contact ISSS in advance to determine if it would be allowed under the J-1 regulations and Middlebury policy.

b. Enroll in, attend, and successfully complete at least 2 credit-bearing courses at Middlebury College (at least 1 course each for Fall and Spring terms). The courses must be taken at Middlebury College and cannot be distance education or on-line courses. This is the prescribed course of study for TAs at Middlebury College.

Failing to adhere to these requirements would likely result in serious consequences. This could result in the TA falling out of good standing with Middlebury College and would likely affect the TA’s visa status and their ability to continue in the capacity of TA.

The Department Chair will serve as the TA’s academic advisor. This faculty member will meet with TA to answer questions about course selections and expectations prior to registration. Course registration occurs during orientation after the TA arrives on campus. Please note that TAs cannot register online as other students do. Instead, they must use add/drop cards to register for any courses.

**Medical Insurance:** J-1 visa holders and TAs are required to have insurance. TAs are covered under the student insurance policy. The Dean of Faculty Office coordinates enrollment of the TAs in the student insurance program. Each TA will receive an identification card from Gallagher Student Health and Special Risk insurance to present when pursuing care at an off-campus medical facility.

**Photocopy Access:** Prior to the Fall Term, the Department Coordinator should send an email request to printermanagement@middlebury.edu or call x5700 to program the TA’s ID number into the appropriate copiers for departmental business, if the printer requires it.

**House Budgets:** The TA will work with the Department Chair or designated faculty member regarding Language House programmatic plans and implementation. The Department Coordinator will process vouchers for payment of invoices or reimbursement of expenses for eligible house expenses submitted with receipts. Katy Smith Abbott, Vice President of Student Affairs & Dean of the College, is the senior budget administrator for all Language House budgets. Department Coordinators are not responsible for approving or facilitating House programs.

**Residential Life:** Doug Adams, Associate Dean of Students for Residential & Student Life, oversees the campus housing and the student residential life staff program. Community Assistants (CAs) are undergraduates on the residential life staff who work with the Language Houses and TAs. Doug is a resource regarding housing and residential life matters.
2017-2018 CONTACTS

ADMINISTRATIVE OFFICERS AND TELEPHONE NUMBERS

President, Laurie L. Patton (5400)

Provost, Susan Baldridge (5518)

Vice President for Academic Affairs and Dean of the Faculty, Andi Lloyd (5735)
Vice President for Academic Development, Tim Spears (5391)
Vice President for Academic Affairs and Dean of the Schools, Jeff Cason (5745)
Vice President for Human Resources and Chief Risk Officer, Karen Miller (5275)
Vice President for Student Affairs and Dean of the College, Katy Smith Abbott (5382)
(until December 31, 2017)
Vice President for Student Affairs, Baishakhi Taylor (effective January 1, 2018) (3233)
Vice President for Academic Affairs and Dean of the Institute, Jeff Dayton-Johnson in Monterey, California (831-647-4647)
Chief Diversity Officer, Miguel Fernandez (5792)

Associate Vice President for Information Technology, James Stuart (5054)
Dean of Curriculum, Emily Proctor (5954)
Dean of Environmental Affairs, Nan Jenks-Jay (5090)
Dean for Faculty Development and Research, Jim Ralph (5320)
Dean of the Language Schools, Steve Snyder (5979)
Dean of the Library, Mike Roy (5490)
Director of the Arts, Pieter Broucke (5227)
Director of the Sciences, Pat Manley (5430)

Dean of Students, Baishakhi Taylor (3233)
Dean of Atwater Commons, Scott Barnicle (3310)
Dean of Brainerd Commons, AJ Place (3320)
Dean of Cook Commons, Ian Sutherland (3330)
Dean of Ross Commons, Emily Van Mistri (3340)
Dean of Wonnacott Commons, Matt Longman (3350)

Information Sources

Handbooks

The Middlebury College Handbook for questions concerning College benefits; for information on faculty organization, regulations, and procedures; and for questions concerning student regulations and procedures.

Handbook for New Faculty for general information about academic issues.
<table>
<thead>
<tr>
<th>Commons/Group</th>
<th>Head &amp; Phone Ext. (Dept. office/Commons office)</th>
</tr>
</thead>
</table>
| *Atwater Commons*      | Sandra Carletti  
(Residence Halls: Allen/Coffrin)  
Professor of Italian  
X3130/3311 (Commons Office) |
| *Brainerd Commons*     | Roman Graf  
(Residence Halls: Stewart/Hepburn)  
Professor of German/Comp. Lit  
x3321 (Commons Office) |
| *Cook Commons*         | Matthew Dickerson  
(Residence Halls: Battell North, Battell Center, Forest West/Pearsons)  
Professor of Computer Science  
x3330 (Commons Office) |
| *Ross Commons*         | Pavlos Sfyroeras  
(Residence Halls: Ross/Hadley/Milliken)  
Professor of Classics  
x2530, x3341 (Commons Office) |
|                        | Maria Hatjigeorgiou  
Lecturer in Religion and GSFS  
x3029, x3341 (Commons Office) |
| *Wonnacott Commons*    | Jonathan Miller-Lane  
(Residence Halls: Battell South, Battell Center 3rd Floor and Gifford)  
Associate Professor of Education Studies  
x3459 |
### 2017-18 List of Academic Department Chairs, Program Directors, and Coordinators

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<tr>
<th>Department</th>
<th>Chair</th>
<th>443-</th>
<th>Coordinator</th>
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<tr>
<td>Arabic</td>
<td>Sam Liebhaber</td>
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<td>Nicole Patterson</td>
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<td>Mari Price</td>
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<td>Sarah Kears</td>
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### Program or Minor

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<td>Megan Curran</td>
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<td>5530/5703</td>
<td>Susan Perkins</td>
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<td>Sheerya Shivers</td>
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<td>Lily Hunt</td>
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</tr>
<tr>
<td>First Year Seminar</td>
<td>Jim Berg</td>
<td>5709</td>
<td>Diane Burnham</td>
<td>3320</td>
</tr>
<tr>
<td>Gender, Sexuality &amp; Fem St.</td>
<td>Laurie Essig</td>
<td>5355</td>
<td>Susan Perkins</td>
<td>3112</td>
</tr>
<tr>
<td>Global Health</td>
<td>Svea Closser</td>
<td>5188</td>
<td>Mari Price</td>
<td>5403</td>
</tr>
<tr>
<td>Hebrew</td>
<td>Tamar Mayer</td>
<td>5568</td>
<td>Jennifer Nuceder</td>
<td>5324</td>
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<tr>
<td>International and Global Studies</td>
<td>Tamar Mayer</td>
<td>5568</td>
<td>Jennifer Nuceder</td>
<td>5324</td>
</tr>
<tr>
<td>African Studies</td>
<td>Nadia Horning</td>
<td>3428</td>
<td>Jennifer Nuceder</td>
<td>5324</td>
</tr>
<tr>
<td>East Asian Studies</td>
<td>Don Wyatt</td>
<td>5548</td>
<td>Jennifer Nuceder</td>
<td>5324</td>
</tr>
<tr>
<td>European Studies</td>
<td>Juana Gamero De Coca</td>
<td>5287</td>
<td>Jennifer Nuceder</td>
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<tr>
<td>Latin American Studies</td>
<td>Mark Williams</td>
<td>5195</td>
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<tr>
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<td>Sam Liebhaber</td>
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<tr>
<td>Russian &amp; East European St.</td>
<td>Tatiana Smodrinska</td>
<td>2532</td>
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<td>South Asian Studies</td>
<td>Sujata Moorti</td>
<td>5674</td>
<td>Jennifer Nuceder</td>
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<tr>
<td>Int’l Politics &amp; Economics</td>
<td>Will Pyle</td>
<td>3240</td>
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<tr>
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<td>Rebecca Bennette</td>
<td>5853</td>
<td>Vijaya Wunnava</td>
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<tr>
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<td>Hang Du</td>
<td>5257</td>
<td>Jessica Helly</td>
<td>5856</td>
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<tr>
<td>Literary Studies</td>
<td>Stephen Donadio</td>
<td>5119</td>
<td>Renee Brown</td>
<td>5124</td>
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<tr>
<td>Molecular Bio &amp; Biochem</td>
<td>Catherine Combelles</td>
<td>5251</td>
<td>Judy Mayer</td>
<td>5451</td>
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<table>
<thead>
<tr>
<th>Department</th>
<th>Name</th>
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<th>Name</th>
<th>Phone</th>
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<tr>
<td>Neuroscience</td>
<td>Kim Cronise</td>
<td>5252</td>
<td>Joanna Shipley</td>
<td>5438</td>
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<tr>
<td>Professors of the Practice</td>
<td>David Colander</td>
<td>5302</td>
<td>Vijaya Wunnava</td>
<td>5009</td>
</tr>
<tr>
<td>Studio Art</td>
<td>Hedya Klein/Jim Butler</td>
<td>3437/5231</td>
<td>Megan Curran</td>
<td>5234</td>
</tr>
<tr>
<td>Writing Program</td>
<td>Catharine Wright (Fall)</td>
<td>2568</td>
<td>Sheerya Shivers</td>
<td>5276</td>
</tr>
<tr>
<td></td>
<td>Shawna Shapiro (Winter and Spring)</td>
<td>5977</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
NEW FACULTY 2017-18

Professors
Steven Fesmire  PhD, Southern Illinois University  Vis. Professor of Philosophy

Associate Professors
Per Urlaub  PhD, Stanford University  Assoc. Dean Language Schools

Assistant Professors
Michole Biancosino  MFA, Rutgers University  Asst. Professor of Theatre
Toni Cook  PhD, University of Pennsylvania  Vis. Asst. Prof. of Linguistics (pt)
Erin Eggleston  PhD, Cornell University  Asst. Professor of Biology
Felicia Grey  PhD, Old Dominion University  Vis. Asst. Prof. of Political Science
Christopher Herdman  PhD, University of California, Berkeley  Asst. Professor of Physics
Marissel Hernandez-Romero  PhD, CUNY Graduate Center  Vis. Asst. Prof. of Spanish/Port.
Paul Hess  PhD, Harvard University  Vis. Asst. Prof. of Physics
Laurel Jenkins  MFA, University of Los Angeles  Asst. Professor of Dance
Deniz Karakas  PhD, SUNY, Binghamton  Vis. Asst. Prof. of History of Art
Shelby Kimmel  PhD, Mass Institute of Technology  Asst. Professor of Comp. Science
Michelle Lefttheris  MFA, Rhode Island School of Design  Asst. Professor of Studio Art
Lindsay Repka  PhD, California Inst. Of Technology  Asst. Prof. of Chem/Biochem.
Kristina Sargent  PhD, University of Colorado, Boulder  Asst. Professor of Economics
Elizabeth Saylor  PhD, University of California, Berkeley  Vis. Asst. Professor of Arabic
Matthew Evan Taylor  PhD, Frost School of Music, U. Miami  Vis. Asst. Professor of Music
Trinh Tran  PhD, University of California, Berkeley  Asst. Prof. of Sociology/Anthro
Zu Wei Zhai  PhD, University of Pittsburgh  Vis. Asst. Professor of Psychology

Instructors
Martin Abel  MPA, Harvard Kennedy School  Instructor in Economics
Jason Grant  MS, University of Notre Dame  Instructor in Computer Science
John Harpham  AM, Harvard University  Vis. Instructor in Political Science
Maria Hofmann  MA, Ludwig-Maximilians Univ.  Vis. Instructor in German
Alexander Lyford  MS, University of Georgia  Vis. Instructor in Mathematics
George Melvin  MAS, Trinity College, UK  Vis. Instructor in Mathematics
James Sanchez  MA, University of Texas, Tyler  Instructor in Writing
Daniel Suarez  MA, University of Toronto  Inst. in Environmental Stud. (Jan.)
Erin Wolcott  BA, Cornell University  Inst. In Economics, Jones Fellow

Lecturers, others
Julian Barnett  MA, ArtEZ Univ. of the Arts, Netherlands  Artist-in-Residence in Dance
Karima Borni  PhD, Northwestern University  Scholar-in-Residence in Dance
Sinead Keirans  BA, Middlebury College  Asst. in Instruction in Film/Media
Lea LeGardeur  BA, Middlebury College  Asst. in Instruction in Geography
Dan Liu  

Language TAs
Jannis Buschky  Assistant in German
Megane Courivaud  Assistant in French
Ahmed Shawky Ghazala  Assistant in Arabic
Zalina Kaytmazova  Assistant in Russian
Carolina Menendez Ferreira  Assistant in Spanish
Fabiola Stein  Assistant in Portuguese
Roberto Zagarese  Assistant in Italian
RECRUITING
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A MESSAGE FROM THE VPAA/DOF

August 1, 2017

The process of recruiting faculty has long been guided by policies outlined in the Chairs’ handbook. Over the past few years, we have been adding to those policies so that the recruiting section serves not only to cover the various rules, procedures, and regulations that must be followed, but also to provide guidance and ideas on best practices that should be followed in order to achieve our goal of recruiting and retaining excellent new faculty. The best practices components of the Handbook evolve over time, incorporating feedback from Chairs and Directors on what works—and what does not work. In that sense, this manual is intended to be a living document, and your input and suggestions are always welcome.

Recruiting and mentoring new faculty is among the most important tasks that a Department Chair or Program Director oversees. Our goal in faculty recruiting is to bring excellent teacher-scholars to Middlebury: to attract and retain individuals who will embrace the opportunity to contribute to the College’s mission of engaging “students’ capacity for rigorous analysis and independent thought” and cultivating “the intellectual, creative, physical, ethical, and social qualities essential for leadership in a rapidly changing global community” (go.middlebury.edu/mission).

Faculty recruiting is also guided by the College’s long-standing commitment to recruit and retain a diverse faculty. As each advertisement states, we are “committed to hiring a diverse faculty as we work to foster innovation in our curriculum and to provide a rich and varied educational experience to our increasingly diverse student body.” Refining our recruiting practices in light of our goal of diversifying the faculty has been a major emphasis over the past several years, and our recruiting practices have continued to evolve and improve as we have implemented best practices in this area. Chief Diversity Officer Miguel Fernández and I will continue to engage the Chairs/Directors on this topic, and departments/programs that are recruiting this year will be working once again with Pat Romney and Linda Marchesani of Romney and Associates to implement best practices around faculty recruitment and retention.

As in years past, I will close with a note of gratitude: the ‘best practices’ suggested in this manual build on the wisdom of past search committees (including some of you!), guidance from the AAC & U, and insights from other liberal arts colleges. This document will continue to evolve over time, as we explore the best approaches to recruitment and retention. As always, I welcome your input, questions, and feedback on the contents.

Best wishes for a successful recruiting season,

Andi Lloyd
Vice President for Academic Affairs/Dean of Faculty
We define diversity broadly, recognizing that multiple forms of difference contribute to the vitality of our intellectual community. The diversity of our community is defined by the breadth of demographic and personal characteristics within our faculty: race, ethnicity, nationality, disability status, gender, sexuality, socioeconomic status. This diversity is complemented by the range of beliefs and attitudes held by our faculty: our religious beliefs, political or ideological orientations, the intellectual traditions that have shaped our disciplines.

Diversity is an attribute of a community. All of us contribute to creating a diverse faculty; all of us contribute to creating a community that is open to and supportive of all of its members. Achieving a diverse and inclusive faculty community means improving our recruitment and retention practices in order to expand the ranks of faculty from historically underrepresented backgrounds. In working towards the goal of a more diverse faculty, we also acknowledge that a truly diverse community must have an expansive sense of itself, and a recognition that as who we are as a faculty changes, so, too, must our ways of being together as a community.

Our commitment to diversity is motivated by the belief that a diverse faculty is best able to pursue our mission as an academic institution. A diverse faculty, one that encompasses individuals from many different backgrounds, holding many different intellectual perspectives, is best able to achieve our mission as a global liberal arts institution, and best able to challenge our students by presenting them with viewpoints and perspectives different from the ones they have brought to college. A diverse faculty, and a diversity of classroom experiences, is best able to prepare our students for the challenge of engaging a diverse, globally connected society. A diverse faculty, one that reflects the diversity of our student body, is best able to support and mentor our students. Given our goal of attracting the very best faculty, it is crucial that our searches begin with a broad and deep applicant pool; it is crucial that we not unintentionally discourage potentially excellent candidates from applying by erecting barriers to participation. The goal of recruiting a diverse faculty is thus part and parcel of the goal of recruiting the very best faculty.

Diversifying the faculty is an ongoing process that is an integral part of what we do as a college. Measurable change in the demographic composition of our faculty is of critical importance to our efforts, but it is not the sole criterion by which we will gauge our progress. Success will also be reflected in our ability to create a professional environment where all members are able to be their true selves and excel at what they do.
### CHECKLIST FOR STAFFING REQUESTS & SEARCHES

<table>
<thead>
<tr>
<th>Complete</th>
<th>Task</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td><strong>Getting Started</strong></td>
</tr>
<tr>
<td></td>
<td>Read entire recruiting section.</td>
</tr>
<tr>
<td></td>
<td><strong>Staffing Request</strong></td>
</tr>
<tr>
<td></td>
<td>Submitted EAC staffing request in electronic form to the Office of the Dean of Curriculum with a cc to Donna McDurfee in the Office of the VPAA/DOF. Deadline is in April; see Chairs’ calendar for the deadline for the current academic year. <strong>Request includes:</strong></td>
</tr>
<tr>
<td></td>
<td><em>Departmental teaching schedule for the upcoming year and the proposed teaching schedule for the subsequent two years.</em></td>
</tr>
<tr>
<td></td>
<td><em>A rationale for how the position will fit within the department (or program) curriculum and how the position will sustain or enrich the curriculum of the College.</em></td>
</tr>
<tr>
<td></td>
<td><em>An explanation of how the proposed position will allow the department to contribute to College-wide curricular goals, like the FYSE program, distribution requirements, etc.</em></td>
</tr>
<tr>
<td></td>
<td><em>A detailed recruiting and interviewing plan, with explicit consideration given to how the department or program will attract a diverse candidate pool.</em></td>
</tr>
<tr>
<td></td>
<td><em>A copy of the job advertisement and an explanation of where it will be placed and for how long, along with an explanation of how the job description and advertising strategy will allow you to attract a diverse applicant pool.</em></td>
</tr>
<tr>
<td></td>
<td><em>For tenure-track positions only: include the names of two colleagues from outside your department, and preferably outside your division, to serve as external members of the search committee.</em></td>
</tr>
<tr>
<td></td>
<td><strong>Recruiting Procedures</strong></td>
</tr>
<tr>
<td></td>
<td>Created search committee.</td>
</tr>
<tr>
<td></td>
<td>Identified chair of search committee (if not department chair) and directed him/her to the recruiting guide.</td>
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<td></td>
<td>Search committee members attended recruiting workshops, which begin in June and extend through the academic year in which recruiting is happening.</td>
</tr>
<tr>
<td></td>
<td>Advertised position in appropriate locations, and engaged in direct outreach as appropriate.</td>
</tr>
<tr>
<td></td>
<td><em>Received approval for the job advertisement, based on the template included in this Handbook, from the VPAA/DOF.</em></td>
</tr>
<tr>
<td></td>
<td><em>Academic Coordinator created position in Interfolio, including an Open Date and Position Closes date, if applicable. (The Position Closes date prevents new applications from being accepted after the application deadline.)</em></td>
</tr>
<tr>
<td></td>
<td><em>Academic Coordinator established application statuses as instructed on page 104.</em></td>
</tr>
<tr>
<td></td>
<td><em>Inserted Interfolio link to position into job advertisement.</em></td>
</tr>
<tr>
<td></td>
<td><em>Sent electronic version of job advertisement with Interfolio link to Office of the VPAA/DOF (<a href="mailto:dfaculty@middlebury.edu">dfaculty@middlebury.edu</a>).</em></td>
</tr>
<tr>
<td>Academic Coordinator emailed the approved ad to Michael Ang (<a href="mailto:michael@jobelephant.com">michael@jobelephant.com</a>) and cc’ed Charlene Barrett, to list position in ChronicleVitae.com, InsideHigherEd.com, DiverseEducation.com, and NewEnglandHERC.org along with any other publications specific to the department.</td>
<td></td>
</tr>
<tr>
<td>Announced position in discipline-specific publications.</td>
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<tr>
<td>Consulted resources available on MiddFiles: a spreadsheet of discipline- and identity-specific publications, websites, etc. where you may wish to advertise your position; a list of U.S. institutions with the highest number of PhD recipients who are members of historically underrepresented groups.</td>
<td></td>
</tr>
<tr>
<td>Search Committee Chair reviewed price quotes and confirmed selections with Charlene Barrett, who sends approval to Job Elephant.</td>
<td></td>
</tr>
<tr>
<td>Sent letters regarding opening to chairs at graduate institutions, as well as personal and professional contacts.</td>
<td></td>
</tr>
<tr>
<td>Kept track of all recipients of the advertisement to include in final recruiting report to the VPAA/DOF.</td>
<td></td>
</tr>
<tr>
<td>Created candidate evaluation sheets with the department’s agreed-upon criteria for evaluating candidates, keeping records of evaluation of candidates for the final recruiting report. Criteria can be created within Interfolio.</td>
<td></td>
</tr>
<tr>
<td>If position had a published application deadline, Academic Coordinator changed the Interfolio Position Status to “No Longer Accepting Applications” after the Position Closes date.</td>
<td></td>
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</tbody>
</table>

**Off-Campus Interviewing and Video (Skype) Interviewing**

| In Interfolio, Academic Coordinator assigned “Preliminary Interview” application status to each applicant selected for an off-campus or video (Skype) interview. |
| Received the VPAA/DOF’s approval for travel. Reminded faculty member(s) travelling for recruiting purposes to keep all original receipts for reimbursement. Do not use PCards. |
| Submitted request for reimbursement. |
| If a second interviewer (for 12 or more candidates) participated, submitted list of those interviewed with reimbursement request. |

**On-Campus Interviewing**

| Contacted Charlene Barrett (x5289) to schedule all administrative interviews and confirm lecture/presentation dates and times for all candidates. Departments are encouraged to do this as early as possible, even before they submit their short list. |
| Sent ranked short list of 5-6 candidates, including rationale for ranking, to the VPAA/DOF. |

*In Interfolio, Academic Coordinator assigned “Short List to DOF” application status to each applicant named in the ranked short list.*

| Received approval from the VPAA/DOF for 2 (term positions) or 3 (tenure-track positions) on-campus interviews. |
In Interfolio, Academic Coordinator assigned “Final Interview” applicant status to each applicant approved by the VPAA/DOF for an on-campus interview.

- Arranged overnight accommodations and meals and airport transportation for candidates.
- Asked candidate whether there are particular aspects of the broader community that they are interested in learning more about on the interview (e.g., schools, child care, places of worship, other community and cultural resources).
- Secured lecture/presentation location for candidates.
- Publicized lectures given by all candidates, and invited faculty in related disciplines. (Note that lectures should not be publicized with candidate names on the events calendar.)
- Notified candidates of the Human Resources and Academic Administration web pages.
- Invited students (SAC members and others) to candidate lectures/presentations and lunch. Give the list of questions not to ask during interviews to the students. (See Appendix E)
- Academic Coordinator submitted three sets of file folders for each interviewee, with complete schedules and verification sheets, to Charlene Barrett at least three working days prior to the arrival of each candidate.

### Accounting Procedures for Recruiting

- Do Not use P-Cards for any recruiting expense.
- Included the name of the candidate on all vouchers for payment or reimbursement from the recruiting budget.
- In cases of off-campus meals, provided a list of attendees, purpose of the meal, and the candidate's name. Original receipts with the details are needed.
- Sent vouchers to Charlene Barrett, complete with the appropriate Banner information, including activity codes and the reason for the expense. All receipts are itemized and attached.

### Final Recommendation and Offer of Appointment

- Solicited and weighed the opinions of all those colleagues (outside of the Academic Administration) and students who participated in interviewing the candidate, including the external search committee member.
- Submitted summary of search committee deliberations and final recommendation to the VPAA/DOF for approval. This recommendation should include the rationale for making an offer to one candidate over others.
- Received approval from the VPAA/DOF to extend offer.
- Extended offer of appointment to the candidate and provided clear deadline for response (typically one, and no more than two weeks).
- In Interfolio, upon confirmation of receipt of the signed appointment letter, Academic Coordinator assigned “Hired” application status to the hired applicant(s), then downloaded/printed any records required for the department recruiting report.
- In Interfolio, Academic Coordinator closed the position and documented the outcome, using the Position Status drop-down menu. This is a two-step process guided by Interfolio: When prompted, provided the name(s) of the selected applicant(s) or indicated that no hire was made (with notes on the circumstances), then selected a final Position Status.
- Assisted candidate in preparing budget for start-up funds.
Reporting

Submitted a department recruiting report for each position to Charlene Barrett as soon as possible after the position was closed and outcome of the search was indicated, and no later than May 30. If a non-US citizen is hired, a full list of all applicants with detailed reasons on why they were not offered the position must be submitted with this report. Statuses for each position has been updated in Interfolio.

STAFFING REQUESTS

In every instance where a department wishes to renew a faculty position that has or will become vacant, it is the responsibility of the Chair to submit a request for its continuation to the Educational Affairs Committee. Any proposals for faculty positions should be submitted to the EAC by the end of the first week of April two academic years prior to the desired start date of the position (e.g., in April 2018 for a position to start in the 2019-2020 academic year). This rule also applies to term positions that will expire at the end of the subsequent year, even if it is the department’s intent to reappoint the current holder of the position. In all cases, the deadline will allow sufficient time for a review of the candidate by the Reappointments Committee, if the EAC recommends reauthorizing the position. In addition, requests for supplemental or special staffing, such as that provided by an emeriti faculty member, must also be requested through the EAC. A department or program may begin to recruit only after the President and Vice President for Academic Affairs & Dean of Faculty (VPAA/DOF) have approved a recommendation from the Educational Affairs Committee, and the VPAA/DOF has authorized the Chair or Director to begin the search. The Educational Affairs Committee may, on a case-by-case basis, agree to consider a staffing proposal outside of the normal sequence. They will normally do so only in cases in which the need for staffing could not have been foreseen at the time that the staffing proposal would have been due (e.g., a resignation after the proposal due date).

Request for Staffing to the EAC

Material to Submit
Staffing requests should be submitted electronically to the Office of the Dean of Curriculum (dcur@middlebury.edu), with a copy to Donna McDurfee. In the case of joint appointments, the request should come jointly from the chair or director of both programs. Sample proposals and the appropriate forms can be found at go.middlebury.edu/eac. Each staffing request should include the following information:

- A departmental teaching schedule for the upcoming year (i.e., the year before the position begins) and the proposed teaching schedule for the subsequent two years (i.e., the first two years of the new position), showing the full departmental teaching plan, including the specific courses that will be taught by the proposed new hire. If the specific courses are unknown, the plan may indicate the general type of course—e.g., a 300-level elective whose precise topic is not known can be indicated as 03XX. Estimates of future enrollments should be based on the enrollment histories that are posted on the Academic Administration website (go.middlebury.edu/chairs; follow the link to Enrollment Histories/Data). Chairs should consult the current teaching expectations, and bear in mind that no new positions are likely to be authorized where current staffing resources are not deployed in accordance with those guidelines.
• A rationale for how the position will fit within the department (or program) curriculum and how the position will sustain or enrich the curriculum of the College. The narrative should describe specific teaching assignments and, in the case of joint positions, the number of courses expected to be taught in each department or program.

• An explanation of how the proposed position will allow the department to contribute to College-wide curricular goals, such as the First-Year Seminar Program, the Writing Program, distribution requirements (including academic categories and cultures/civilizations requirements), interdisciplinary programs, and independent senior work in the major.

• A detailed recruiting and interviewing plan, with explicit consideration given to how the department or program will endeavor to reach a diverse candidate pool. We recommend reading the remainder of this recruiting section to support you in developing such a plan.

• A copy of the job advertisement, and an explanation of where it will be placed and for how long. The advertisement should follow the model of the template in this handbook (see Appendix A). (Please note: a block advertisement is placed in the printed version of The Chronicle over the summer/early September containing all open tenure-track and three-year term positions.) Job advertisements normally include the following information:
  o the rank and terms of appointment;
  o the field or fields to be covered;
  o description of the importance of teaching in a liberal arts institution;
  o text describing our commitment to hiring a diverse faculty (as the second paragraph, between the description of the position and the details of the application procedure), as shown below;

  ▪ Middlebury College is a top-tier liberal arts college with a demonstrated commitment to excellence in faculty teaching and research. An Equal Opportunity Employer, the College is committed to hiring a diverse faculty as we work to foster innovation in our curriculum and to provide a rich and varied educational experience to our increasingly diverse student body. EOE/Minorities/Females/Vet/Disability.

  o deadline for receipt of applications;
  o the department contact person(s);
  o standard language indicating the use of Interfolio to collect dossiers;
  o standard language, placed at the very end of the advertisement, alerting candidates that they will be asked to authorize a background check, as shown below:

  Offers of employment are contingent upon completion of a background check. Information on our background check policy can be found here: http://go.middlebury.edu/backgroundchecks

    o a list of materials to submit with the application. This will typically include:
      ▪ cover letter,
      ▪ CV,
      ▪ 3 confidential letters of recommendation (two of which should speak to the candidate's teaching ability),
      ▪ graduate transcripts where appropriate,
      ▪ a sample of the candidate's scholarly or artistic production,
      ▪ statement of teaching interests/approach.

You may choose to solicit a more limited application package, and request recommendations, scholarly material, and transcript following a preliminary review of vitae and cover letters. Be sure to assemble all of these materials in time for a thorough review of dossiers.
For tenure-track positions only: Please include, with the staffing request, the names of two colleagues from outside your department, and preferably outside your division, to serve as external members of the search committee. The VPAA/DOF will appoint a colleague from outside the department to serve on the search committee. This practice recognizes that appointment to the Middlebury faculty extends beyond a department: faculty in all departments teach in the First-Year Seminar program, serve on college-wide committees, and contribute generally to the life of the College. In addition, many colleagues find a perspective from outside their department useful. The external member of the search committee should be viewed as a full member of the search committee with the opportunity to review files in determining short lists, to interview candidates for tenure-track positions, and to voice an opinion in the final departmental or program deliberations in ranking candidates.

EAC Authorization
The EAC makes recommendations on each position to the Provost. Final decisions are generally communicated to chairs and directors by the middle of June. The EAC may, in approving a position, provide specific guidelines or stipulate conditions to Department Chairs/Program Directors.

GUIDELINES FOR THE SEARCH PROCESS

The Search Committee

Practices around the formation of the faculty search committee vary from department to department. In some departments, all members participate fully in each search. Department chairs and program directors may choose to delegate the task of chairing a search committee, but even in that circumstance, the chairs/director has ultimate responsibility for insuring that the search is conducted in a manner consistent with college policies. The composition of the search committee is at the discretion of each department chair or program director, but efforts should be made to include colleagues from a diversity of backgrounds, with a diversity of intellectual perspectives. As noted in the section on Staffing Requests, search committees for tenure-track positions are required to have a colleague from outside the department. The external colleague should be regarded as a full member of the committee with the opportunity to review files in determining short lists, to interview candidates, and to voice an opinion in the final departmental or program deliberations in ranking candidates. Students are generally not included as members of search committees, but student input on candidates should be solicited. The Handbook requires each department/program to have a Student Advisory Council (SAC); the search committee can work with the SAC to solicit a recommendation from students. You can learn more about the SACs by consulting the College handbook at go.middlebury.edu/deptsac.

Hiring Best Practices
Many of the best practices described below have been adapted from *Diversifying the Faculty: A Guidebook for Search Committees* from the AAC&U, or at the recommendation of previous search committee chairs. Additional copies of the AAC&U guidebook can be obtained from the VPAA/DoF.
Before the Search

- Create a search committee that is enthusiastic and committed to attracting and hiring a talented and diverse faculty. The committee should be comprised of faculty from a range of backgrounds, bringing multiple perspectives to the search.
- Plan to meet with the search committee soon after the position has been approved to discuss recruitment strategies, evaluation criteria, diversity, the process to arrive at a final decision, etc.
- During or shortly after the initial planning meeting, schedule the remaining meetings well in advance. One former search committee chair suggests scheduling a minimum of three: one to select candidates for interview at a conference; one to hear the report of the interviewers and decide whom to invite to campus; and one to make the final decision.
- Use your professional networks and available data (e.g., the National Science Foundation’s Survey of Earned Doctorates) to compile a comprehensive outreach list of organizations and individuals. This list may include colleges and universities that educate graduate students from underrepresented backgrounds, alumni that are now in graduate school, colleagues at graduate institutions, and may include outreach to specific candidates, informing them of the position and encouraging an application.
- Make the AAC&U guidebook *Diversifying the Faculty* and materials provided during the recruiting workshops available to department members, and ask them to read it for more ideas on best practices in searches. If your department does not have a copy, you may request one from the Office of the VPAA/DOF.
- Critically analyze the job advertisement, making sure that it will attract the broadest possible applicant pool. Avoid language that unnecessarily restricts the applicant pool. For example, if flexibility exists in the precise background of the candidate, language that specifies a preference for a particular disciplinary training gives a search committee more flexibility—and may yield a broader applicant pool—than language requiring a particular disciplinary training. Departments/programs must include language in job advertisements that asks candidates to speak to how their teaching, scholarship, mentorship, or community service might support our commitment to diversity and inclusion.

During the Search

- Distribute the job announcement to the individuals and organizations on the outreach list described in the previous section. If you are looking for more ideas for places to advertise the position, you may consult the Faculty Recruiting Resources spreadsheet in the Office Support folder on orgs, to which coordinators have access.
- Outreach letter templates are also available in the Office Support folder on orgs. You may also find a report and spreadsheet showing universities with the highest number of PhD recipients who are women or individuals from historically underrepresented backgrounds.
- **Keep a complete list of places and people that received the advertisement for your recruitment report.**
- Before beginning the evaluation process, establish criteria with which to evaluate candidates fairly. The evaluation criteria should be developed with a goal of finding the best candidate without restricting the short list unnecessarily. For example, a search that proceeds by being open to candidates from a broad range of graduate institutions—evaluating them on their own accomplishments rather than the ranking of their graduate institution—may yield a broader applicant pool (and a better final candidate) than one in which candidates from particular schools are excluded from the outset.
• As you develop these criteria, be mindful of criteria that may unintentionally bias the search against candidates from particular backgrounds. For example, instead of giving a preference to candidates who are themselves the product of a liberal arts education—a criterion that may unintentionally screen out otherwise excellent candidates from demographic groups historically under-represented in liberal arts colleges—think about criteria that may address the desired goals (e.g., commitment to teaching undergraduates) in a more inclusive way.

• Inquire of candidates what they would like to learn about the campus and local community on their interview, and arrange (whenever possible) to provide time during the interview for candidates to obtain the perspectives that they desire on the campus and local community climate. See the end of this section of the Handbook for guidance on questions that you may not legally ask of a candidate—but a general query about what a candidate would like to see or know about the campus or the local community is permissible.

• The chair and/or search committee members should notify the VPAA/DOF promptly if concerns arise or charges are made about discrimination or unfair practices in a search, and should retain copies of all correspondence related to such concerns or charges.

As a complement to this section, please see “Retention Best Practices” at the end of this section.

Advertising and Outreach

Interfolio Set-up and Ad Posting
After securing approval for the text of the job advertisement from the VPAA/DOF, the Academic Coordinator will set up the position in Interfolio, creating a link that will be inserted into the ad prior to posting. (See Appendix A for a sample job advertisement.)

When setting up the position in Interfolio, the Academic Coordinator should provide a descriptive position name that is the same or similar to that used in the approved job advertisement and position request. For positions that advertise an application deadline, the Academic Coordinator must also indicate a Position Closes date when setting up the position in Interfolio. (The Position Closes date prevents new applications from being submitted after the deadline.)

Send to the Office of the VPAA/DOF an electronic version of the advertisement, with the Interfolio link. We use the services of Jobelephant.com for posting all faculty advertisements in all publications. The Office of the VPAA/DOF has approved 30-day ad placement for all positions in the following online publications: ChronicleVitae.com, InsideHigherEd.com, DiverseEducation.com, and NewEnglandHERC.org.

The Academic Coordinator will email the approved ad to Michael Ang (michael@jobelephant.com) with a cc to Charlene Barrett. The Coordinator will need to request to have the position posted in the publications listed above, along with the length of time for these postings to be active. If you request to post in any additional publications or resources, Jobelephant will send a price quote to the Coordinator and Charlene Barrett with the total cost for the approval of the VPAA/DOF. Chairs should review these price quotes before they are sent to the VPAA/DoF, and confirm selection. Once this step has been done, Charlene Barrett will send the approval needed for the ad to be placed in those publications.

In addition to the publications listed above, term and tenure-track positions must be advertised in the appropriate outlets for the discipline. Departments/Programs are also expected to advertise positions in such a way that the search yields a broad, diverse applicant pool. Public announcements in
appropriate publications, letters to Chairs at graduate institutions, as well as personal and professional contacts should be used to solicit nominations for a position. There are a few documents available on MiddFiles in the Office Support folder to assist you. Academic Coordinators can access these files and provide them to Chairs/Directors. These documents include a spreadsheet of discipline- and identity-specific publications, websites, etc. where you may wish to advertise your position (in some cases at no cost to your department), a list of U.S. institutions with the highest number of PhD recipients who are members of historically underrepresented groups, and a template e-mail to graduate school department chairs requesting assistance with advertising the position.

Note: Although the Department of Labor does not have a written rule pertaining to advertising for positions in which a foreign national may be hired, our experience has been that they require a print ad to ensure all job seekers can obtain the job notice. The Office of the VPAA/DOF routinely posts tenure-track and three-year term positions in a single ad in the Chronicle of Higher Education each fall.

All faculty positions are also posted on the College website (go.middlebury.edu/faculty-jobs).

Collection of Dossiers
The collection of dossiers will be managed through Interfolio. All positions, even those for a short-term (e.g., one-semester) position, must be posted on Interfolio. Applicants will create an online account with Interfolio, from which they can electronically send all requested documents directly to Middlebury. Each Academic Coordinator and search committee member will have password-protected access to view their candidate files online. In searches for tenure-track positions it is customary that only tenured and tenure-track faculty examine dossiers and make selections for interviews, on-campus visits, and offers. Exceptions to these guidelines are at the discretion of the Chair.

Tracking Applicants Using Interfolio Application Statuses
The Academic Coordinator will establish application statuses within Interfolio that will be used to track applicants throughout the hiring process. Departments may use application statuses in a variety of ways, depending upon the searches being conducted. (Application statuses should always be set so that they are not visible to applicants.) At a minimum, the following application statuses must be used in every search:

<table>
<thead>
<tr>
<th>Application Status Name</th>
<th>Application Status Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Preliminary Interview</td>
<td>Applicants of greater interest selected for interviews at conferences or via Skype</td>
</tr>
<tr>
<td>Short List to DOF</td>
<td>Applicants on the ranked list sent to the Dean of Faculty</td>
</tr>
<tr>
<td>Final Interview</td>
<td>Top applicants approved by the Dean of Faculty and interviewed on campus (for most Tenure Track positions) or via Skype (for most term positions)</td>
</tr>
<tr>
<td>Hired</td>
<td>Status assigned upon confirmation of receipt of the applicant’s signed appointment letter, after which the position is closed (archived)</td>
</tr>
</tbody>
</table>

These application statuses must be established in Interfolio exactly as written above, without abbreviations, in order to ensure accurate reporting.

At each stage of the search, the Academic Coordinator will update applicants’ application statuses in Interfolio as needed.
Do not confuse application status with position status. While the position status can be helpful for keeping applicants informed about the general progress of a search, the application status is used internally to track applicants in Interfolio as they advance through the hiring process.

In the event an applicant has submitted a dossier for multiple Middlebury positions, the applicant must be evaluated and tracked separately in each position search. A candidate’s application cannot be moved or duplicated within Interfolio.

**EEO reports**

If a search committee is interested in assessing the effectiveness of outreach efforts partway through a search, the chair may request a report on the profile of the pool from the Chief Diversity Officer (CDO). The CDO can, upon request, provide search committees with an assessment of the diversity in the applicant pool during the search in the form of an EEO report of aggregate data on gender and race/ethnicity. Such data are presented only as a composite profile of the pool, not tied to individual applicants. In the case of very small pools, the CDO would provide the committee with a qualitative assessment of the diversity in the pool rather than with a quantitative profile.

**Evaluating Applications**

**Institutional Values**

Each department and program will have its own set of priorities for a position, but because all of us are appointed to the faculty of Middlebury College, search committees should evaluate dossiers with an eye toward broader institutional values—as defined by our mission statement, and in our faculty handbook—as well as specific departmental criteria. In particular, search committees might consider the following.

- **How will this individual contribute to the broader mission of the college?** In recruiting new faculty, we seek individuals who will embrace the opportunity to contribute to the College’s mission of engaging “students’ capacity for rigorous analysis and independent thought” and cultivating “the intellectual, creative, physical, ethical, and social qualities essential for leadership in a rapidly changing global community.” You can read the entire mission statement at go.middlebury.edu/mission. (Note that the mission statement is, at the time that this Handbook is going to press, under revision!)

- **What might this individual be able to contribute to the college-wide curriculum?** Would they be able to teach college writing courses? Be excited about teaching first-year seminars? Be interested in offering courses that fulfilled distribution requirements?

- **How will this individual contribute to our goal of diversifying the faculty?** Middlebury has a long-standing commitment to recruiting and retaining a diverse faculty, broadly defined. As each of the advertisements for faculty positions states, we are “committed to hiring a diverse faculty as we work to foster innovation in our curriculum and to provide a rich and varied educational experience to our increasingly diverse student body.”

**Evaluation Sheets**

*Ad hoc* evaluation of candidates—in which each member of a search committee evaluates files based on individual, and often unarticulated, criteria—is prone to a number of problems. Research on unconscious bias, for example, suggests that all of us are prone to bias in evaluating candidates; defining our criteria for evaluation ahead of time may help avoid making judgments that are driven by unexamined biases (for further reading on unconscious bias, please see Appendix H). Decisions about a shared set of criteria for a position can also avoid conflicts at the time that candidates are
ranked by addressing the different ideas that department members may have about a particular position. Such criteria are not intended to be absolute, especially in cases when highly qualified candidates present opportunities for taking the faculty position in an interesting and unanticipated direction. Rather, criteria are intended to set a baseline for consistency and the fair evaluation of applications.

We recommend, therefore, that each search committee develop a shared and explicit understanding of the criteria by which candidates will be evaluated. Those criteria can then be used to construct a standardized evaluation sheet incorporating the evaluation criteria. Please see Appendix B for a sample evaluation sheet template that can be customized for a specific search.

This approach has the added benefit that the necessary documentation for the recruiting report will be compiled along the way, reducing the burden on department chairs and coordinators at the end of the search. Keep in mind that if you hire someone who is not a U.S. citizen, you will be required (by the Department of Labor) to provide an explanation of why you did not hire each and every candidate who applied: evaluation sheets will make this task vastly easier than reconstructing your thoughts after the fact!

Large Pools
When faced with a particularly large pool of candidates, some search committees have used “calibration rounds” to help them sort through the applications. For example, all search committee members might read a subset (e.g., 10-15) of applications and rank the applications using the agreed-upon criteria. Once the committee has reached agreement about criteria for ranking, the remaining applications can be divided up among committee members, with each application being read by at least 2 people (but not the whole search committee), without raising concerns about different standards of evaluation. Any application that reaches a particular point in the process (e.g., the long list) would be read by everyone.

Internal Candidates
Middlebury permits faculty in term positions to apply to other open faculty positions (e.g., a tenure-track position in the same department). Internal candidates should be evaluated on an even field with other applicants; departments are not obligated to include them on short lists or in interviews if they do not emerge, after the evaluation process, as top candidates. If an internal candidate is interviewed, the interview must include all of the components of a normal interview, including, for example, one-on-one meetings with faculty, a departmental dinner, a public talk. If an internal applicant for a pool exists, department members should be diligent about not discussing the search with the internal applicant—it is far too easy for even well intentioned comments about the status of the search to go astray. In the event that an internal candidate is not interviewed for a position, the department chair should use her or his discretion in deciding how or whether to include that person in subsequent interviews (e.g., inviting him or her to participate in a departmental dinner).

Interviews

Off-Campus Interviewing and Video (Skype) Interviewing
The VPAA/DOF must approve all travel for this purpose in advance. Do not use PCards for recruiting. Original receipts need to be kept for reimbursements.

Off-Campus Interviewing: Tenure Track Positions, or Term Positions of 3+ Years
The Recruitment Budget will fully support:
The expenses of a Chair (or the Chair’s designate) who is organizing recruitment and interviewing candidates at a professional meeting, whether or not s/he is giving a paper.

The expenses of a second interviewer—selected by the Chair in consultation with the department, the VPAA/DOF, and, where appropriate, any relevant program(s)—if twelve or more candidates will be interviewed at the professional meeting. Please note that the list of those interviewed at the professional meeting must be submitted with the request for reimbursement.

The recruitment budget will pay $300 for a third interviewer’s conference recruiting expenses if twelve or more candidates will be interviewed at the professional meeting. S/He may apply to the FPDF fund for the balance of his/her expenses according to FPDF guidelines.

In the case of an interdisciplinary or interdepartmental appointment, the budget will support the expenses of two Chairs or representatives, one from each of the departments or programs involved, regardless of the number of candidates interviewed.

If it has not been possible to conduct an in-person interview of a candidate whom the search committee wishes to invite to campus, it is strongly recommended that you interview them via telephone, Skype, Google Hangout, or video conferencing. For more information about using Skype, visit go.middlebury.edu/skype. For more information about using video conferencing, visit go.middlebury.edu/videoconf. Please note that video conferencing needs to be arranged with Media Services at least five business days in advance, preferably longer to ensure availability of a suitably equipped room.

Off-Campus Interviewing: Term Positions, Less Than Three Years
The recruitment budget will pay for a total of two trips. The department(s) may choose to:
- Send the Chair (or the Chair’s designate) and one faculty member to a conference but not invite any candidates to campus, OR
- Send the Chair (or the Chair’s designate) to interview at a conference and then invite one candidate to campus, OR
- Invite up to two candidates for campus visits, but not interview at a conference.

Reimbursement of Off-Campus Recruiting Expenses
- Faculty members taking trips for recruiting purposes must save all original receipts for reimbursement.
- Per diem rates cannot be used for meals and incidentals: original receipts are required to reimburse these costs.
- Travel for recruiting purposes does not affect one’s eligibility for FPDF monies to support travel for scholarly purposes, except in the case outlined above in which a 3rd interviewer attends and may need to use FPDF funds to cover travel costs above $300.
- Accent Travel or Milne Travel may also be able to provide rates at your conference's hotel lower than those offered by your conference.

The Academic Coordinator will assign the “Preliminary Interview” application status in Interfolio to all applicants selected for off-campus or Skype interviews.

On-Campus Interviewing
The search committee should arrive at a short list of five to six candidates. The Chair will send this list, ranked in order of preference with the top candidates for a campus visit noted, to the VPAA/DOF.
The Academic Coordinator will assign the “Short List to DOF” application status in Interfolio to all applicants included on the short list.

The limit on the number of candidates that can be interviewed on campus is fixed, and exceptions to the limit on the number of on-campus visits will not be made in the case of a tie for the last spot, so departments must define the top three (or two, in the case of a term position) candidates conclusively. The Chair must also include a rationale for the ranking along with the list. Upon receiving the list, the VPAA/DOF will review the candidates’ dossiers via Interfolio. You should normally allow at least 3 business days for the VPAA/DoF to review dossiers, but requests for an expedited review will be accommodated when possible. If you anticipate needing an expedited review, it is always good practice to consult with the VPAA/DoF in advance to increase the likelihood that your timeline can be accommodated.

The VPAA/DOF must approve all invitations to candidates for an on-campus interview and will notify the Chairs, with a cc to the Academic Coordinator and Charlene Barrett, of those approved. Departments may invite up to three candidates for tenure track and two candidates for term positions. Visits should be scheduled as closely together as possible, within a two- to three-week period.

The Academic Coordinator will assign the “Final Interview” application status in Interfolio to all applicants approved by the VPAA/DOF for on-campus interviews.

**Charges to the Recruiting Budget**

**Travel Costs for Candidates**

**Guidelines for Entertainment**

Lunches at College dining halls for the candidate, his or her spouse/partner, the Department Chair, and one other person will be covered by the recruiting budget in full.

At restaurants, the recruiting budget will cover up to a total of $40.00 for the candidate lunch, regardless of the number of attendees. There is no need to have a large lunch with candidates, especially since the department gets to meet them in other venues, and most candidates go to lunch with students in the dining halls. Charges exceeding $40.00 will be charged to the department's budget. When vouchers are submitted for reimbursement, a list of all attendees must be attached. If this information is not provided, vouchers will be returned to the department coordinator.

The recruiting budget will cover student lunches with candidates if the lunch is in one of the campus dining facilities – Atwater, Proctor or Ross. Lunches with students held in the Grille, Crossroads Café, Rehearsals Café, or Wilson Cafe will not be covered by the recruiting budget, but can be covered by departmental budgets if sufficient funds are available.

Taking a candidate out for dinner at a local restaurant is a gesture of hospitality extended to external candidates by necessity. Beyond that, such dinners also serve the purpose of introducing a candidate to his or her potential new colleagues in an informal atmosphere.

The recruiting budget will cover all costs at the College dining halls for the candidate, his or her spouse/partner, the Department Chair, and one other person.
At restaurants the recruiting budget will cover up to $120.00 for dinner for up to three people, including the candidate. Charges exceeding $120.00 will be charged to the department’s budget. When vouchers are submitted for reimbursement, a list of all attendees must be attached. If a faculty member wishes to host a dinner at home for a job candidate, the recruiting budget will reimburse expenses up to $120.00. (Only one subsidized home dinner will be allowed per candidate.)

The number of meals covered by the recruiting budget for a campus interview varies according to the length of the stay, as outlined below:

- If a recruiting candidate visits only during the week, the recruitment budget will cover 2 days of meals, or 7 in all;
- If a recruiting candidate stays over part of the weekend, with prior approval and/or due to weather-related delays, the recruitment budget will cover 3 days of meals, or 10 in all;
- The recruiting budget will cover up to $25 per breakfast

**Accounting Procedures**

**Do Not use P-cards for any recruiting expenses.** You should charge directly to an index code, and include the activity code, in situations/locations that use this process for payment. Use the visitor travel account codes on vouchers.

Include the name of the candidate on all vouchers for payment or reimbursement from the recruiting budget. The voucher should also indicate that the person is a faculty candidate, identifying the position and the department.

In cases of off-campus meals, Chairs must provide a list of attendees, purpose of the meal, and the candidate’s name. If this information is not provided, vouchers will be returned to the department coordinator.

Vouchers must be sent to Charlene Barrett in Old Chapel 208, complete with the appropriate Banner information and the reason for the expense. All receipts should be itemized and taped onto a piece of letter-size paper, with all four sides of each receipt taped. Any incomplete or incorrectly prepared vouchers/receipts will be returned to the department to be corrected before processing. If you have any questions regarding accounting procedures, please contact Charlene Barrett (x. 5289 or email).

Although we encourage Departments and Programs to charge travel costs directly to the College (not using a P-card) whenever possible, in some cases it may be necessary for candidates to be reimbursed for some of their travel expenses (e.g., taxi to the airport from their home, or mileage if they drive). In order for reimbursements to candidates for travel expenses to be processed, all candidates will be required to sign the candidate verification sheet attesting that the name and address are correct. Candidates will be asked to sign this sheet while at Old Chapel for their administrative interview. The verification sheet takes the place of a W9 form for this purpose only. Please attach a mapquest driving directions page for all mileage reimbursements.

**Interview Itineraries**

**Scheduling on-campus interviews**

Dates for candidate visits need to be approved by the VPAA/DOF prior to ANY arrangements being made. Coordinators should contact Charlene Barrett (x. 5289) to schedule all administrative interviews and confirm lecture/presentation dates and times for all candidates. Guidelines for scheduling:
• Departments and Programs are encouraged to schedule administrative interviews as far in advance of the actual visit as possible. You may do this even before you create the short list.
• Interviews with the academic administration are most productive when scheduled toward the end of a candidate’s visit.
• Under no circumstance should a candidate lecture or talk be scheduled at the same time as a Chairs’ meeting, Faculty meeting, Inaugural lecture, or faculty lecture series event.
• Candidate visits must be scheduled when students are present on campus and able to participate in the search.

Departmental responsibilities
Departments are responsible for establishing the interview schedule and for informing the candidate in advance of his or her arrival of what is expected during his or her visit. A sample interview itinerary is available in Appendix C. Please read the section on the Recruiting Budget (see page 108) carefully before you plan the on-campus visits for guidelines on recruiting expenses, including important limitations on what is and is not covered by the recruiting budget! Departments are responsible for the following aspects of the interview.

• Arrange overnight accommodations and meals.
• Arrange for transportation from the airport. We recommend, when possible, that the Chair or a faculty member from the department pick up the candidate.
• Secure lecture/presentation location.
• Publicize lectures given by all candidates, and invite faculty in related disciplines to attend presentations and provide assessment. To protect the privacy of each candidate, lectures should be publicized internally only. You should not list lectures on the College Event Calendar or on department web pages. If you do list lectures in locations that are publicly accessible, you should remove all personally identifying information, and should avoid identifying the lecture as a job talk. Moreover, you should take care to remove lecture posters between candidate visits.

The on-campus interview must include the following components.
• Administrative interview:
  o Tenure-track candidates will meet for fifty minutes with the VPAA/DOF.
  o Candidates for term or part-time positions of up to three years in duration will meet for forty minutes with the Dean for Faculty Development and Research.
• Public presentation, which might include any of the following:
  o Teaching a class;
  o Delivering a lecture or performance on the candidate’s scholarly work;
  o Making an informal presentation;
  o Some combination of the above.
• Individual or small-group interviews with faculty, including:
  o departmental/programmatic colleagues,
  o the external search committee member,
  o colleagues in other interdisciplinary programs, where relevant.
• Meeting with students, including:
  o Student Advisory Council reps,
  o other majors (including majors of interdisciplinary programs with which the candidate will be affiliated),
  o or non-majors.
**Tailoring the on-campus interview to a candidate’s needs/interests**

The on-campus interview should be used to full advantage. The candidate may want to know about various aspects of the College (e.g. student life, institutional diversity, facilities), the town, the schools, childcare, people with similar avocations, or spousal employment (see below). To avoid asking questions about personal circumstances, which are not permissible during an interview, candidates should be asked about their interests as broadly as possible—e.g., “is there anything in the local community that you would like to see during your visit?” Please also direct your candidates to a listing of community and cultural resources, located on the web at go.middlebury.edu/worklife. The Chair should also draw the candidate's attention to the Human Resources (go.middlebury.edu/hr) and the Academic Administration (go.middlebury.edu/acadaff) web pages (which have links to various area resources), so that they can decide whether they would like to make use of this information. While we are prohibited from inquiring about a candidate’s personal circumstances, once the candidate of their own accord broaches the subject of spouse or partner employment, or other personal circumstances, it is consistent with College policy to be as open, informative, and helpful as possible.

**Spousal or Partner Employment**

Although Chairs are not permitted to ask a candidate about his/her marital/relationship status, Chairs should alert candidates to the College's spousal/partner employment policy when extending the invitation for a campus interview, or at some other moment you deem appropriate, making the candidate aware that the college's office of Human Resources is prepared to discuss spousal employment possibilities in the area or at the college if so requested (see the Spouse/Partner Employment Guidelines on the Academic Administration website). Candidates will also receive a written copy of the spouse/partner employment guidelines during their administrative interview.

Chairs are asked to please remind colleagues that it is not appropriate to ask a candidate about their personal circumstances or marital status, and that a small indiscretion can go far in alienating a candidate we might want to appoint. For a list of other inappropriate or illegal questions to ask, please consult Appendix E. Chairs should be sure that all department members are aware of these restrictions.

**Including Students**

As noted in the College Governance section of the College handbook, each academic department and program that offers a major will establish a Student Advisory Council (SAC). The SAC participates in all new faculty appointments within their department or program. Not only is student feedback on a candidate often useful in the final deliberations, but candidates are likely to find an opportunity to meet with students enlightening and helpful as they assess Middlebury. The SAC is contacted by the Department Chair or search committee chair, which arranges a meeting with job candidates (you may also include majors or appropriate students outside the department who are not members of the SAC). We recommend that you provide students with the list of “Interview Questions to Avoid” located in Appendix E of this handbook. Lunch in the dining halls is often a good time for such a meeting. Simply fill out a dining voucher at the dining hall entrance and enter the code “9”. Please note that student meals will not be reimbursed if the students eat lunch with a candidate in a campus dining facility that is not part of the meal plan.

The SAC should make an informal recommendation to the search committee. The search committee chair should also solicit and consider non-SAC members’ opinions of candidates in the final deliberations. Please note that students do not vote in departmental decisions about candidates.
Candidate Folders for Administrative Interviews

Three (3) working days prior to the arrival of the candidate, file folders should be submitted to Charlene Barrett in Old Chapel 208. The department is expected to provide the appropriate number of file folders for each interviewee for distribution to the Academic Administration. Please submit three complete folders for each position. In addition to the folders, please provide Charlene Barrett with a copy of each of the candidate’s interview schedule.

Each folder should include:
- verification sheet with information completed from Interfolio (see Appendix D for a sample);
- a copy of the candidate’s interview schedule;
- a copy of the job description/advertisement;
- the candidate’s letter of application;
- his or her current c.v.;
- three current letters of recommendation.

Each file folder should have a file tab on which the following information is typed:
- Candidate’s name
- Department
- Position (e.g., TT or Term)
- Date and time of administration interview

Please do not send incomplete folders to Old Chapel.

Rejection Letters

After you have identified the top five or six candidates for on-campus interviews, you may send a rejection letter to the excluded candidates if you feel confident that you will not need to go back to the pool. Please see Appendix F for a template. If you are not confident about the need to go back to the pool, you should respond to inquiries by stating that the position has not yet been filled and the search is ongoing.

Although form letters are acceptable for notifying candidates who were not interviewed, more personal notes are preferable for notifying candidates who were interviewed. If at all possible, you should wait until the successful candidate has signed the contract before contacting other interviewees, unless you are certain that you would not choose to make an offer to the candidate.

Discussing Salary, Benefits, & Other Funding During the Interview

Salary & Benefits
The VPAA/DOF will discuss terms of compensation with all candidates. To preempt potential confusion and misunderstanding, Chairs are asked to refer any questions about compensation to the VPAA/DOF.

Start-up Funds
Start-up funds will be discussed with tenure-track candidates in the interview with the VPAA/DOF. If it is anticipated that there are significant start-up needs, Chairs should encourage candidates to discuss this during their on-campus interview. After an offer has been extended, the VPAA/DOF will request a start-up budget from each candidate. The Department Chair should make him or herself available to candidates to discuss start-up packages.
Moving Subsidies
Candidates may inquire, during interviews, about moving subsidies. The VPAA/DOF will discuss this with candidates, but Chairs should feel free to share this information with candidates during the interview. Arrangements for moving subsidies are also made by the VPAA/DOF. Note that moving subsidies generally do not cover the full cost of relocation, but are intended to partially offset those costs.

Department Chairs and Program Directors should be sure to inform successful candidates that they must save all original receipts for moving expenses in order for their reimbursements to be processed. Completed voucher forms and original receipts should be forwarded to Charlene Barrett in the Office of the VPAA/DOF for processing. Moving subsidies are granted in accordance with the following guidelines:

<table>
<thead>
<tr>
<th>Candidate's relocation distance</th>
<th>subsidy</th>
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</thead>
<tbody>
<tr>
<td>within New England</td>
<td>$1,600</td>
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<tr>
<td>up to 1,000 miles</td>
<td>$2,300</td>
</tr>
<tr>
<td>up to 2,000 miles</td>
<td>$2,800</td>
</tr>
<tr>
<td>over 2,000 miles</td>
<td>$3,500</td>
</tr>
</tbody>
</table>

Expenses covered by the moving subsidy (must have original receipts)
- Moving subsidies may also be used to cover travel expenses for house-hunting trips or for a trip by the candidate's spouse or partner to seek employment in the area, or to make arrangements for relocating to Middlebury. House-hunting trips are considered a benefit and are taxable income per the IRS.
- Reimbursement for mileage at the IRS moving rate, or gas and tolls - not both
- Moderately priced meals en route for all immediate family members
- Moderately priced overnight accommodations en route for all immediate family members
- Boxes for mailing and packing
- Mailing of packages and books
- Moving company bills (we have to reimburse the new faculty member, we do not pay the mover)
- Economy airline tickets and/or train tickets for immediate family members
- Taxi to Middlebury from Burlington Airport
- Costs associated with transporting household pets

Special Considerations in Hiring Non-U.S. Citizens
Please note that only under the most exceptional circumstances will the College consider appointing to the faculty an individual who is not legally authorized to work in the U.S. The College must be able to prove that said person is equal to or better than all candidates who are U.S. citizens or permanent residents. We are within legal bounds to ask a job applicant, before offering the position, “whether or not they are or will be (when the position begins) legally authorized to work for Middlebury College”. The administrator who interviews the candidate will ask this question as a matter of course. (This does not mean you can ask whether the candidate has a GREEN CARD—you may only ask whether he or she is or will be legally authorized to work in the U.S.—and specifically Middlebury College, since many visas are employer-specific). If the candidate is authorized to work in the U.S., you may not treat him/her differently from U.S. citizens. If the candidate is not authorized to work in the U.S., we may not hire him/her unless we agree to apply for
a temporary work visa on his/her behalf, although we are under no obligation to do so. Once an applicant is hired, s/he must prove (by showing original documents for the I-9 form) that s/he is legally authorized to work in the U.S. Chairs should contact the Office of International Student and Scholar Services (ISSS) (x. 5858 or isss@middlebury.edu) if questions arise regarding visa support for incoming faculty.

The College will reimburse faculty for visa application fees to enable faculty to accept a position at the College. In addition, for tenure-track candidates, the College will provide up to $4,000 of additional start-up funds to defray the costs of obtaining permanent residency.

RECOMMENDING THE FINAL CANDIDATE

In arriving at a recommendation to the VPAA/DOF, the search committee should solicit and weigh the opinions of all those colleagues (outside of the Academic Administration) and students who participated in interviewing the candidate, including the external search committee member. The sole criteria in any search are academic; the College does not discriminate on the basis of age, sex, color, race, national origin, religion, sexual orientation, or disability, and it forbids discrimination on any other grounds unrelated to the teaching and research obligations of a faculty member. We advise against evaluating candidates on the basis of “organization cultural fit” or “being a good fit.” This approach, though well intentioned, could lead to cases of discrimination.

The Chair will submit the committee’s final recommendation, including the rationale for the decision, in writing to the VPAA/DOF. The recommendation should include the committee’s reasons for ranking candidates in a particular order, and should also clearly indicate whether particular candidates are absolutely unacceptable and if so, why.

The VPAA/DOF will communicate to the Chair the administration’s decision on the search committee’s recommendation.

BACKGROUND CHECKS

All advertisements will state that offers of employment are contingent upon completion of a background check, and provide a link to our background check policy (http://go.middlebury.edu/backgroundchecks). Checks will only be conducted on candidates to whom an offer of employment has been made. Candidates will be sent instructions for how to authorize the search by the VPAA/DOF in the written confirmation of the offer (see below). Candidates will be instructed to authorize the check no later than the deadline for accepting the position. Results of background checks are typically returned within 1-3 days, so in most cases, the check will be returned before the written contract is issued. If there is a delay—as may be the case when hiring someone who does live or has lived extensively outside the U.S.—the issuing of the written contract will proceed, but the contract will contain a clause stating that it is contingent upon completion of the check. Results of the background check will be reported to HR. The VPAA/DOF will be advised if there are any adverse findings. The VPAA/DOF and HR will evaluate any such findings on a case-by-case basis, based on the nature and gravity of the offense or offenses, the time that has passed since the conviction, and the relationship of the offense or finding to the position sought. To maintain the confidentiality of candidates, the VPAA/DOF will consult with the department chair or program director only if adverse action (e.g., rescinding the offer) is being considered.
EXTENDING THE OFFER

The Chair of the department is responsible for extending the offer of appointment to the candidate, and in so doing should give the candidate a reasonable time to respond to an offer of appointment (generally at least 1 week, but no more than 2 weeks). If circumstances seem to warrant a shorter or longer time frame, the Chair should discuss the situation with the VPAA/DOF. If at all possible, the offer should be extended by telephone or Skype. Chairs should use this as an opportunity to answer any questions that the candidate may have, and can offer to assist with preparation of a start-up budget (tenure-track positions only). When extending the offer, the Chair should let the candidate know that a written confirmation of the offer and a summary of the terms (salary, benefits, moving allowance) will be forthcoming from the VPAA/DOF. The Chair should notify the VPAA/DOF when the offer has been extended and provide the VPAA/DOF with the decision deadline that was presented to the candidate. The VPAA/DOF will send a formal written offer (by email) to the candidate with information about salary and start-up funds (if applicable). Any negotiation about salary, start-up funds, or other terms should be referred to the VPAA/DOF. The Chair should, however, assist the final candidate in a tenure-track position with estimating a budget for startup funding (see “Salary, Benefits, and Other Funding” above).

Even as you extend an offer of appointment to the first-choice candidate, it is advisable to inform the second and third choice candidates that they are still under serious consideration, and to gauge their continued interest in the position. The success of a search can depend on the success with which you retain the interest of your second or third choice candidate.

If an opening is filled after one interview on the Middlebury campus, it is particularly important that the College be able to show that the position was offered on the basis of a considerable number of dossiers, so careful documentation of the rationale for your decision is crucial.

WHEN THE FINAL CANDIDATE IS HIRED

Rejection Letter
Candidates who have been interviewed at professional meetings or on campus, or who have been notified in any way that their names have reached a short list, should be notified immediately when the position is filled. We generally try to wait to notify other short-listed candidates until a signed contract has been returned to the Office of the VPAA/DOF. An email will be sent to the Chair, with a cc to the Coordinator, when the signed appointment letter has been received. Note that it can take up to 2 weeks after a candidate accepts an offer for the contract to be written, mailed, signed, and returned.

Successful Hire: Upon receipt of the email stating the signed appointment letter has been received, the Academic Coordinator will assign the “Hired” application status in Interfolio to the selected applicant, download or print any information required for the recruiting report, and close the position as detailed below.

Failed Search: If a search was unsuccessful, download or print any information required for the recruiting report without changing any application statuses. This will preserve important data about the interview process. Then, close the position as detailed below.
Please see Appendix F for a template rejection letter.

Close the Position and Indicate the Outcome of the Search
Completing Interfolio’s two-step position closing process allows Human Resources to complete the required EEO reports. **In order to close a position, Interfolio requires the name(s) of the hired applicant(s), or an indication that no one was hired with an explanatory note.**

**To close a position in Interfolio, use the two-step process initiated by choosing “Close Position” in the Position Status drop-down menu. Interfolio will prompt you for the required information.**

Closing the position preserves the complete applicant pool, and thus full EEO information—if a position is *not* closed, a candidate can access an application to make changes or remove it. Such actions limit the usefulness of the EEO reporting.

Reporting
After recruiting is completed, please submit a department recruiting report for each position to the Office of the VPAA/DOF (Charlene Barrett in Old Chapel 208) as soon as possible after the signed appointment letter has been received, and no later than May 30.

The **recruiting report form** can be found in the Office Support folder on orgs in the faculty recruiting folder. The report should also include the following:

- The campaign summary and ad quote from Jobelephant. If the campaign included advertising that was not paid for/posted by Jobelephant, please include an itemized list of those costs as well.
- A complete list of all applicant names, generated using the “Reports” button in the upper right corner of the Interfolio applicant list screen. The Applications Report will prompt for the unit (Middlebury College), the position (Position Name), and criteria (select applicant first name, applicant last name, applicant institution, and application status). Click the blue View Report button beneath your selections to preview the report, or the grey Download (CSV) button to download and save the report file. *If a foreign national is hired, also include ratings and comments when selecting your criteria for the report. These comments must be specific to each candidate, and cannot include blanket statements such as “not qualified”. If the search committee used an *a priori* set of criteria by which to evaluate applicants, these can be used in the justification for the hiring decision.*

You can find a sample recruiting report in Appendix G.

**IMPORTANT:** If the successful candidate is not a U.S. citizen, you will need to explain your reasons for appointing him/her over a U.S. citizen. The Department of Labor will want a list of *everyone* who applied, their citizenship (if known), and the reason they were not qualified. These reasons may include: wrong area of specialization, insufficient publications, lack of teaching experience, etc. Generic statements (e.g., “not qualified”) will not suffice for these purposes, so it is imperative that you keep complete records for each candidate who applied. Because you likely will not know until late in the search whether or not you will hire a foreign national, please keep complete records of any search in which a non-permanent resident may be appointed. All candidate records are available in Interfolio for five years.
Assessment
At the end of the year, the Office of the VPAA/DOF will assess the recruiting season in an effort to improve our recruiting practices, particularly as they relate to the goal of diversifying the faculty. We will do this by reviewing the recruiting reports and the demographic data of each applicant pool, short list, final interview group, and final offer/hire as recorded in Interfolio. In order to protect the confidentiality of applicants, demographic data will be compiled by the Special Assistant to Academic Affairs, and shared with the VPAA/DOF only in composite form. The VPAA/DOF will also meet with recruiting chairs and, with the Dean for Faculty Development and Research, with the previous year’s new faculty to obtain feedback on our recruiting process and our processes to support new faculty in their transition to Middlebury. By gathering quantitative and qualitative information, Academic Affairs will better understand Middlebury’s progress toward supporting a fair and equitable recruitment process, and creating a welcoming, inclusive community. (See the “Welcoming New Colleagues” section of this handbook to learn more about retention best practices.) Chairs and Directors are encouraged to provide feedback on recruiting/mentoring practices to the VPAA/DOF on an ongoing basis.

Keeping Files
Any files related to the search, in addition to those on Interfolio, should be kept in a secure location for three years after the search is complete. After three years, paper files should be shredded and electronic files deleted. Interfolio deletes all search files after five years.
**WELCOMING NEW COLLEAGUES**

**Retention Best Practices**
If you have led or been part of a search committee before, you know that a great deal of time and resources go into recruiting new faculty. When we hire a new colleague, we want them to remain a part of our community for years to come. Departments and programs are key to making new colleagues feel welcome, successful, and excited to stay here. Below are some suggested strategies to help us retain talented faculty and strengthen our community. These strategies have been developed from best practices at other institutions, from the AAC&U guidebook *Diversifying the Faculty*, from the *Just in Time* recruiting workshops offered by Pat Romney and Linda Marchesani, and from feedback offered by chairs/directors and new faculty at Middlebury. At the beginning of a search, we recommend that you assign one or more departmental colleagues to be the point person charged with assisting your new colleague in the transition to life on the faculty of Middlebury College. It is not necessary that this person be the chair or director—indeed, it is often preferable for it to be someone other than the chair/director, so that the new faculty member has more than one resource to turn to with questions. Good mentors are responsive to the needs of their new colleagues—they are aware that their experiences may be different from those of their new colleagues, and are willing to ask what the new colleague needs from the mentoring relationship.

**Social Strategies**
New faculty often report feeling isolated when first arriving at Middlebury, but small actions can go far to making new colleagues feel welcomed in the community. These might include:

- making a point to seek out new colleagues to say hello and welcome them;
- volunteering to help new colleagues move into their residences and offices;
- checking in with them about how they are adapting to a new community, a new office, a new campus;
- holding a departmental social event at the start of the year to welcome a new colleagues (this might follow the Garden Party, for example);
- inviting new colleagues to lunch or events of mutual interest;
- identifying one person in the department/program to accompany new colleagues to their first faculty meeting—and to demystify the proceedings;
- offering to accompany new colleagues to college-wide social events or gatherings;
- introducing new colleagues to everyone in the building. The Office of the VPAA/DOF can provide modest funding to support building social events/open houses to welcome new colleagues.

**Professional Strategies**
Department chairs and senior colleagues serve as important mentors for new colleagues, providing guidance on teaching and scholarly activities. Department chairs should check in regularly with new colleagues over the course of the year—and not just at the beginning. The following strategies can help make a new colleague feel welcome, and ease their transition to Middlebury:

- checking in with new colleagues to insure that they are professionally engaged and developing their own professional networks;
- explaining departmental practices to new colleagues—for example, whether or not it is common practice to keep office doors open during the day, and whether participation in departmental social events is optional or expected;
- monitoring the departmental climate for unintended micro-aggressions, and being willing to step up and respond if micro-aggressions occur;
• inviting conversations about teaching strategy or scholarship;
• inviting a new colleague to observe you teaching a class;
• sharing course materials;
• helping to involve new colleagues in interdisciplinary centers and programs by making introductions to directors of or faculty active in those centers and programs.

**Institutional Strategies**

- **New Faculty Orientation** introduces new faculty members to the institution, providing an opportunity for new faculty to meet with the academic administration, members of Faculty Council, and senior colleagues who are available for discussions of preparing for a first semester of teaching at Middlebury.

- Every Winter term, there is a workshop for new tenure-track faculty members. This workshop is led by a senior faculty member, and covers a range of topics, including discussions of pedagogy. Faculty members who are unable to participate in their first year, due to teaching obligations, are encouraged to participate in their second year. In response to a suggestion from department chairs and new faculty in previous years, we have begun treating participation in the winter-term workshop as an “on” winter term. Faculty participating in the workshop are thus able to count participation as a course taught—provided that they participate fully in the workshop. Teaching plans for new colleagues should be developed with this change in mind.

- Faculty mentoring groups, consisting of two new faculty members and 2-3 established faculty members, meet monthly during the first year. Each new faculty member—whether tenure-track or term—is assigned to a mentoring group. Mentors include both tenured and untenured colleagues; volunteers are solicited every spring.

- A member of the academic administration—generally either the VPAA/DoF or the Dean for Faculty Development and Research—will meet with new faculty during their first year, to talk about how the first semester has gone and answer any questions that may have arisen. (These conversations are also an opportunity to revisit and strengthen institutional strategies around mentoring.)

- The Dean for Faculty Development and Research is available to advise new faculty on career development and sources of support for scholarly activities.

You can find strategies for long-term retention in the main section of the Chairs’ handbook.
APPENDICES

Accessing Templates

Templates for all appendices are available in the Office Support folder on orgs, to which Coordinators have access.

Appendix A: Sample Job Listing

Assistant Professor of [Department/Field], Middlebury College, MIDDLEBURY, VT -- The [Department] invites applicants for a [full-time/part-time][tenure-track/X-year term] position in [area] beginning fall 2017. [Description of qualifications—e.g., “Successful candidate will have…” and responsibilities.] Candidates should provide evidence of commitment to excellent teaching and scholarly potential.

Middlebury College is a top-tier liberal arts college with a demonstrated commitment to excellence in faculty teaching and research. An Equal Opportunity Employer, the College is committed to hiring a diverse faculty as we work to foster innovation in our curriculum and to provide a rich and varied educational experience to our increasingly diverse student body. EOE/Minorities/Females/Vet/Disability.

Middlebury College uses Interfolio to collect all faculty job applications electronically. Email and paper applications will not be accepted. At Middlebury, we strive to make our campus a respectful, engaged community that embraces difference, with the all the complexity and individuality each person brings. In your application materials we encourage you to address how your teaching, scholarship, mentorship and/or community service might support our commitment to diversity and inclusion. Through Interfolio submit: a letter of application addressed to the [search committee chair]; [a curriculum vitae; undergraduate and graduate transcripts; a statement of teaching and research plans; and three current letters of recommendation, at least two of which must speak to teaching ability/promise]. More information is available at [URL FROM INTERFOLIO] and [our department website]. The application deadline is [date].

Offers of employment are contingent on completion of a background check. Information on our background check policy can be found here: http://go.middlebury.edu/backgroundchecks

If it is customary in your field for ads to request a more limited application package (i.e., recommendations, scholarly material, and transcript requests to follow a preliminary review of vitae), this sentence can be revised accordingly. But departments must be sure to assemble all of these materials in time for a thorough review of dossiers.
Appendix B: Sample Evaluation Sheet

Note: Departments should construct their own evaluation sheet, with criteria that encompass institutional values and goals and the specific needs of the position. With this sheet, evaluators might indicate Y or N in the boxes, or use numbers to indicate the degree to which the candidate meets requirements and preferences. Please note, however, that you may not use a numerical scale for diversity preferences.

<table>
<thead>
<tr>
<th>Criterion:</th>
<th>1</th>
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<th>4</th>
<th>5</th>
<th>6</th>
<th>7</th>
<th>8</th>
</tr>
</thead>
<tbody>
<tr>
<td>Candidates</td>
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</tbody>
</table>

Sample Criteria (to be developed by search committee):
1 Contribution to College mission
2 Contribution to College-wide curriculum (FYSE, CW, distribution requirements)
3 Contribution to goal of diversifying the faculty (see “Diversifying the Faculty” statement)
4 Evidence of excellence/innovation in teaching, including experience working with students from diverse backgrounds.
5 Evidence of excellence in research/scholarship
6 Commitment to a liberal arts education
7 Departmental criterion: Background in [particular methodology or discipline]
8 Departmental criterion: Experienced in [topic candidate will teach]
Appendix C: Sample Interview Schedule

(Name of Candidate)

Candidate for Tenure Track Position in (Name of Department)

Monday, January 10th
5:38 p.m. Arrive BTV; US Airways flight # 3642. Met by (name of faculty or Midd Trans)
7:00 p.m. Arrive in Middlebury, Check in at INN ON THE GREEN (802) 388-7512
7:15 p.m. Dinner with (Name of faculty) – Mr. Up’s Restaurant

Tuesday, January 11th
8:30 – 9:45 a.m. Breakfast Interview with (name of faculty), (Department) at the Middlebury Inn (------- will pick the candidate up from the Inn on the Green)
10:00 – 10:45 a.m. Interview with (Name of Faculty), (Department) – (office location)
11:00 – 11:30 a.m. Campus Tour with (name of student) – meet in (location or office number)
12:00 – 1:15 p.m. Lunch with (Names of Faculty), (Department) – Storm Cafe
1:30 – 2:30 P.M. Interview with (Name of Faculty), (Department) – (office location)
2:45 – 3:30 p.m. Interview with (Name of Faculty), (Department) – (office location)
4:30 p.m. Lecture in Munroe Hall room 222 (“Title of Lecture”)
5:45 p.m. Dinner with (Faculty member names) – The Lobby

Wednesday, January 12th
Breakfast at the Inn on the Green
8:45 a.m. --------------- will meet Candidate at the Inn – and bring on tour of local community
10:00 – 10:45 a.m. Interview with (Name of Faculty), (Department) – (office location)
11:00 – 12:00 p.m. Seminar with Students in (location)
12:00 – 1:00 p.m. Lunch with Students
1:15 – 2:15 p.m. Interview with (Name of Faculty), (Department) – (office location)
2:30 – 3:15 p.m. Administrative Interview - Old Chapel 207 (2nd Floor)
Andi Lloyd, VPAA/DOF (Biology)
and/or Suzanne Gurland, Dean of Curriculum (Psychology)
and/or Jim Ralph, Dean for Faculty Development and Research (History)
3:30 – 4:15 p.m. Interview with (Name of Faculty), Chair, (Department) – (office location)
4:15 p.m. Depart for Burlington Airport with Middlebury Transit from Munroe Hall

US Airways flight # 3642 departs at 6:20 p.m.
Appendix D: Sample Faculty Recruiting Candidate Verification Sheet for On-Campus Interview

Personal Information

<table>
<thead>
<tr>
<th>Name</th>
<th>John Smith</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address</td>
<td>137 E Valley Dr. Apt 378 Middlebury, VT 05753 USA</td>
</tr>
<tr>
<td>Email</td>
<td><a href="mailto:johnksmith@gmail.com">johnksmith@gmail.com</a></td>
</tr>
<tr>
<td>Primary Phone</td>
<td>802-338-0107</td>
</tr>
</tbody>
</table>

--------------------------------------------------------
| Candidate’s Signature | Date |
--------------------------------------------------------
Appendix E: Interview Questions to Avoid

This section highlights interview questions that you should avoid during interviews. More information on appropriate/useful interview questions can be found on the Human Resources website in their Guide for Effective Interviewing, [http://www.middlebury.edu/offices/business/hr/supervisors/hiring-staff/interviewing](http://www.middlebury.edu/offices/business/hr/supervisors/hiring-staff/interviewing).

Middlebury’s Nondiscrimination Statement
Chairs and search committee members should review Middlebury’s Nondiscrimination Statement prior to beginning a search (go.middlebury.edu/nondiscrimination).

Equal Employment Opportunity Interview Guidelines
(From [http://www.middlebury.edu/offices/business/hr/supervisors/hiring-staff/interviewing](http://www.middlebury.edu/offices/business/hr/supervisors/hiring-staff/interviewing))

### EQUAL EMPLOYMENT OPPORTUNITY INTERVIEW GUIDELINES

<table>
<thead>
<tr>
<th>Subject</th>
<th>Lawful *</th>
<th>UNLAWFUL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address</td>
<td>Applicant’s place of residence.</td>
<td>Inquiry as to whether candidate owns home, rents rooms, or lives with relatives. Inquiry into duration of current or previous addresses.</td>
</tr>
<tr>
<td>Age</td>
<td>Are you over 18 years of age?</td>
<td>Inquiry about age or date of birth.</td>
</tr>
<tr>
<td>Arrest Record</td>
<td>Inquiry as to whether candidate was ever convicted of a crime.</td>
<td>Asking an interviewed candidate if he has ever been arrested.</td>
</tr>
<tr>
<td>Birth Date</td>
<td>None</td>
<td>Requirement that candidate submit birth certificate, naturalization or baptismal record. Requirement that applicant produce proof of age in the form of a birth certificate or baptismal record.</td>
</tr>
<tr>
<td>Citizenship</td>
<td>Asking whether the candidate is a citizen of the U.S. If not a citizen of the U.S., does candidate intend to become one? If not a citizen of the U.S., has the candidate the legal right to remain permanently in the U.S.? Does the candidate intend to remain in the U.S.? Requirement that candidate state whether (s)he was ever interned or arrested as an enemy alien.</td>
<td>Asking candidate of what country (s)he is a citizen. Inquiry as to whether candidate is a naturalized or a native-born citizen; the date when candidate acquired citizenship. Requirement that candidate produce naturalization papers. Inquiry as to whether candidate’s parents or spouse are naturalized or native-born citizens of the U.S., the date when parents or spouse acquired citizenship.</td>
</tr>
<tr>
<td>Children</td>
<td></td>
<td>Ages of children, plans to have children in the future, child care arrangements, capacity to reproduce, advocacy of any form of birth control or family planning.</td>
</tr>
<tr>
<td>Disability</td>
<td></td>
<td>Inquiry as to whether the individual is disabled. Inquiry about certain</td>
</tr>
<tr>
<td>Category</td>
<td>Inquiry</td>
<td>Miscellaneous</td>
</tr>
<tr>
<td>------------------------</td>
<td>---------------------------------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Education</td>
<td>Inquiry into candidate's academic, vocational, or professional education and the public/private schools attended.</td>
<td>Inquiry about year of graduation from high school. Inquiry about the nationality, racial or religious affiliation of schools attended.</td>
</tr>
<tr>
<td>Experience</td>
<td>Inquiry into work experience.</td>
<td></td>
</tr>
<tr>
<td>Gender, Sex</td>
<td>None</td>
<td>Inquiry as to sex. Inquiry as to how candidate wishes to be addressed; Mr./Mrs./Miss?/Ms.?</td>
</tr>
<tr>
<td>Language</td>
<td>Inquiry into languages candidate speaks and can’t speak and write fluently.</td>
<td>Inquiry as to native languages. Inquiry into how candidate acquired ability to read, write or speak a foreign language.</td>
</tr>
<tr>
<td>Marital Status</td>
<td>None</td>
<td>Inquiry as to marital status, name or occupation of spouse.</td>
</tr>
<tr>
<td>Military Experience</td>
<td>Inquiry into candidate’s military experience in the U.S. or in a State Militia or service in particular branch of U.S. service.</td>
<td>Inquiry into candidate’s general military experience.</td>
</tr>
<tr>
<td>Name</td>
<td>Have you ever worked for the college under a different name? Is there any additional information relative to change of name, use of an assumed name or nickname necessary to enable a check on your work record?</td>
<td>Original name of applicant whose name has been changed by court order or otherwise, maiden name of a married person, or requiring prefix (i.e. Mrs.) to applicant’s name. Spouse’s name.</td>
</tr>
<tr>
<td>National Origin, Birthplace</td>
<td>None</td>
<td>Inquiry into candidate’s lineage, ancestry, national origin, birthplace, descent, parentage, nationality, or native language. Inquiry regarding nationality or birthplace of candidate’s parents or spouse.</td>
</tr>
<tr>
<td>Organizations</td>
<td>Inquiry into candidate’s membership in organizations that (s)he considers relevant to ability to perform job.</td>
<td>Requesting candidate to list all clubs, societies, and lodges to which (s)he belongs.</td>
</tr>
<tr>
<td>Race or Color</td>
<td>None</td>
<td>Considering the complexion or color of the skin, hair or eyes; or any other questions directly or indirectly indicating race or color.</td>
</tr>
<tr>
<td>Relatives</td>
<td>Names of candidate’s relatives, other than a spouse already employed by college.</td>
<td>Names, addresses, ages, number or other information about candidate’s spouse, children, or other relatives not employed by college.</td>
</tr>
<tr>
<td>Religion or Creed</td>
<td>None</td>
<td>Inquiry into candidate’s religious denomination, religious affiliations, church, parish, pastor or religious holiday observed.</td>
</tr>
</tbody>
</table>
* Inquiries which would otherwise be deemed lawful, may, in certain circumstances, be deemed as evidence of unlawful discrimination when the inquiry seeks to elicit information about a selection criterion which is not job-related and which has a disproportionate burdensome effect upon the members of a minority group and cannot be justified by business necessity.

Appendix F: Rejection Letter Templates

**Template 1: Not Selected for Interview (Before Offer Has Been Made & Accepted)**

Dear [applicant name],

Thank you for your application to our [tenure-track/term] position in [position name] at Middlebury College. The department has finalized its list of candidates to interview, and we regret to inform you that we are unable to invite you to interview for the position.

On behalf of the search committee, I want to thank you for your application. We recognize the effort involved in the process of applying to positions such as this, and we appreciate your interest in the department and in Middlebury College.

We wish you the best of luck in the future.

Sincerely,

[Department Chair]

**Template 2: Not Interviewed, Not Hired (After Offer Has Been Made & Accepted)**

Dear [applicant name],

Thank you for your application to our [tenure-track/term] position in [position name] at Middlebury College. We received many outstanding applications for this one position, making this a particularly competitive search. We regret to inform you that we recently made an offer that was accepted, and the position is now filled. I want to thank you for your interest in our program, and for giving the department an opportunity to consider your application.

We wish you the best of luck in the future.

Sincerely,

[Department Chair]

**Template 3: Interviewed, but Not Hired (After Offer Has Been Made & Accepted)**

Note: Letters to candidates you interviewed can be more personal than the form letter templates above. However, despite the temptation to provide useful feedback—or concrete answers to the question “why not me?”—it is best to be as general as possible in responding to these candidates. Even seemingly innocuous comments can go awry in communicating to candidates who were not offered a position.

Dear [applicant name],

Thank you for your visit to Middlebury on [Dates]. We enjoyed meeting you, and hearing your discussion of [research topic]. We interviewed three extremely qualified candidates, and this was a highly competitive search. In the end, we made the difficult decision to offer the position to another candidate, who has accepted.
We appreciate all of the effort that goes into applying and interviewing for faculty positions, and we wish you all the best in your future endeavors.

Sincerely,
[Department chair]

Appendix G: Sample Recruiting Report to the VPAA/DOF

Note: Although this sample recruiting report uses an existing College department, all other information about the search is fabricated.

Recruiting Report: Tenure-Track Position
Department of Computer Science
2016-17

1. A brief description of the position available.

Tenure Track position in Computer Science

2. A copy of the advertisement, along with the campaign summary and ad quote from Jobelephant.

The advertisement for this Computer Science position was placed in the following publications:

- The October 2016 issue of Computing Research News, published by the Computing Research Association (CRA), as well as electronic distribution for 60 days (9/5-11/5/2016) to the CRA’s Jobs listserv and web site
- A 60-day posting (starting 9/5/2016) on the Association for Computing Machinery (ACM) web site ACM.org


Tenure-track Faculty Position in Computer Science

MIDDLEBURY COLLEGE, MIDDLEBURY, VT 05753. Middlebury College invites applications for a tenure-track faculty position in computer science, open specialization, beginning in fall 2017. Teaching responsibilities will include courses throughout the undergraduate computer science curriculum with an emphasis on the candidate’s areas of expertise. Appointment will be made at the rank of Assistant Professor (Ph.D.) or Instructor (ABD). Candidates should provide evidence of commitment to excellent teaching and scholarly potential.

Middlebury College is a top-tier liberal arts college with a demonstrated commitment to excellence in faculty teaching and research. An Equal Opportunity Employer, the College is
committed to hiring a diverse faculty as we work to foster innovation in our curriculum and to provide a rich and varied educational experience to our increasingly diverse student body. EOE/Minorities/Females/Vet/Disability.

Middlebury College uses Interfolio to collect all faculty job applications electronically. Email and paper applications will not be accepted. At Middlebury, we strive to make our campus a respectful, engaged community that embraces difference, with all the complexity and individuality each person brings. In your application materials we encourage you to address how your teaching, scholarship, mentorship and/or community service might support our commitment to diversity and inclusion. Through Interfolio, submit to Hannah Harrison, Department Chair: curriculum vitae, teaching statement, research statement, graduate transcript, and three current letters of recommendation, at least one of which must speak to teaching ability. More information is available at http://www.interfolio.com/apply/31147. The application deadline is November 20, 2016.

Offers of employment are contingent on completion of a background check. Information on our background check policy can be found here: http://go.middlebury.edu/backgroundchecks.

3. A brief statement of how recruiting was conducted: to which universities you may have written, what conferences were attended, etc. Please include the names of applicants, in rank order, that were sent as a short list to the VPAA/DOF.

The search committee received and reviewed 89 complete applications. From these, 16 candidates were selected for preliminary interviews, which took place via Skype during November and December 2016.

Based on their performances during the preliminary interview, we selected six applicants for a ranked short list to forward to the VPAA/DOF. These applicants included, in rank order:

1. Mai Clarke, Ph.D. (withdrew)
2. Deon Tumicelli, Ph.D. (withdrew)
3. Ian Brown, ABD
4. Emily Cohen, Ph.D.
5. Janet Lamy, ABD
6. Malika DeLuca, Ph.D.

4. A list of all candidates invited to Middlebury for final interviews, with reasons for lack of success of those not offered a position.

We were given approval to invite the top five candidates on our short list for final, on-campus interviews. This happened because two invited candidates withdrew before their scheduled interview, so we moved on to other candidates in the order they appeared on the short list. In the end, we conducted three on-campus interviews, each of which included a public talk and a mock lecture. The candidates we interviewed were:

Ian Brown, ABD; Interviewed January 9–10, 2017
Our third choice was Ian Brown. He has the least teaching experience of all three candidates overall, but does have valuable real-world experience. Several of us thought he also had the least to offer in terms of courses he could teach.

**Emily Cohen, Ph.D; Interviewed January 12–13, 2017**
Our second choice was Emily Cohen. Her candidate lecture was praised by many in the Department. Her research in computational economics makes her an appealing fit for our department and for the potential to collaborate and co-teach with colleagues in economics. Her teaching experience is somewhat limited compared to that of the other finalists.

**Janet Lamy, ABD; Interviewed January 16–17, 2017**
Janet was our top candidate. She has significant teaching experience, as well as a research specialization that complements those of departmental colleagues. Her talk and mock lecture were the best overall. As an Asian woman, she would increase the diversity in our department.

We offered the position to Janet Lamy right after her interview, and she declined a few days later. The committee next agreed that they would fully support an offer of the position go out to Emily Cohen, who accepted on February 15.

**Name of Appointee:** Emily Cohen  
**Offered the Position:** Accepted

5. **Date this position was closed (archived) in Interfolio:** May 30, 2017

6. **Please attach a complete list of all applicant names and final Interfolio application statuses. If a foreign national is hired, also include ratings and detailed comments in this list.**

__________________________________________________________________________

Hannah Harrison, Department Chair  
Date
Appendix H: Further Reading on Unconscious Bias

PDF versions of some of these readings are available in the Office Support folder on ORGS, to which Coordinators have access. They are also available upon request by e-mailing the VPAA.


You might also consider exploring Harvard’s Implicit Bias test, online at https://implicit.harvard.edu/implicit/takeatest.html.