



Middlebury Institute of
International Studies at Monterey

Middlebury Institute of
International Studies at Monterey

2026 Annual Security and Fire Safety Report

*Containing information for the 2026-2027 academic
year and Clery Act statistics for calendar years
2023, 2024, and 2025.*

[MIIS Security Department](#)

460 Pierce Street
Monterey, CA 93940
831.647.4153

Title	Page
Alphabetical Index	vi
Important Numbers	vii
Message from the Campus Security Manager	1
Middlebury Institute Security Department, Monterey, California.....	2
Mission	2
Agreement with Law Enforcement.....	2
Reporting Procedures	3
Reporting an Emergency	3
Accurate and Prompt Reporting.....	3
Non-campus Buildings or Property	3
Clery Crime Reporting	4
Contact Information	4
Campus Security Authority (CSA).....	5
Confidential Reporting	5
Antihazing Policy and Education.....	7
1. Policy Statement.....	7
2. Definitions.....	7
3. Policy Review Violations Process.....	9
4. Sanctions.....	10
5. Additional Policy Violations.....	10
6. Referral to Law Enforcement.....	10
7. Contact information.....	10
8. Vermont Hazing Statues.....	11
9. California Hazing Statues.....	12
10. Statement of Policy Regarding Hazing Prevention and Awareness Programs.....	12
Timely Warning	12
Daily Crime Log	13
Emergency Phones.....	14
Security Escorts.....	14
Automated External Defibrillators (AED).....	14
Emergency Notification and Response.....	15
Emergency Notification Procedures.....	15
Disseminating Emergency Information	16
Adding or Changing Cell Phone Emergency Contact Information	16
Testing Emergency Notification, Response, and Evacuation Procedures	17
Middlebury’s Emergency Web Alert System	17
External Emergency Notifications.....	18
Emergency Operations Plan	18
Team Training.....	18

Table of Contents — Continued

Title	Page
Threat Assessment and Management Team (TAM).....	19
General Emergency and Evacuation Procedures.....	19
General Evacuation Procedures.....	19
Fire Evacuation	20
Active Threat	21
Run, Hide, Fight*	21
Earthquake Preparedness	22
Tsunami.....	23
Blackout.....	23
Campus Security and Access	24
Academic	24
After Hours Access.....	24
Computer Labs.....	24
Munras Housing Building Security.....	24
Munras Housing Touch Codes.....	24
Access Problems and Questions	24
Maintenance & Facilities.....	24
Crime Statistics	25
Definitions of Clery Geography Terms	25
Definitions of Criminal Offenses.....	26
Definitions of Hate Crime Offenses	29
Definitions of Violence Against Women Act (VAWA) Offenses.....	29
Definitions: Weapon, Drug, and Liquor Law Violations.....	30
Crime Prevention	31
Personal Safety	32
Walking or Running Safety	32
Munras Housing and Private Residences.....	33
Safety While Driving	34
Protection of Property.....	35
Internet Safety.....	35
Filing Complaints About Internet Fraud	36
Bicycling Security.....	36
Bicycling Safety.....	36
Security Awareness Programs.....	37
Notification Regarding Missing Students	37
Educational Programs to Promote the Awareness and Prevention of Domestic Violence, Dating Violence, Sexual Assault, and Stalking.....	38
How to Be an Active Bystander	41
Immediate Safety and Support/Importance of Preserving Evidence.....	44

Table of Contents — Continued

Title	Page
Medical and Support Resources	45
SANE	49
Confidentiality and Confidential Resources.....	49
Confidential Resources.....	49
Non-Confidential Resources.....	50
Options for Reporting VAWA Related Offenses	51
Making a Report On Campus.....	51
Reporting to Law Enforcement	53
Rights & Options.....	57
Services /Support / Accommodations	57
NCO / Trespass / Court Orders	58
Non-Discrimination Policy (Handbook B.1.a.).....	60
Types of Behaviors Prohibited by Law and this Policy.....	61
Investigation and Resolutions Procedures	62
Non-Discrimination Investigations & Resolutions Procedure (Handbook B.1.b)	68
A. General Provisions	68
B. Reporting Violations of the Non-Discrimination Policy.....	69
C. Supportive Measures and Non-Adjudicative Response Options.....	71
D. Investigation and Adjudicative Process.....	72
B.1.b.(1) TIX Investigation and Resolution Procedure.....	82
I. What This Procedure Covers	82
II. Reporting Title IX Sexual Harassment	83
III. Formal Complaints / Grievances & Pre-Investigation	86
IV. Investigations	89
V. Hearings and Determinations of Responsibility	93
VI. Sanctioning	97
VII. Appeals	99
VIII. Admission of Responsibility.....	100
IX. Informal Resolution	100
X. Training of Individuals Conducting Investigations and Adjudications and Facilitating Informal Resolution Processes.....	101
XI. Record Keeping.....	101
APPENDIX A.....	102
A. Definitions	102
Student Notification of Disciplinary Outcomes regarding a Crime of Violence.....	103

Table of Contents — Continued

Title	Page
Sex Offender Registry	103
Campus Sex Crime Prevention Act	103
Drug Free Schools and Communities Act	104
Middlebury's Vermont Based Program Contacts.....	105
Middlebury's California Based Program Contacts (Monterey, California)	106
Weapons Policies.....	106
Middlebury College Weapons Policy.....	106
Exceptions.....	106
Definitions	107
Storage.....	107
Fire Safety Report	108
Residential Fire-Systems Descriptions at the Middlebury Institute Campus.....	108
Fire Evacuation Drills	110
Fire Safety Education and Training programs	110
Fire Evacuation Procedure for Students and Employees	110
Fire Safety Regulations.....	111
Fire Log	113
Fire Safety Statistics.....	114

List of Tables

Title	Page
Table 1. Criminal Offense Reporting Table.....	28
Table 2. VAWA Offense Reporting Table	30
Table 3. Arrest and Disciplinary Referrals Reporting Table.....	31
Table 4. Police Reports -- Middlebury Programs Outside Vermont.....	55
Table 5. Statistics and Related Information Regarding Fires in Residential Facilities -- 2023	114
Table 6. Statistics and Related Information Regarding Fires in Residential Facilities -- 2024.....	114
Table 7. Statistics and Related Information Regarding Fires in Residential Facilities -- 2025	115

Alphabetical Index

AED	Automated External Defibrillator
AOD	Alcohol and Other Drugs
CBRT	Community Bias Response Team
CFTC	Commodity Future Trading Commission
CMT	Crisis Management Team
CRTIX/CRT9	Civil Rights and Title IX
CSA	Campus Security Authority
DAAPP	Drug and Alcohol Abuse Prevention Program
DCF	Department for Children and Families
DOC	Department of Correction
DPS	Department of Public Safety
EAP	Invest Employee Assistance Program
EMT	Emergency Management Team
ENS	Emergency Notification System
FBI	Federal Bureau of Investigation
HIV	Human Immunodeficiency Virus
HR	Human Resources
HRO	Human Relations Officer
ID	Identification
LGBTQ	Lesbian, Gay, Bisexual, Transgender, Questioning
MPD	Monterey Police Department
NCO	No Contact Order
NIBRS	National Incident -Based Reporting System
NTN	No Trespass Notice
PIO	Public Information officer
SANE	Sexual Assault Nurse Examiner
SCHA	Stop Campus Hazing Act
SMDVS	Sexual Misconduct, Domestic and Dating Violence and Misconduct, and Stalking
SPECS	Sex Positive Education College Students
TAM	Threat Assessment and Management Team
UL	Underwriters Laboratory
VAWA	Violence Against Women Act
VINE	Victim Information & Notification Everyday
VPAA	Vice President for Academic Affairs
VPSA	Vice President for Student Affairs

Middlebury Institute Campus Important Numbers and Resources

On Campus

Emergencies.....Dial 911

For the hearing impaired, dial 911 and press the space bar until someone answers for TDD/TTY calls.

Security Department.....	831.647.4153
Emergency Notification System Alerts.....	831-200-6582
(This number will show up on your cell phone when an emergency notification is sent)	
Office of the Vice President/ Director of Operations.....	831.647.3513
Office of Student Services/Human Relations Officer.....	831.647.4654
Human Resources.....	831.647.6404
Civil Rights & Title IX Coordinator.....	802.443.2147
InvestEAP.....	866.660.9533
Residential Life.....	831.647.6442

Off Campus

Emergencies.....Dial 911

For the hearing impaired, dial 911 and press the space bar until someone answers for TDD/TTY calls.

Hospital Emergency Rooms

Community Hospital of the Monterey Peninsula.....	831.624.5311 or 1.888.45CHOMP
Salinas Valley Health Medical Center.....	831.757.4333
Montage Health MoGo Urgent Care.....	831.622.6930

Health Services and Resources

Timely Care Customer Service Line (24-hour helpline).....	1.833.484.6359
Child Abuse Reporting.....	831.755.4661 (Monterey)
Community Human Services Counseling and Recovery.....	831.658.3811
Alcoholics Anonymous (24-hour helpline).....	831.373.3713
Domestic Violence Crisis Line (YWCA) (24-Hour helpline).....	831.372.6300 or 831.757.1001
Community Crisis Line of Monterey County (24-hour helpline).....	1.866.615.1060
Drug Abuse Hotline (Narcotics Anonymous) (24-hour helpline).....	831.624.2055
Monterey County Health Department.....	831.755.4500
Monterey County Department of Monterey Social Services.....	831.-899.8001(Monterey)
.....	831.755.4650 (Salinas)
Monterey County Behavioral Health.....	1.888-258-6029
National Alliance on Mental Illness – Monterey County.....	831.422.6264
National Domestic Violence Hotline (24-hour helpline).....	1.800.799.7233
California Poison Control Center (24-hour helpline).....	1.800.222.1222
Rape, Abuse, and Incest National Network (RAINN) (24-hour helpline).....	1.800.656.4673
Monterey County Rape Crisis Line (24-hour helpline).....	831.375.4357 or 831.424.4357
SafeSpace.....	802.863.003
Suicide Prevention and Crisis Hotline (24-hour helpline).....	1.877.663.5433
Suicide and Crisis Lifeline (24-hour helpline).....	988 or 1.800.273.8255

Non-Emergency Situations

Monterey Police Department.....	831.646.3914
Pacific Grove Police Department.....	831.647.7900

Message from the Campus Security Manager

This Annual Security and Fire Safety Report contains information on security programs, policies, procedures, safety practices and fire safety systems for the Middlebury Institute of International Studies at Monterey (hereinafter referred to as “Middlebury,” “the Middlebury Institute” or “the Institute”). The Annual Security and Fire Safety Report includes statistics for the previous three years concerning reported crimes that occurred: (a) on the Institute’s campus in Monterey, California; (b) on public property immediately adjacent to and accessible from the Institute’s campus; and (c) at the non-campus buildings and properties owned or controlled by the Institute that are used in direct support of or in relation to the Institute’s educational purposes, are frequently used by students, and are not within the same reasonably contiguous geographic area of the Institute’s campus. This report also provides details on any reported fires in student residential buildings on the Monterey, California campus.

This information has been compiled and released in accordance with the Jeanne Clery Campus Safety Act (the “Clery Act”) and the Violence Against Women Reauthorization Act of 2013 (VAWA) and is produced by the Institute’s Security Department with input from a variety of Institute departments including but not limited to Facilities Services, Residential Life, Title IX, Community Standards, Human Resources, Student Life, Student Services, and Experiential Learning. Electronic copies of the Annual Security and Fire Safety Report are distributed via email to all students, faculty, and staff at the Institute. Printed copies are available and are provided upon request by e-mailing Andrew Hernandez at ahernandez@middlebury.edu. The report may also be accessed on the [Security Department website](#).

Statistics for The Middlebury Institute are also submitted to the Department of Education.

All faculty, staff, students and visitors play important roles in crime prevention. By following safety policies and reporting suspicious or criminal activity to the appropriate authorities in a timely manner, community members can help reduce crime and increase safety.

If you have questions or suggestions about this Annual Security and Fire Safety Report or regarding safety and security at the Institute, please contact me by calling 831.647.4153 or via e-mail at bdaniels@middlebury.edu.

Sincerely,

Betcie Daniels

Security Manager
460 Pierce Street
Monterey, CA 93940

The Security Department, Monterey, California

The Security Department is a contracted service that provides uniformed security officers on campus at all times. Department members regularly patrol campus on foot and respond to emergencies. The Security Department reports to the Director of Facilities Services. Security officers are not sworn law enforcement and do not have the power to make arrests. Rather, they work closely with local law enforcement agencies when necessary and appropriate. Security officers do have the authority to stop individuals on campus and request identification. The department's staff includes the security manager and a staff of security guards.

The Security Department staff patrol the Institute campus and Munras Housing on foot and carry a cell phone and campus radio and are certified in CPR, first aid, and in the use of Automated External Defibrillators (AED). The Security Department provides a variety of services including but not limited to: responding to incidents occurring on campus, responding to fire alarms, conducting fire and evacuation drills, sending emergency notifications, maintaining card access profiles for Munras Housing, locking and unlocking buildings, parking services (registration and enforcement including issuing parking tickets), issuing notices of trespass, issuing no contact orders, overseeing bike registration, responding to wellness checks, providing support for Institute-sponsored events (when appropriate), and providing walking safety escorts. They complete relevant training related to security and safety to promote a safe and secure campus environment. Security staff may have prior experience in law enforcement, safety, security work, and emergency medical and fire response.

Reports to the Security Department can be made via phone, email (for non-urgent matters), or in person. The Security Department is available year-round, at all times of the day and night. Blue emergency phones are located throughout the Institute campus, that connect directly with the security staff. The Security Department telephone number is 831-647-4153 and the department is located at 460 Pierce Street Monterey, CA.

Responses to incidents will vary depending on the situation and whether it is a Security matter or a matter that should be referred to another department for a more appropriate response. There are some matters that our team can assist with remotely. Others where a guard may be on scene to provide services and/or determine if additional resources (internal or external) are necessary. Incident reports are then forwarded to the appropriate department for follow-up actions if necessary.

Potentially life-threatening emergencies such as fire, medical, and criminal activity should be called into 911 first, and then the Security Department. When appropriate, the department works with external responders including but not limited to the Monterey Police Department, Monterey Fire Department, and American Medical Response (AMR). The Security Department's patrol jurisdiction does not extend outside of the Institute's campus and Munras Housing.

Mission

The Mission of the Security Department is to support the Institute's educational goals by delivering services that enhance and protect the Institute community. Security ensures an environment conducive to learning by enforcing Institute policies, laws, and ordinances, protecting property and persons, and offering services that contribute to an effective living and learning environment. The department welcomes any questions or concerns, whether by email, phone, or in person.

Agreement with Law Enforcement

The Security Department has a working relationship with the Monterey Police Department (MPD) in the areas of patrol operations, criminal investigation, service of warrants, events and demonstrations, and parking enforcement. Security immediately reports serious crimes that have occurred on campus and missing persons to the Monterey Police Department. Security informs and encourages victims and witnesses to report criminal acts and suspicious behaviors to Monterey Police. When the police must arrest individuals on campus, Security offers its assistance and cooperation. There is no written memorandum of understanding between the Security Department and the MPD.

The MPD also works closely with Security when notifications must be made to inform and protect the campus community.

Reporting Procedures

All crimes should be reported to the Institute Security Department, the Middlebury Civil Rights and Title IX Office, Human Resources, Associate Dean of Student Services and/or Middlebury Officials responsible for conduct and/or investigations, to ensure inclusion in the annual crimes statistics and to aid the Security Department in providing timely warning notices to the community as appropriate.

When you need an immediate response at any of the Middlebury programs or anywhere in the United States, dial 911.

Reporting an Emergency

An emergency is any immediate threat to life and/or property that requires immediate response from police, fire, or emergency medical services. Examples include crimes in progress, fire, or a serious injury or illness.

- Dial 911.
- Stay on the line with the dispatcher until first responders arrive.
- Provide the address, the location, and a description of the emergency.
- Provide the phone number at your location or the cell phone number.
- Describe the incident thoroughly so the dispatcher can send you the appropriate resources.
- If you accidentally misdial, do not hang up. Stay on the line and tell the dispatcher you misdialled. Hanging up may cause emergency personnel to respond and investigate to ensure there is not an emergency.
- An emergency is any immediate threat to life and/or property that requires immediate response from the police, fire, or emergency medical services. Examples include crimes in progress, fire, or a serious injury or illness

Accurate and Prompt Reporting

We encourage community members, students, faculty, staff, and guests to report all crimes, suspicious activity, and public safety-related incidents and hazards to the Security Department or other designated security department and/or official and local law enforcement accurately and in a timely manner (see contact information on the following page). This should also be done when a harmed party or impacted party elects to, or is unable to, make such a report. This language captures situations involving both physical and mental incapacitation. It does not include situations where a victim is unwilling to report.

If sexual assault (including rape, fondling, incest, and statutory rape), domestic violence, dating violence, stalking or other crimes occur, staff on the scene, including the Security Department, will offer the harmed party or impacted party services and options for filing a report. As stated above, all crimes should be reported to the Security Department, the Civil Rights and Title IX Office, Human Resources, Associate Dean of Student Services or other designated department or official to ensure inclusion in the annual crime statistics and to aid the Security Department in providing timely warning notices to the community as appropriate.

Non-campus Buildings or Property

If an Institute student, staff member, or faculty member becomes aware of a crime or emergency or needs to make a request for assistance connected with a non-campus location (that is, any building or property owned or controlled by the Institute that is used in direct support of the Institute's educational purposes and which is frequently used by students, but which is not within the same

reasonably contiguous geographic area as one of Middlebury's campuses), they are encouraged to contact the police (dial 911). Other contacts are the on-site director or designee or the security staff responsible for the building or location.

Examples of non-campus buildings or property are those that meet the definition of "non-campus" as described above, such as local rental properties that are under a master lease with the Institute, property used for experiential learning trips organized by the Middlebury Institute, courses or events at Middlebury's Washington, D.C. office, and certain hotels or other accommodations that are repeatedly used by Middlebury Institute programs. Individuals are encouraged to report crimes to Middlebury Institute departments or officials responsible for security-related issues or administration, and to local police agencies as appropriate and/or required by applicable law.

The Institute does not officially recognize any student organizations that have non-campus housing or other non-campus locations, so it does not have a policy concerning the monitoring and recording by local police agencies of criminal activity by students at any such locations.

Clery Crime Reporting

Any student or faculty or staff member who has information about a Clery Act crime is requested to report the crime to the Security Manager, the Director of Facilities Services, the Associate Dean of Student Services, the Civil Rights and Title IX Office, or the Security Department for inclusion in the Clery Act crime statistics and so the Security Department can determine whether or not a timely warning is needed (for complete details, see the Timely Warning section in this document). Clery crime reports should also be made by using the online [Crime Report Form](#).

All crimes can be reported to the Security Department. Matters related to discrimination, harassment, sexual and/or personal violence can also be reported directly to the Title IX office.

Contact Information

For emergencies, including medical, fire, smoke, crime, or the carbon monoxide alarm, first call 911 and then the Security Department.

Emergencies (Police, Ambulance, and Fire Department): Dial 911

Monterey Police: **831.646.3830**

Security Department: **831.647.4153**

Monterey Fire Department: **831.646.3900**

Reports can also be made in person at the Security Department, 460 Pierce Street, Monterey, CA.

Civil Rights & Title IX Coordinator

Butterfly Blaise Boire

Middlebury College Service Building

Middlebury, VT 05753

802.443.2147

bboire@middlebury.edu

Civil Rights and Title IX Deputy Coordinator

Taryn Moran

Middlebury College Service Building

Middlebury, VT 05753

802.443.5840

tarynm@middlebury.edu

Campus Security Authority (CSA)

A Campus Security Authority (CSA) is someone to whom you can report a crime and includes the following groups of individuals and organizations associated with an institution:

- A campus police department or a campus security department of an institution.
- Any individual or individuals who have responsibility for campus security but who do not constitute a campus police department or a campus security department (e.g., an individual who is responsible for monitoring the entrance into institutional property).
- An individual or organization specified in an institution's statement of campus security policy as an individual or organization to which students and employees should report criminal offenses.
- An official of an institution who has significant responsibility for student and campus activities, including but not limited to student housing, student discipline, athletics, off-campus trips, and campus judicial proceedings.

You report a crime when you bring it to the attention of a CSA, and that report should be made in good faith. You can also make a report by filling out the online [Campus Security Authority crime report form](#). This report will be forwarded to the Middlebury Institute Security Department. The CSA report form is located on Middlebury's Department of Public Safety webpage.

Confidential Reporting

Per the Clery Act, campus "pastoral counselors" and campus-based "professional counselors," when acting as such, are not considered campus security authorities and are not required to report crimes for inclusion into the annual disclosure of crime statistics. As a matter of policy, counselors are encouraged to explain to a victim that the counselor could inform the Security Department of the crime solely for statistical purposes, keeping the victim's name anonymous. If the victim agrees, the counselor would ask the victim to complete a Campus Security Authority form and leave all identifying information blank. The Act defines counselors as follows:

1. *Pastoral counselor:* An employee of an institution who is associated with a religious order or denomination, who is recognized by that religious order or denomination as someone who provides confidential counseling and who is functioning within the scope of that recognition as a pastoral counselor.
2. *Professional counselor:* An employee of an institution whose official responsibilities include providing mental health counseling to members of the institution's community and who is functioning within the scope of the counselor's license or certification.

Counselors who serve in administrative roles may be designated Campus Security Authorities and/or responsible employees as these roles relate to portions of their assigned work and job descriptions. In addition to the confidential resources defined above, Middlebury has designated additional roles as confidential. Those can be found in the "Confidential and Confidential Resources" section of this document.

Clery Act Crimes that Must be Reported by the CSA and Included in the Annual Security Report

CSAs are responsible for reporting allegations of Clery Act crimes that are reported to them while acting in their capacity as CSAs. CSAs are required to report such crimes to the [Middlebury Institute Security Department](#) (831-647-3513), the [Middlebury Civil Rights and Title IX Office](#) (802.443.2147), Associate Dean of Student Services, Human Resources or other Middlebury officials responsible for conduct

and/or investigations so that the crime report can be included in annual crime statistics, and in order for the Security Department to make a timely warning determination (see Timely Warning below).

The Middlebury Institute Security Department works cooperatively with our designated CSA, including but not limited to, the Civil Rights and Title IX Office, Associate Dean of Student Services, Human Resources and officials responsible for investigations and/or conduct to ensure we are collecting statistics from all appropriate sources. Additionally, we work closely with local law enforcement who hold the appropriate jurisdiction for our various Clery geography locations. Outreach is conducted at least once annually to make a request for applicable statistics. We encourage all CSAs to use the online [Campus Security Crime Report Form](#), which will be electronically transmitted to the Security Department.

In case of an emergency please dial 911.

Crimes that fall within the scope of the Clery Act and must be reported include the following:

- Murder and non-negligent manslaughter
- Manslaughter by negligence
- Sexual assault/sex offenses (rape, fondling, incest, and statutory rape)
- Robbery
- Aggravated assault
- Burglary
- Motor vehicle theft
- Arson
- Liquor law violation
- Drug law violation
- Weapon law violation
- Hate crimes: any of the serious crimes above (except manslaughter by negligence) and larceny-theft, destruction/damage/vandalism of property, intimidation (threats of injury or violence), or simple assault, if there is evidence that the victim was intentionally selected because of the perpetrator's bias against the victim on the basis of race, religion, gender, gender identity, sexual orientation, ethnicity, national origin, or disability
- Domestic violence
- Dating violence
- Stalking
- Hazing

Definitions of these crimes can be found in the Crime Statistics section of this document.

CSAs must also report arrests and referrals for campus disciplinary action for liquor law violations, drug law violations, and weapons law violations (e.g., possession, carrying, etc.).

Antihazing Policy and Education

Middlebury is committed to promoting healthy, safe, and balanced lifestyles. Student organizations and athletic teams can play a vital role in this process, and can provide transformative opportunities for friendship, leadership, and personal growth and discovery. Hazing of any kind is antithetical to these goals; therefore, Middlebury prohibits hazing activities, whether by an individual or an organization. In keeping with Middlebury's prohibition of any kind of hazing, training and educational activities are periodically conducted with appropriate staff who support students and campus life.

1. Policy Statement

Middlebury prohibits hazing activities of any kind, whether by an individual or an organization, and including passive participation in hazing activities. Because of the socially coercive nature of hazing, implied or express consent is not a defense under this policy (or under state law in Vermont or California). Passive participation in hazing may include witnessing hazing taking place as a group member, affiliate or guest, or participating in or being present in person or via technology in discussions where hazing is planned. Retaliating against an individual for reporting or protesting hazing activities is also prohibited by this policy. Hazing activities violate this policy whether or not they involve alcohol, and whether or not they occur on a Middlebury campus. Hazing activity may lead to disciplinary proceedings against individuals and/or organizations, including but not limited to disciplinary consequences for individuals, and/or the probation, suspension, or termination of a student organization or athletic team. Culpability for any violations of this policy may be attributed to active and passive participants, the student group and/or its members, and/or its elected or appointed officers.

Hazing activity is subject to additional penalties or fines and/or criminal prosecution by the state of Vermont; See 16 V.S.A. Sec. [570k](#) & [570l](#); see also *Referral to Law Enforcement*, below. For anti-hazing laws in California, see [Matt's Law SEC. 3. Section 245.6, Part 40 of Division 5 of Title 3 of the Education Code](#).

The student leaders of all registered student organizations and athletics programs are required to acknowledge annually that they will comply with the terms of Middlebury's *Policy Against Hazing*. Retaliation against any individual, directly or indirectly, for making or participating in a complaint about hazing is prohibited under Middlebury's general [Retaliation policy](#).

2. Definitions

For the purposes of this policy, the term "hazing" means; any intentional, knowing, or reckless act committed by a person (whether individually or in concert with other persons), against another person or persons regardless of the willingness of such other person or persons to participate, that is committed in the course of an initiation into, an affiliation with, or the maintenance of membership or holding office in, a student organization; and causes or creates a risk, above the reasonable risk encountered in the course of participation in any Middlebury team, program or organization (such as the physical preparation necessary for participation in an athletic team), of physical or psychological injury including:

- whipping, beating, striking, electronic shocking, placing of a harmful substance on someone's body, or similar activity
- causing, coercing, or otherwise inducing sleep deprivation, exposure to the elements, confinement in a small space, extreme calisthenics, or other similar activity
- causing, coercing, or otherwise inducing another person to consume food, liquid, alcohol, drugs, or other substances
- causing coercing, or otherwise inducing another person to perform or simulate sexual acts

- any activity that places another person in reasonable fear of bodily harm through the use of threatening words or conduct
- any activity against another person that includes a criminal violation of local, State, Tribal or Federal law
- any activity that induces, causes, or requires another person to perform a duty or task that involves a criminal violation of local, State, Tribal, or Federal law
- any activity that is intended to have the effect of, or should reasonably be expected to have the effect of, humiliating, intimidating or demeaning the student
- planning, soliciting, directing, aiding, or otherwise participating actively or passively in the above acts.

Middlebury prohibits hazing as defined by the [Stop Campus Hazing Act \(SCHA\)](#) through this Policy.

Middlebury also prohibits hazing as defined by applicable Vermont hazing statutes, which the definition is stated in the Vermont Hazing Statutes section of this Policy, below, as well as applicable California statutes.

Activity or conduct that furthers legitimate curricular, extracurricular, or military training program goals is not considered to be hazing, provided that:

- (i) the goals are approved by a Middlebury official who oversees the activities of the organization or team (e.g., coach or Office of Student Engagement); and
- (ii) the activity or conduct furthers the goals in a manner that is appropriate, contemplated by Middlebury, and normal and customary for similar programs at other educational institutions.

"Student Organization," for purposes of this policy, is an organization at Middlebury (such as an athletic team, association, corporation, order, society, corps, cooperative, club, band, student government, or other similar group, in which two or more of the members are enrolled students at Middlebury, whether or not the organization is established or recognized by Middlebury.

"Initiation" (or pledging)" means any action or activity related to becoming a member of an organization.

"Student" includes but is not limited to any person who is enrolled in or pursuing a degree or credit in any Middlebury program.

a. Examples

Middlebury policies include the prohibition of many activities that have traditionally been associated with hazing, such as illegal substance use and abuse, vandalism, theft, verbal or physical abuse or threat of harm, sexual harassment, and other forms of harassment. In addition to those activities and conduct expressly prohibited elsewhere in this Handbook, examples of prohibited individual/group activities that may constitute hazing when otherwise falling within the definition above include but are not limited to the following:

- (i) Encouraging the use of alcohol or illegal drugs
- (ii) Shaving, tattooing, piercing or branding
- (iii) Threatening or causing physical restraint
- (iv) Nudity
- (v) Throwing substances or objects at individuals

- (vi) Assigning unreasonable chores or acts of servitude
- (vii) Forcing or coercing consumption or use of any substance
- (viii) Interfering with adequate time for study
- (ix) Requiring the wearing of apparel or acting in a way that is conspicuous and not within community norms
- (x) Blindfolding
- (xi) Subjecting someone to abusive or demeaning conduct

3. Policy Violation Review Process

A. AUTHORITY

The Vice President for Student Affairs or an appropriate designee (hereinafter referred to as “VPSA”) monitors the implementation of this policy and imposes sanctions for violations. Any questions concerning the interpretation or application of this policy should be referred to the VPSA.

B. REPORTING

All Middlebury community members are expected to report activities believed to be hazing to the Director of Athletics, Office of Student Engagement, Dean of Students, Institute Associate Dean of Student Services, the AVP of Student Affairs for the Institute and the Schools, or the VPSA. See contact information below.

C. PROCESS

- (i) When an allegation of hazing or related retaliation is made, the VPSA or appropriate designee will determine initially whether the report or complaint alleges conduct that is prohibited by this policy and whether an investigation should be initiated. The VPSA may also suspend the activities of the organization or the activities of individual members thereof pending the outcome of the investigation.
- (ii) If the VPSA determines that an investigation should proceed, an investigator(s) will be appointed to conduct an investigation. The investigator is authorized to contact any and all individuals with potentially relevant information, and to access relevant records and documents. The nature and scope of the investigation is within the discretion of the investigator and/or the VPSA.
- (iii) All participants in the investigation are expected to cooperate fully by providing complete, accurate, and truthful information, including documents, evidence, statements, etc. Participants may be asked to keep the substance of their interview with the investigator confidential.
- (iv) After the investigation is completed, the investigator shall issue a report to the VPSA that includes recommended findings, based on a preponderance of the evidence standard, i.e., whether it was more likely than not that this policy was violated. The report may be issued in a form appropriate to the nature of the investigation, including oral reporting, and will be accompanied by all evidentiary material considered in the investigation.
- (v) Prior to the VPSA’s determination, the VPSA will provide a summary of allegations to the student leaders of the organization under investigation, and the organization will have an opportunity to provide a responsive statement by a deadline established by the VPSA.
- (vi) After review of the investigator’s report, the evidentiary material and the responsive statement, if submitted, the VPSA shall issue a determination as to whether hazing occurred, based on a

preponderance of the evidence standard. The complaining party, if identified, and the responding party under investigation will ordinarily be notified of the VPSA's determination as to whether there was a policy violation

D. ADDITIONAL INFORMATION

Information about reports of hazing will also be provided to Middlebury's Public Safety Department to enable compliance with Middlebury's obligations under the Clery Act.

Middlebury will publish a Campus Hazing Transparency Report as required by the SCHA.

4. Sanctions

- a. Sanctions for organizations may range from permanent suspension of recognition or authorization to operate, through cancellation, termination or restriction of activities and events, and other sanctions tailored to the particular circumstances.
- b. Sanctions for individuals may include all of the usual sanctions under Middlebury's undergraduate [disciplinary policies](#), the [disciplinary policies of the Middlebury Institute](#), or the disciplinary policies of other Middlebury programs, as appropriate, fines and restitution, and other sanctions tailored to the particular circumstances. The VPSA may refer hazing allegations concerning individual students to a judicial affairs officer from the appropriate Middlebury program for review, adjudication and sanctioning.

5. Additional Policy Violations

Reported incidents may be referred to Community Standards, CR/TIX, or other Middlebury officials, as appropriate to the circumstances, to address alleged violations of other Middlebury policies, including but not limited to Middlebury's [General Conduct Standards](#) and [Non-Discrimination Policy](#).

6. Referral to Law Enforcement

Middlebury will ordinarily report allegations of hazing to law enforcement authorities when, in the judgment of the VPSA, the nature of the allegations suggests that the hazing activity, if it occurred or is occurring, presents a risk of serious harm to students or other persons, or involves a potentially serious violation of law.

7. Contact Information

[Department of Public Safety](#)

Emergency: 802.443.5911

Non-emergency: 802.443.5133

[Vice President for Student Affairs](#)

Smita Ruzicka

802.443.5382

sruzicka@middlebury.edu

Director of Athletics

Todd Phelps

802.443.6886

tphelps@middlebury.edu

Dean of Students
Joe Russell
802.443.3417
Joer@middlebury.edu

Institute and Schools Contacts

Middlebury Institute Security Department
831.647.4153

Associate Vice President of Student Affairs for the Institute and Schools
Derek Doucet
802.443.3108
ddoucet@middlebury.edu

Middlebury Institute Associate Dean of Student Services
Ashely Arrocha
831.647.4654
aarrocha@middlebury.edu

8.Vermont Hazing Statutes

Title 16 V.S.A. § 178 provides in pertinent part that the Board of Trustees or other governing body of each postsecondary school operating in Vermont shall adopt and ensure enforcement of a policy prohibiting hazing, which shall define hazing in a manner that is at least as stringent as the definition contained in 16 V.S.A. § 11(a)(30).

Title 16 V.S.A. § 11(a)(30) defines hazing as:

any act committed by a person, whether individually or in concert with others, against a student in connection with pledging, being initiated into, affiliating with, holding office in, or maintaining membership in any organization that is affiliated with an educational institution; and that is intended to have the effect of, or should reasonably be expected to have the effect of, humiliating, intimidating, or demeaning the student or endangering the mental or physical health of a student. Hazing also includes soliciting, directing, aiding, or otherwise participating actively or passively in these acts. Hazing may occur on or off the campus of an educational institution.

Hazing under Vermont law shall not include any activity or conduct that furthers legitimate curricular, extracurricular, or military training program goals, provided that:

- (i) the goals are approved by the educational institution; and
- (ii) the activity or conduct furthers the goals in a manner that is appropriate, contemplated by the educational institution, and normal and customary for similar programs at other educational institutions.

“Organization” under Vermont law means a fraternity, sorority, athletic team, association, corporation, order, society, corps, cooperative, club, or other similar group, whose members primarily are students at an educational institution, and that is affiliated with the educational institution.

“Pledging” under Vermont law means any action or activity related to becoming a member of an organization.

9. California Hazing Statutes

California Penal Code section [245.6](#) states it shall be unlawful to engage in hazing and defines “hazing” as “any method of initiation or pre-initiation into a student organization or student body, whether or not the organization or body is officially recognized by an educational institution, which is likely to cause physical harm, to any former, current, or prospective student of any school, community college, college, university or other educational institution in this state.” “Hazing” does not include customary and ordinary athletic events or school sanctioned events.”

Supporting California Education Code anti-hazing law: [Stop Campus Hazing Act](#)

10. Statement of Policy Regarding Hazing Prevention and Awareness Programs

Through the publication of this Policy Against Hazing and its awareness and prevention programs related to hazing, Middlebury seeks to promote understanding and intolerance of prohibited hazing behaviors in the Middlebury community.

Middlebury is committed to proactive, research-informed efforts to prevent hazing in its community. Middlebury will offer campus-wide prevention and awareness programs that are designed to reach students, faculty, and staff. These efforts will include, but are not limited to, the following:

- Primary prevention strategies, such as training on the negative consequences of hazing, the importance of mutual respect, the promotion of healthy group dynamics and how to build group cohesion without hazing, skill building for bystander intervention, information about ethical leadership, and how to identify and stop hazing before it occurs.
- Focused interventions for high-risk groups.
- Publication of findings of responsibility, consistent with the Campus Hazing Transparency Report requirements of the SCHA.
- Ongoing assessment and adaptation of anti-hazing awareness and prevention programs based on evidence and emerging best practices.

Middlebury’s awareness and prevention programs are adopted and led by the Vice President of Student Affairs. Please note, this Annual Security Report will contain statistics concerning any reports of Clery-reportable hazing. The Campus Hazing Transparency Report, however, will only provide information on incidents in which a formal finding of responsibility for a hazing violation was made.

Timely Warning

The Security Department, or other site-specific designee at a non-campus building, will issue timely warnings as soon as pertinent information is available regarding Clery crimes that are reported to the Middlebury Institute by CSAs, local law enforcement, or others and that are considered by the Middlebury Institute to represent a serious or ongoing threat to students, employees and/or guests. The content of the message will typically be developed by the Security Department, in collaboration with Communications and/or appropriate members of Senior Leadership, when appropriate and as time allows. Any member of the Institute community who knows of a crime or reported crime should report that information as soon as possible to the Security Department and on-site director or designee at a non-campus location so that, if warranted, a timely warning can be issued. The Security Department or designees will not distribute warnings that could compromise criminal investigations. Note, institutions are not required to provide a timely warning with respect to crimes reported to a pastoral or professional counselor.

Criminal incidents that might prompt a timely warning include the Clery crimes: aggravated assault, arson, burglary, manslaughter by negligence, motor vehicle theft, murder/non-negligent manslaughter, robbery, rape, fondling, incest, statutory rape, domestic violence, dating violence, stalking, hazing and hate crimes, as defined in the Crime Statistics section. Other criminal incidents may prompt community notice depending on the circumstances. If there is an immediate threat to the health or safety of the campus community, the Middlebury Institute will follow its emergency notification procedures. The Institute is not required to issue a timely warning based on the same circumstances. However, the Institute must provide adequate follow-up information to the community as needed.

Whether to issue a timely warning will be determined on a case-by-case basis in light of all the facts surrounding the reported crime. The determination will be made by the Security Manager (or designee) and/or the Vice President's Office. The Security Manager or designee may, at their discretion, consult with others as deemed appropriate. The following criteria will determine whether timely warnings will be issued:

- The nature of the crime
- The continuing danger to the campus community
- The possible risk of compromising law enforcement efforts

The warning will include information that would promote safety and aid in the prevention of similar crimes. The Middlebury Institute will withhold as confidential the names and other identifying information of victims.

The Security Department will typically issue the warning via email to the entire campus community and post it on the Security Department's website. Timely warnings will remain posted on the Security Department's website at the discretion of the Security Department in collaboration with the Director of Facilities Services and Chief Risk Officer and Vice President for Communications and Chief Marketing Officer or designee.

Depending on the circumstances of the crime or threat, The Security Department may also post the notices in the residence hall or academic building(s) and/or may issue the timely warning by text message.

The Institute may also issue warnings to the campus community when other situations pose safety concerns (see the Emergency Response), or otherwise as deemed appropriate.

In its annual letter to local law enforcement agencies, Middlebury Institute requests that local law enforcement inform the institution on an immediate basis of crimes that may require timely warnings.

Daily Crime Log

The Middlebury Institute's Security Department maintains a Daily Crime Log of all criminal incidents and alleged criminal incidents reported to have occurred on the Institute's campus, on the adjacent public property, and in the Security Department's patrol jurisdiction. The Daily Crime Log will contain any reports to the Security Department from a Campus Security Authority and local law enforcement. Reports of crime at non-campus buildings or properties, as defined by the Clery geography, will be included in the Middlebury Institute's crime log. The Security Department publishes the crime log entry, an addition to an entry, or a change in disposition of the complaint with 2 business days of receipt. The Daily Crime Log reports are available for public inspection and review during business hours at the Security Department's office, located at 460 Pierce Street, Monterey, CA. The [Daily Crime Log](#) is also available on the Security Department's webpage. This log identifies the nature of the crime, the general location of the crime, the date and time the crime occurred, the date the crime was reported to the Security

Department, and the disposition of the complaint, if known. The Institute is not required to update the disposition of a crime log entry if the disposition changes 60 days after the entry was made in the log.

Exceptions to the crime log entry procedure described above may be made if such disclosure is prohibited by law or would jeopardize the confidentiality of the victim. In addition, the Middlebury Institute may temporarily withhold information if there is clear and convincing evidence that the release of information would jeopardize an ongoing investigation, jeopardize the safety of an individual, cause a suspect to flee or evade detection, or result in the destruction of evidence.

Emergency Phones

Emergency phones, located strategically throughout the Institute's campus, automatically call the Security Department. These phones do not have a handheld receiver but work via a blue activation button and speaker system. In the event of an emergency, press the blue button and you will be connected to the Security Department. At night, the exterior phones are illuminated by a blue light. Members of the Institute community are encouraged to use them any time security is needed. Emergency phones are in the following locations:

- McGowan main entrance on Pacific Street
- Casa Fuente by CF448 entrance on Pacific Street
- McCone main entrance on Pierce Street
- Samson Student Center underground parking garage
- Samson Student Center Patio – one by the restrooms and one on vending kiosk.
- Security Hut – on exterior wall
- Simoneau House exterior, next to the Graduate Writing Center
- Library on the main floor next to the printers
- Library by the Fletcher Jones Media Room
- Library at the top of the stairs on the second floor
- Munras Housing – at the main entrance
- Munras Housing – at the patio exterior gate entrance
- Munras Housing – Second floor lobby at the top of the stairs.
- Munras Housing – by the back door of the underground parking garage
- Munras Housing – one in each area of the underground parking garage

Security Escorts

Security escorts are available 24/7 between locations on campus. Dial extension 4153 on an Institute phone or (831) 647-4153 from an off-campus line.

Automated External Defibrillators (AED)

Automated External Defibrillators (AED) are in the following locations on the Institute's campus:

- McGowan Building – main lobby, in front of MG102
- McCone Building – main lobby, next to the McCone Boardroom, Room 202
- Samson Student Center – in the Dining Room
- Holland Student Center – near front door and next to fire extinguisher
- Munras Housing – near front exit door in first floor commons area

Members of the Security Department, the Threat Assessment Management Team, the Emergency Management Team, and the Safety Committee are trained in the use of AEDs.

Emergency Notification and Response

This section describes the procedures that the Middlebury Institute will use to immediately notify the campus community upon the confirmation of a significant emergency or dangerous situation involving an immediate threat to the health or safety of students or employees occurring on the Institute's campus, as well as the process that the Institute will use to confirm that there is a significant emergency or dangerous situation, to determine the appropriate segment or segments of a campus community to receive a notification, and to determine the content of the notification. In all cases, Middlebury will, without delay, and taking into account the safety of the community, determine the content of the notification and initiate the applicable notification system, unless issuing a notification will, in the professional judgment of responsible authorities, compromise efforts to assist a victim or otherwise mitigate the emergency.

General information about emergency response and evacuation procedures for the Middlebury Institute is available on the [Middlebury Institute Security Department web page](#), under the title "Emergency Response Guidelines".

Emergency Notification Procedures

In the event of a confirmed emergency or dangerous situation occurring on or approaching campus, the Security Manager or the Director of Facilities Services or their designees, in consultation with other senior Middlebury officials, or their designees, as appropriate under the circumstances and as time allows, will confirm the emergency or dangerous situation, identify the area or appropriate segment or segments of the campus community potentially impacted by the emergency, and, immediately, use and/or authorize the use of the emergency notification system to alert the affected area or campus population. "Confirmation" of the emergency or dangerous situation means that the official(s) identified above have verified that a legitimate emergency or dangerous situation exists. The process for confirming an emergency and determining the appropriate information for the emergency notification will include direct observation of conditions or incidents, inquiry into the incidents being handled by the police or other authorities in the area, web or news alerts, or reports directly from law enforcement, emergency management agencies, the state of California, the National Weather Service, or other authorities.

Notification will be made using some or all of the following methods:

- MIISAlert (RAVE) and MIISAlert.net
- Middlebury Institute website
- Email to faculty, staff and students
- Phone trees

If any of these systems fail or the Institute deems appropriate, in-person communication and/or posted signage may be used to communicate an emergency.

The notification will usually be sent to all students, faculty, and staff (or to an appropriate segment of the community if the event is limited to a defined part of campus) using the RAVE MIISAlert system or email. The entire campus community will be notified when there is at least the potential that a very large segment of the community will be affected by a situation or event, or when there is a threat to the operation of the campus as a whole. There will be a continuing assessment of the situation to determine which segments of the campus community should be notified or if the greater community should be notified.

The Middlebury Institute will, without delay, and taking into account the safety of the community, determine the content of the notification and initiate the notification system unless issuing the notification will, in the professional judgment of the Monterey Police, Monterey Fire Department, the California

Department of Public Health, or other emergency response authorities or federal or state agencies, compromise efforts to assist victims, or to contain, respond to, or otherwise mitigate the emergency.

The Security Manager or designee, the Director of Facilities Services, and/or other officials identified above, as appropriate, will determine how much information is appropriate to disseminate at different points in time. Typically, the notification will include the incident time, location, type, and recommended actions for safety. The notice will contain safety information for the particular segment of the population taking into account such factors as, for example, whether some people are residents and others are commuting or traveling to the campus.

In addition to the Security Manager and Director of Facilities Services or their designees, individuals authorized to initiate the Emergency Notification System include members of the Emergency Management Team (EMT) or designees.

Disseminating Emergency Information

The emergency notification system will be activated on a case-by-case basis. If a major emergency affects the main campus, a message will be issued using any of the systems available for emergency notification.

- Messages sent to middlebury.edu emails will have the following sender information:
Alert emails can come from the following addresses:
middlebury@email.getrave.com, middlebury@getrave.com,
noreply@ravemobilesafety.com, or noreply@ravewireless.com.
- Messages sent to cell phones will come from **831.200.6582**. Please note, messages and phone calls may not be received if your phone is in Do Not Disturb mode. (Or it's equivalent depending on the brand of phone used). Many phones allow you to make exceptions for specific numbers. Please program your phone accordingly so that you can receive emergency notifications at all times.
- Text messages from RAVE will display short, hyphenated numbers (such as 672-83). The text will begin **"MIISAlert."**
- Security might also send notifications from MIISSecurity@middlebury.edu.
- Emergency notification may also be completed with the use of phone trees or posted messages.
- The Vice President for Communications and Chief Marketing Officer, or designee, will function as the official public information officer (PIO) during forecasted emergencies, emergencies, or disasters. As the PIO, this individual is responsible for gathering information and communicating that information as appropriate to the public, the media, and other applicable stakeholders.

Adding or Changing Cell Phone Emergency Contact Information

To alert the Institute community of emergencies or potential emergencies, the Security Department may use the RAVE Emergency Notification System. This system uses the cell phone numbers entered into BannerWeb (students) and Oracle (employees). Students are required to provide their emergency contact information upon course registration. Prior to each test of the system, an email is sent explaining that the cell phone numbers must be in BannerWeb in order to receive emergency notifications in this manner. Employees are notified that in order to receive RAVE cell phone messages or text messages, they must list their cell phone number in Oracle. Students, to add your cell phone number, log into [BannerWeb](#); select "Student Profile," then select "Personal Information." There, click the pencil icon next to "Cell Phone." Only enter numbers for the cell

phone; do not use parentheses or dashes to separate numbers. The system will reconfigure the numbers into United States area codes and the phone number. If there is a local home, office or room telephone available you should add this number in the Office or Landline number box.

Faculty/Staff, to add your cell phone number log into Oracle and then select "Contact Information".

Please review your information regularly to ensure your emergency contacts are up to date. Also, in order to recognize the emails, phone calls, or texts from RAVE, please add the numbers above to your phone's contacts.

Testing Emergency Notification, Response, and Evacuation Procedures

The Middlebury Institute will test the institution's emergency notification, response, and evacuation procedures on at least an annual basis, including tests that may be announced or unannounced. As per the Clery Act, on an annual basis, at least one test will feature a drill, an exercise, and following through activities. Following the test, an email will be sent to the community sharing the Institute's emergency response and evacuation procedures, a description of the exercise, the dates, and whether it was announced or unannounced.

All new employees are provided with fire safety and evacuation procedures. Annual training on fire safety, fire extinguisher, and evacuation procedures is provided to Facilities Services staff and members of the Institute's Emergency Management Team and Safety Committee. During Residential Life training, the Residential Life staff attend training that covers, dorm safety, the location and use of the fire extinguishers, building evacuation procedures, and the process for conducting fire safety checks in student housing rooms. The residence hall staff are trained in emergency evacuation procedures and emergency preparedness to assist in preparing them to act as resources for the students and staff in residential buildings.

The Security Department conducts two fire drills in Munras Housing during the academic year - one during the fall semester and one during the spring semester. During the Summer Programs, the Security Department conducts one fire drill. During these drills, the students become familiar with the exits and the muster points. These drills provide students with the opportunity to familiarize themselves with exits found throughout the residential halls as well as the sound of the alarm.

Throughout the year, security guards and Resident Assistants (RAs) identify any deficient equipment and notify Facilities Services so repairs can be made immediately. Recommendations for non-urgent building issues and any recommendations for improvements are submitted in reports to the Security Manager and the Director of Facilities Services.

Middlebury Institute's Emergency Web Alert System

The Emergency Web Alert System can rapidly disseminate emergency information to the Institute community and general public. Two distinct parts comprise the system.

1. During an emergency, authorized administrators can activate emergency notifications on the Institute's primary website: www.middlebury.edu/institute. These notifications may display information or redirect people to MIISAlert.net.
2. MIISAlert.net is a special website used to post the latest information about emergency situations at the institute at times when web traffic may be high. MIISAlert.net is a public facing external site that is not dependent on the Institute's Information Technology Systems infrastructure in any way. In the event of an emergency, updates will be posted here.

External Emergency Notification

Subscribe to [Alert Monterey County](#). The official emergency alert and warning system for the County of Monterey and its municipal jurisdictions. This system delivers critical public safety information to the public from local government and public safety officials. Alert Monterey County messages pertain to the safety, health, or welfare of the community affected by a potential or an actual emergency. Evacuation, shelter-in-place, re-entry notifications, public health advisories, recommended protective measures and incident situation information alerts are typical.

Emergency Operations Plan

The Middlebury Institute has an Emergency Management Team (EMT) trained in emergency preparedness and response. The EMT consists of staff members from various Institute departments and offices who are responsible for operational management during an emergency. The EMT reports to the Vice President's office, who in turn will report to Middlebury College's Crisis Management Team (CMT), composed of senior leadership responsible for policy and executive-level decision making during an emergency. The Institute's [Emergency Response Guidelines](#) provides information on topics such as lockdown, evacuation, active shooter threats, bomb threats, and natural disasters. This information can also be found in building evacuation plans.

The EMT is supported by the Institute's Safety Committee, comprised of employees with specialized roles and responsibilities who are trained in emergency preparedness and response and available to support the EMT as needed.

The Security Department and members of Facilities Services, when appropriate, will respond to incidents, attempt to mitigate them, and assess if they pose an immediate threat to the health or safety of the campus community or to any segment of the campus. The Security Department will also summon the necessary resources, including, but not limited to, the police, fire department, emergency medical services, and other members of Facilities Services as necessary. Security will assist with providing access to locked buildings. The Security Department will also investigate and document any situations that might cause significant emergencies or dangerous situations.

In the event of a public health emergency that may pose a threat to the Institute's campus community, the Institute's Vice President's Office will facilitate response, often in collaboration with Middlebury College's Center for Health and Wellness for Students, Human Resources for faculty and staff, and state health officials.

Team Training

The Emergency Management Team (EMT), the Threat Assessment Management Team (TAM), and the Safety Committee participate in regularly scheduled training and exercises. At a minimum, all team members must complete the online course Introduction to the Incident Command System, ICS-100 for Higher Education <https://training.fema.gov/is/courseoverview>.

For testing purposes, team members will practice with hypothetical critical incidents and emergencies. They will employ tabletop exercises, functional exercises, and drills that test the utility of emergency operation planning, the team members' preparedness, the team's coordination with external entities, and team members' knowledge, acquisition, and deployment of resources.

Team chairs will schedule training sessions on a quarterly basis. Training will, when it is practicable, include first responders and other external agencies to test the Institute's plans and their compatibility

with local, regional, or state plans.

Each exercise and training opportunity, as well as real life situations, are reviewed by the Emergency Management Team through After Action Reports.

Threat Assessment and Management (TAM) Team

The Threat Assessment and Management (TAM) Team assesses risks and formulates responses in situations where an individual's behavior and/or statements could indicate a threat to the health or safety of others. TAM seeks to mitigate potential risks before they result in harm. Members of the team receive training in behavioral threat assessment and management techniques. This team serves as an advisory board that makes recommendations to the executive(s) with responsibility for the individual(s) in question.

TAM may assist and support the EMT when emergencies involve community members who may pose a risk to others.

For more information on TAM, including a list of team members and policy information on threat assessment please visit [Threat Assessment and Management Team webpage](#).

If you believe someone has committed, or may commit, a violent act; see someone engaging in behavior that could lead to potential violence; or see some other threat to the health or safety of the Institute community, you can report it using the report form found on the [TAM website](#) or call the Security Department at 831.647.4153. You can also report incidents to your program director or to anyone on the TAM team.

In case of an immediate emergency, call 911.

General Emergency and Evacuation Procedures

In the event of an immediate emergency, call 911.

An emergency is any immediate threat to life and/or property that requires immediate response from police, fire, emergency medical services, Examples include: crimes in progress, fire, or a serious injury or illness.

In the event of a large-scale event that exceeds the resource capabilities of the Institute, requests for assistance will be made to external resources such as the local and state police, the local fire department, and medical first responders. Local agencies utilize the ICS system when further assistance is needed.

General information about emergency response and evacuation procedures for the Institute is available on the [Security Department](#) webpage.

General Evacuation Procedures

Everyone must evacuate a building when:

- (a) a fire alarm is sounded,
- (b) the Institute's Security Department orders an evacuation, or
- (c) the Institute's emergency notification systems broadcast a mass alert to evacuate.
 - Remain calm.
 - Leave the lights on.
 - DO NOT hesitate or try to save valuables.
 - Before opening any door, use the back of your hand to see if it is hot. Also check to see if the doorknob is hot. If either is hot, leave the door closed and stuff towels or clothes in the cracks. Try

another exit if one is available.

- If the door is not hot, open it slowly and be prepared to close it quickly if necessary.
- In a smoke-filled area, keep low to the floor to escape the smoke.
- If you encounter smoke in a hall or stairway, consider another exit.
- If all exits are blocked, return to your room, close the door, and call 911 for help.
- DO NOT use elevators.
- Once out of the building, move to the designated muster points and wait for further instructions.
- When it is safe, the Security Department, the fire department, or police will notify you that it is safe to re-enter the building. No one can re-enter an evacuated building until it is determined to be safe by the emergency service personnel. If the building remains closed and/or unsafe to enter, emergency service personnel will give instructions on how and where to relocate.
- Community members who are familiar with the evacuation procedures should alert others in the building to follow the same procedures. Swift safe evacuation is your first concern. If others do not heed the warning do not hesitate. Note their location and relay location information to first responders.
- Community members may need to assist others in exiting the building if this can be done in a safe and timely manner. Notify the Security Department, the police, or the fire department of any occupants remaining inside who need assistance exiting because of limited mobility, injury, or incapacitation.

Fire Evacuation

- Familiarize yourself with your building's evaluation procedures. Locate the nearest exit and fire extinguisher.
- If you smell smoke or detect a fire, activate the nearest alarm, if possible, and call 911 from a safe location.
- Evacuate as soon as you hear the alarm.
- Before opening any door, use the back of your hand to test the door's temperature. Also check the doorknob's temperature. If either is hot, leave the door closed, stuff towels or clothes in the cracks, and open a window. Look for another exit.
- If the door isn't hot, open it slowly and be prepared to close it quickly if necessary.
- In a smoke-filled area, keep low to the floor to avoid inhaling smoke.
- If you see or smell smoke in a hall or stairway, consider another exit.
- Close doors as you leave.
- Exit the building cautiously. Carry a towel or blanket to protect yourself from flames or smoke.
- If the exit is blocked, return to your room, close the door, open a window, and call for help.
- If there is a fire, don't use any elevators.
- Report to your emergency assembly point and check in with the Emergency Management Team (EMT) member there or the Resident Advisor at Munras Housing. Report anyone who might be missing.
- A security officer will direct you to another safe location in order to find shelter in inclement weather.
- Once you have safely evacuated, please call 911.

- Do not reenter the building until security or administrative staff or the Fire Department personnel have indicated that it is safe to reenter.

Active Threat

The U.S. Department of Homeland Security defines an active shooter as an individual actively engaged in killing or attempting to kill people in a confined and populated area; in most cases, the victims are chosen at random.

Because active-shooter situations are often over within 10 to 15 minutes, before law enforcement arrives on the scene, individuals must be mentally and physically prepared to survive. (In 2014 the Middlebury Institute began providing all students, faculty, and staff with the "Run, Hide, Fight" protocol described below.)

If you are involved in a situation where someone has entered the area, and begins shooting or you hear gunshots fired, the following is a list of actions that are recommended. These kinds of incidents are unpredictable. The guidelines provided are based on past experiences. Other actions may be necessary. If the individual poses an immediate threat to you, you may need to act using your best judgment.

Run, Hide, Fight*

If you can safely leave the area:

- Exit the building immediately ("Run"). Tell anyone you may encounter to exit the building also.
- Leave the campus if you can safely do so. Attempt to let someone on campus know that you have left/are leaving when it is safe to do so ensuring that everyone can be accounted for.
- Call 911 and the Middlebury Institute Security Department at 831.647.4153.
- Give the dispatcher the following information:
 1. Your name
 2. Location of the incident (be as specific as possible)
 3. Number of armed people involved (if known)
 4. Identification or description of armed persons
 5. Number of persons who may be at risk
 6. Your contact information and location

If you are at immediate risk and exiting the building is not possible:

- Go to the nearest room or office ("Hide").
- Close and lock the door.
- Cover the door windows.
- Keep quiet and act as if no one is in the room.
- Silence your cell phone.
- DO NOT answer the door.
- Be aware that a fire alarm might have been pulled by an intruder.
- Identify/obtain an object in the room that can be used to incapacitate the armed person if she/he enters the room.
- If possible, call (or text, but only if you cannot speak safely) 911 and call the Middlebury Institute Security Department at 831.647.4153.

- Give the dispatcher the following information:
 1. Your name
 2. Location of the incident (be as specific as possible)
 3. Number of armed people (if known)
 4. Identification or description of armed people
 5. Number of persons who may be at risk
 6. Your contact information and location
- Wait for local police or security to assist you out of the building.

If an armed intruder enters the room and you are in immediate danger:

- Commit mentally to incapacitating the intruder (“Fight”).
- Strike the intruder with an object and continue to strike until the intruder is incapacitated.
- Yell as you fight.
- Call 911 when possible.

*Run, Hide, Fight: Surviving an Active Shooter Event is funded by the Regional Catastrophic Preparedness Grant Program, a Department of Homeland Security initiative (October 2012), produced by the city of Houston Mayor's Office of Public Safety and Homeland Security. The Middlebury Institute uses it with permission for training purposes.

Earthquake Preparedness

Falling objects cause most injuries during earthquakes, so remove heavy objects from shelves above beds or desks and place them on lower shelves. Secure freestanding cabinets, bookcases, and other tall furniture to the wall. If you can't secure them, place them where they're not likely to fall and cause injury. Desks, chairs, or beds should not be directly next to or under a window. If it is impossible to avoid such an arrangement, sit and sleep with your head away from the windows. Keep plants and other free-swinging objects away from windows so they will not break the windowpane.

At the first indication of an earthquake, **move to a safe area (under sturdy furniture, or braced in an interior door frame or interior corner)**, away from shelves and windows, and keep your face and head covered for protection from broken glass and falling debris. Remember to duck, cover, and hold.

If you're inside, don't rush outside, as there may be hazards from falling debris.

If you're outdoors, stay there. If possible, move to an open area away from buildings, trees, overhead power lines, brick walls, and falling objects. Stay low to the ground and look for hazards that may require moving to a safer area.

If you're in a car, pull over and stop in a safe area away from trees, power lines, bridges, overpasses, and buildings. Stay inside the car. If live wires should fall across the car, remain still until help arrives. Cars are usually well insulated and will provide protection against electricity.

Develop a personal emergency plan. Doing so will increase your personal safety if there is an earthquake, provide necessary resources and training for handling an earthquake's aftermath, and help put family and friends at ease. Keep a flashlight on hand, too, in case of power outages.

After an earthquake, local telephone lines and cellular service may have reduced capacity. Do not make calls immediately after an emergency unless you're in danger. That way, lines can remain available for emergency services.

To stay in contact with your relatives after an emergency, call an out-of-state friend or family member. Ask this person to call your relatives and friends and tell them you are safe.

Tsunami

- When in coastal areas, stay alert for tsunami warnings.
- Plan an evacuation route that leads to higher ground.
- Know the warning signs of a tsunami: rapidly rising or falling coastal waters and rumblings of an offshore earthquake.
- Never stay near shore to watch a tsunami come in.
- A tsunami is a series of waves. Do not return to an affected coastal area until authorities say it is safe.
- If you are in class and you hear there is a tsunami warning, you should follow the advice of professors and other Institute administrative personnel.
- If you are at home and hear there is a tsunami warning, you should make sure your entire family is aware of the warning.
- If you are asked to evacuate, move in an orderly, calm, and safe manner to the evacuation site or to any safe place outside your evacuation zone. Follow the advice of local emergency and law enforcement authorities.
- If you are at the beach or near the ocean and you feel the earth shake, move immediately to higher ground. **Do not** wait for a tsunami warning to be announced.
- Stay away from rivers and streams that lead to the ocean as you would stay away from the beach and ocean if there is a tsunami.
- Open windows and doors to help dry the building, following the incident.
- Shovel mud while it is still moist to give walls and floors an opportunity to dry, following the incident.
- Fresh food that has come in contact with floor waters may be contaminated and should be thrown out. Have tap water tested by the local health department, following the incident.

Blackout

- During a power failure, if there is no sunlight or emergency lighting then REMAIN STILL. Do not attempt to exit in sudden darkness.
- When vision is possible TURN OFF all electrical apparatus, particularly computers, heaters, AV equipment, and coffee makers.
- If necessary, EXIT the building calmly. BE AWARE to avoid obstacles that may trip or impact your body.
- WAIT for further instructions from emergency coordinators or other management. When in coastal areas, stay alert for tsunami warnings.

Campus Security and Access

Academic

Academic facilities are open during normal business hours Monday-Friday from 8:00 AM to 6:00 PM. Some buildings and facilities also are open evenings and weekends to accommodate evening classes, research, or other special programming needs. Faculty and staff are responsible for securing the private offices and storage areas. The Security Department has knowledge of the buildings and areas that remain open late or keep unusual hours. Security officers routinely check campus buildings and grounds during patrol shifts.

After Hours Access

Periodically, faculty, staff, and students will need additional access to certain locations on campus for events and academic or organization needs. Access can be obtained by submitting a [room reservation request](#) to reserve the needed venue.

Computer Labs

There is a computer lab (The Pac Lab) in the Casa Fuente building. Generally, this lab will be unlocked during normal business hours and additional posted hours in the evenings and over the weekend. Outside of posted hours, the lab is only accessible to those that have submitted a [room reservation request](#).

Munras Housing Building Security

To control access to the residential building, the Access Control System uses access cards issued to authorized students and staff. Those with cards present them to card readers, which then electronically unlock doors. Students with access privileges for residential buildings have 24-hour access. The Security Department and select staff, such as Facilities Services, have 24-hour access into Munras Housing.

There are emergency telephones at the main entrances of Munras Housing. Use these phones to automatically connect to the Security Department.

Hours of operation: The card access at Munras Housing is always operating and requires an authorized card. Students should not allow strangers into the buildings. Guests of students are not issued access cards and are required to be with their student host at all times. Exit doors are always locked, so people cannot enter through them, but people can always leave from any door at any time.

Munras Housing Touch Codes

Students residing in Munras Housing touch-code rooms receive their touch-code information in their Housing Portal. Students in Munras Housing are instructed to keep their touch code private. Requests for touch-code changes should be made by contacting their Resident Assistant (RA).

Access Problems and Questions

If you cannot access a building and feel unsafe, please contact the Security Department immediately at 831.647.4153.

Tampering with any component of Access Control System may result in a fine or disciplinary action.

Maintenance & Facilities

The Security Department and Facilities Services staff regularly check exterior lighting on pathways and in parking lots as well as the building security systems. Any lighting issues, door locking issues, or other security maintenance issues are reported to Facilities Services for repair. The officers check each

emergency phone for operability and lighting at least once a year. Any phone service issues are reported to Facilities Services and ITS telephone services. Facilities Services staff test building emergency systems, including the egress lighting in hallways and stairwells, on a regular basis. Any facility safety hazards can be reported to the Security Department at 831.647.4153.

Crime Statistics

The Security Department is the centralized reporting authority for the Middlebury Institute. All crimes should be reported to the Security Department and/or, as appropriate, the Associate Dean of Student Services, the Civil Rights and Title IX Office, Human Resources, Campus Security Authority and/or Middlebury officials responsible for conduct and/or investigations to ensure inclusion in the annual crime statistics and to aid the Security Department in providing timely warning notices to the Institute community as appropriate.

The Security Department collects Clery Act crime statistics from the Institute's public log, the Institute's Campus Security Authorities (CSAs), the Monterey Police Department, and local law enforcement at non-campus locations. In an effort to provide members of the campus community with information about campus crime and crime-related problems, the Middlebury Institute Security Department, in compliance with the *Jeanne Clery Campus Safety Act and the Violence Against Women Reauthorization Act of 2013*, publish and distribute the crimes statistics in the Institute's Annual Security Report.

Definitions of Clery Geography Terms

On-campus: Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and any building or property that is within or reasonably contiguous to the area as identified above, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor).

On-campus student housing facility: Any student housing facility that is owned or controlled by the institution, or is located on property that is owned or controlled by the institution, and is within the reasonably contiguous geographic area that takes up the campus is considered an on-campus student housing facility. This definition includes the following types of housing:

- Undergraduate, graduate, and married student housing
- Single family houses that are used for student housing
- Summer school(s) student housing
- Buildings that are used for student housing but also have faculty, staff or other individuals living there
- Buildings that are owned by a third party that has a written agreement with the institution to provide student housing
- Housing for officially and not officially recognized student groups that are owned or controlled by the institution or are located on property that the institution owns or controls
- Parking facilities and dining halls that are physically attached to and accessed directly from student housing facilities.
- All student organizations officially recognized by the institution fall within on-campus geography.

Non-campus building or property: Any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area of the institution. (Examples: courses or events at

the Institute's Washington, D.C. suite, summer study courses, and property used for Institute-organized experiential learning trips.)

Public property: All public property, including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the Middlebury Institute campus. There is no public property requirement for non-campus buildings and properties.

"Controlled by": This means that the institution (or an institution-associated entity) directly or indirectly rents, leases, or has some other type of written agreement (including an informal one, such as a letter or an email) for use of a building or property, or a portion of a building or property.

"Reasonably contiguous": This means a building or property the institution owns or controls that is in a location that is considered by students and employees to be, and treated as, part of the campus. This determination is made on a case-by-case basis by taking into consideration the circumstances of the campus and the location.

There are four general categories of crime statistics: criminal offenses, hate crimes, Violence Against Women Act (VAWA) offenses, and arrests and referrals for disciplinary action.

Definitions of Criminal Offenses

Criminal homicide/murder and non-negligent manslaughter: the willful (non-negligent) killing of one human being by another.

Criminal Homicide/Manslaughter by Negligence: The killing of another person through gross negligence.

Sexual Assault (Sex Offenses)

Any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent. This includes attempted sexual assaults. Sexual assault includes rape, fondling, incest, and statutory rape as defined below.

Rape: The penetration, no matter how slight, of the vagina or anus, with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.

Fondling: The touching of the private body parts of another person for the purpose of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of their age or because of his/her temporary or permanent mental incapacity.

Incest: Sexual intercourse between persons who are related to each other within a degree wherein marriage is prohibited by law.

Statutory rape: Sexual intercourse with a person who is under the statutory age of consent.

Robbery: The taking or attempting to take anything of value from the care, custody, or control of a person or persons by force or threat of force or violence and/or putting the victim in fear.

Aggravated assault: An unlawful attack by one person upon another for the purpose of inflicting severe or aggravated bodily injury. This type of assault usually is accompanied by the use of a weapon or by means likely to produce death or great bodily harm. (It is not necessary that injury result from an aggravated assault when a gun, knife, or other weapon that could cause serious personal injury is used).

Burglary: The unlawful entry of a structure to commit a felony or a theft. For reporting purposes this definition includes offenses that are classified by local law enforcement agencies as burglary (any degree); unlawful entry with intent to commit a larceny or felony; breaking and entering with intent to commit a larceny; housebreaking; safecracking; and all attempts to commit any of the aforementioned.

Arson: Any willful or malicious burning or attempt to burn, with or without intent to defraud, a dwelling house, public building, motor vehicle or aircraft, personal property of another, etc.

Motor vehicle theft: The theft or attempted theft of a motor vehicle (e.g., automobile, truck, sport utility vehicle, bus, motorcycle, motor scooters, mopeds, all-terrain vehicles, self-propelled motor homes, snowmobiles, golf carts, motorized wheelchairs, etc.). This includes all cases where motor vehicles are taken by persons not having lawful access even though the vehicles are later abandoned, including joyriding.

Hazing: The Stop Campus Hazing Act (SCHA), enacted on December 23, 2024, amended the Clery Act to include reporting obligations for incidents of Hazing effective January 1, 2025. Hazing is defined as any intentional, knowing, or reckless act committed by a person (whether individually or in concert with other persons) against another person or persons regardless of the willingness of such other person or persons to participate, that:

- is committed in the course of an initiation into, an affiliation with, or the maintenance of membership in, a student organization*; and
- causes or creates a risk, above the reasonable risk encountered in the course of participation in the institution of higher education or the organization (such as the physical preparation necessary for participation in an athletic team), of physical or psychological injury including—
 - whipping, beating, striking, electronic shocking, placing of a harmful substance on someone's body, or similar activity;
 - causing, coercing, or otherwise inducing sleep deprivation, exposure to the elements, confinement in a small space, extreme calisthenics, or other similar activity;
 - causing, coercing, or otherwise inducing another person to consume food, liquid, alcohol, drugs, or other substances;
 - causing, coercing, or otherwise inducing another person to perform sexual acts;
 - any activity that places another person in reasonable fear of bodily harm through the use of threatening words or conduct;
 - any activity against another person that includes a criminal violation of local, State, Tribal, or Federal law; and
 - any activity that induces, causes, or requires another person to perform a duty or task that involves a criminal violation of local, State, Tribal, or Federal law.

*Student Organization: defined as “an organization at an institution of higher education (such as a club, society, association, varsity or junior varsity athletic team, club sports team, fraternity, sorority, band, or student government) in which two or more of the members are students enrolled at the institution of higher education, whether or not the organization is established or recognized by the institution.”

Unfounded crimes: For Clery Act purposes a crime can be determined to be unfounded only after a full investigation by sworn law enforcement personnel and after the sworn law enforcement officer makes a formal determination that the report is false and baseless.

Table 1. Criminal Offense Reporting Table - Middlebury Institute Campus

OFFENSE	YEAR	ON CAMPUS	ON-CAMPUS STUDENT HOUSING FACILITIES	NON-CAMPUS PROPERTY	PUBLIC PROPERTY
MURDER/NON-NEGLIGENT MANSLAUGHTER	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
MANSLAUGHTER BY NEGLIGENCE	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
RAPE	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
FONDLING	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
INCEST	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
STATUTORY RAPE	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
ROBBERY	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	1
AGGRAVATED ASSAULT	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
BURGLARY	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
MOTOR VEHICLE THEFT	2023	0	0	0	1
	2024	0	0	0	0
	2025	0	0	0	0
ARSON	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
HAZING	2023	n/a	n/a	n/a	n/a
	2024	n/a	n/a	n/a	n/a
	2025	0	0	0	0

Unfounded Crimes - Middlebury Institute Campus

2023: No unfounded crimes.

2024: No unfounded crimes.

2025: No unfounded crimes.

Definitions of Hate Crime Offenses

Hate Crimes: A crime reported to local police agencies or to a campus security authority that manifests evidence that the victim was intentionally selected because of the perpetrator's bias against the victim.

For the purposes of this section, the categories of bias include the victim's actual or perceived race, religion, gender, gender identity, sexual orientation, ethnicity, national origin and/or disability. All of the Criminal Offenses listed above (except for manslaughter by negligence) and the additional crimes of larceny-theft, simple assault, intimidation, or destruction/damage/vandalism of property, that manifests such evidence, will be recorded as a hate crime. The Institute is required to list the category of bias to the extent possible. The definitions for each category of bias can be found on Middlebury College's Department of Public Safety's website at: [Clery Crime Definitions](#) or in the [Handbook for Campus Safety and Security Reporting](#) 2016 Edition (pp. 3-25-3-27).

Timely response to these incidents is crucial. Failure to report allows the incidents to remain hidden and may even allow them to escalate. The Institute takes all reports seriously and will thoroughly investigate every incident and work with local law enforcement agencies whenever necessary. Reports can be filed with the Security Department, the Security Manager, Associate Dean of Student Services, the Director of Facilities Services, the Human Relations Officers, the Civil Rights and Title IX Coordinator, or a Campus Security Authority.

Hate Crimes - Middlebury Institute Campus

2023: There were no hate crimes reported in 2023.

2024: There were no hate crimes reported in 2024.

2025: There were no hate crimes reported in 2025.

Definitions of Violence Against Women Act (VAWA) Offenses

Sexual assault (rape, fondling, incest, and statutory rape) are VAWA offenses. For Clery Act reporting purposes these offenses are included in the Criminal Offenses definitions and statistical chart above.

Domestic violence: A felony or misdemeanor crime of violence committed by a current or former spouse or intimate partner of the victim; by a person with whom the victim shares a child in common; by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner; by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred; or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

Dating violence: Dating violence is considered domestic violence. Therefore, the crime log will refer to dating violence as domestic violence. In this Annual Security Report, dating violence and domestic violence will be counted separately per the Clery Act. Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a relationship shall be determined based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. For the purposes of this definition, dating violence includes, but is not limited to, sexual or physical abuse or threat of such abuse; and dating violence does not include acts covered under the definition of domestic violence.

Stalking: Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for the person's safety or the safety of others or suffer substantial emotional distress. "Course of conduct" means two or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property. "Reasonable person" means a reasonable person under similar circumstances and with similar identities to the victim. "Substantial emotional distress" means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

Table 2. VAWA Offense Reporting Table

OFFENSE	YEAR	GEOGRAPHIC LOCATION			
		ON CAMPUS	ON-CAMPUS STUDENT HOUSING FACILITIES	NON-CAMPUS PROPERTY	PUBLIC PROPERTY
Middlebury Institute Campus					
DOMESTIC VIOLENCE	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
DATING VIOLENCE	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
STALKING	2023	0	0	0	1
	2024	0	0	0	0
	2025	0	0	0	0

Definitions: Weapon, Drug, and Liquor Law Violations

Arrest: Persons processed by arrest, criminal citation or summons.

Referral for Disciplinary Action / Disciplinary Referral: The referral of any person to any official who initiates a disciplinary action of which a record is established and which may result in the imposition of a sanction.

Weapon Law Violation: The violation of laws and ordinances prohibiting the manufacture, sale, purchase, transportation, possession, concealment, or use of firearms, cutting instruments, explosives, incendiary devices or other deadly weapons. This classification encompasses weapons offenses that regulatory in nature. Classification also includes: manufacture, sale, or possession of deadly weapons; carrying deadly weapons, concealed or openly; using, manufacturing, etc., of silencers; furnishing deadly weapons to minors; aliens possessing deadly weapons; and attempts to commit any of the above. This type of violation is not limited to "deadly" weapons; it also applies to weapons used in a deadly manner.

Drug Abuse Violation: The violation of laws prohibiting the production, distribution and/or use of certain controlled substances and the equipment of devices utilized in their preparation and/or use. The unlawful cultivation, manufacture, distribution, sale, purchase, use, possession, transportation or importation of any controlled drug or narcotic substance. Arrests for violations of state and local laws relating to the unlawful possession, sale, use, growing, manufacturing, and making of narcotic drugs. The relevant substances include but are not limited to: Opium or cocaine and their derivatives (morphine, heroin, codeine); marijuana; synthetic narcotics - manufactured narcotics that can cause true addiction

(Demerol, methadone); dangerous non-narcotic drugs (barbiturates, Benzedrine); bath salts and their derivatives; and illegally obtained prescription drugs. Note: California has legalized possession of small amounts of marijuana for persons 21 years and older. California has also decriminalized the possession

of small amounts of marijuana for persons under the age of 21. Possession of marijuana on campus continues to be a violation of Institute policies, but it will not be included in Clery statistics.

Liquor Law Violation: The violation of state or local laws or ordinances prohibiting: the manufacture, sale, purchase, transportation, possession, or use of alcoholic beverages; transporting, furnishing, possessing of intoxicating liquor (i.e. under the age of 21); maintaining unlawful drinking places; bootlegging; operating a still; furnishing liquor to minor or intemperate person; using a vehicle for illegal transportation of liquor; drinking on a train or public conveyance; and all attempts to commit any of the aforementioned. Drunkenness and driving under the influence are not included in this definition, nor is possession of alcohol by an individual 21 years of age or older where such possession is in violation of Institute policy (i.e. at an unregistered party).

Table 3. Arrest and Disciplinary Referrals Reporting Table

OFFENSE	YEAR	GEOGRAPHIC LOCATION			
		ON CAMPUS	ON-CAMPUS STUDENT HOUSING FACILITIES	NON-CAMPUS PROPERTY	PUBLIC PROPERTY
Middlebury Institute Campus					
ARREST: WEAPONS: CARRYING, POSSESSING, ETC.	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
DISCIPLINARY REFERRALS: WEAPONS: CARRYING, POSSESSING, ETC.	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
ARREST: DRUG ABUSE VIOLATIONS	2023	0	0	0	3
	2024	0	0	0	1
	2025	0	0	0	1
DISCIPLINARY REFERRALS: DRUG ABUSE VIOLATIONS	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
ARRESTS: LIQUOR LAW VIOLATIONS	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0
DISCIPLINARY REFERRALS: LIQUOR LAW VIOLATIONS	2023	0	0	0	0
	2024	0	0	0	0
	2025	0	0	0	0

Crime Prevention

The Security Department provides general crime prevention information both through email and, when requested, during in person presentation with members of the student population, including but not limited to residential life staff, international students, and first-generation students.

Middlebury Institute Security Department provides the following suggestions about personal safety issues. These can be found on the department's website at all times, and are also emailed to the community when deemed appropriate (for example when sending a community notice about crime in the area or a timely warning).

Middlebury can offer the following suggestions about personal safety issues. These are, of course, only general observations; you must decide what is most prudent for keeping yourself and your property safe in particular situations

Individuals who are harmed as the result of a crime are not responsible for the actions of people who commit crimes, who are accountable for their crimes and the outcomes of their actions.

The only true way to eliminate crimes is for those who commit them not to do so. The following safety guidelines are intended to provide supportive ideas but are in no way intended to outline doing or not doing any of these things will ultimately prevent a crime from happening.

Personal Safety

Our campus is located in Monterey, California, which has relatively low incidents of crime.

However, nowhere is immune from crime.

Here is how you can do your part:

- Be aware of your vulnerability; follow the measures of self-protection and property protection outlined here.
- Be alert for suspicious or criminal activity and for conditions that may represent hazards to the community.
- Get involved by becoming more security conscious and by reporting all incidents of suspicious or criminal activity, no matter how insignificant they may appear, immediately to the Security Department or a Campus Security Authority.
- Remember that unreported crimes cannot be solved. This allows the perpetrators to commit additional, and possibly more serious, crimes.

Walking or Running Safety

- Avoid traveling alone at night. Instead, travel in pairs. Call Security at 831.647.4153 if you need an escort to a campus building or your vehicle on campus.
- Avoid dark, vacant, or deserted areas; use well-lit, regularly traveled pathways. Runners, walkers, or joggers should face traffic. If you're out after dark, use extra precautions: stay in pairs, travel well-lit areas, and wear reflective clothing or tape.
- Don't display expensive jewelry or carry large amounts of cash.
- Always let someone know where you are going and when you will return.
- Be alert and aware of your surroundings at all times. Walk purposefully and confidently. Keep moving.
- Do not hitchhike or accept rides from casual acquaintances.
- When walking to your residence or vehicle, keep your keys in hand.

- When a private vehicle or taxi drops you off at your residence, ask the driver to wait until you get inside.
- If you are threatened by an approaching vehicle, run in the opposite direction. The vehicle will have to turn around to follow you.
- If you think you're being followed or feel threatened, increase your pace and move away from the threat; join any group of people nearby; cross the street, and, if necessary, keep crossing back and forth. If someone pursues you, run to a business, residence, or well-lit area. Call for help, scream, or raise a commotion. Enlist the aid of a passerby. Find a phone and dial 911 or pull a fire alarm. Do anything that will attract attention or summon assistance. If you are walking alone and someone passes you, check to make sure that person is continuing to walk in the other direction.
- If you are confronted by an assailant, yell and struggle. Assess the situation and call for help.

Munras Housing and Private Residences

- Lock your room door and windows when you go to sleep or when you leave, even if only briefly; take your keys with you.
- Do not share your key code with others. Contact the Assistant Director of Residential Life and the Security Department immediately if you have concerns that others may have your code.
- Immediately report defective locks on your windows and doors to Munras Housing RAs or to the Security Department.
- If you carry keys, do not put your name and/or address on key rings.
- Don't keep your residence and your vehicle keys on the same ring.
- Do not attach vehicle or other keys to your ID card.
- On-campus residents should immediately notify the Security Department if they lose their keys.
- Students with Institute rentals in Monterey should notify Facilities Services for lock changes.
- Do not linger in poorly lit, secluded areas.
- Require visitors to identify themselves before you open your door. Request official identification from all repair or service personnel.
- Get to know your neighbors so you can help one another.
- If you discover someone has entered your room, DO NOT GO IN. Go to a neighbor and call the Security Department or the local law enforcement authorities (for private residences). If you're already inside, DO NOT TOUCH ANYTHING. You may disturb evidence important to a police investigation.
- If you are awakened by an intruder, do not try to apprehend them. They may be armed or may easily arm themselves with something in your room. Attempt to get out of the room if it is possible. Call the police as soon as possible.

- If you see a suspicious person or vehicle, either on campus or in your neighborhood, IMMEDIATELY contact the Security Department or the local law enforcement authorities. Try to get the license plate number, state, and description of the vehicle, but do not chase the car to do so.
- Do not prop open doors to Munras Housing or other Institute buildings.
- People outside the Institute community are prohibited from soliciting in Munras Housing. If you see an outside solicitor in Munras Housing, immediately report this to the Security Department.
- Do not yell or attempt to detain voyeurs or anyone you observe looking into a private space. If the offender runs away, and you can safely observe this person, watch to see if this person gets into a car, goes to another residence, etc. Also observe the person's physical bearing. Then immediately report the incident to the Security Department or to the police.
- Hang up on obscene, harassing, or annoying phone calls. Do not respond to harassing text messages, nor try to find out who the caller is even if you think it's a friend playing a joke. Keep the message or text and then report its contents to the Security Department. This will be useful to the security officer or the police, if there is a police report.

Safety While Driving

- Immediately report all suspicious people or vehicles around parking areas to the Security Department.
- When you park, keep your vehicle locked and the windows rolled up.
- Have your key ready when you approach your car. Check inside and under your car to make sure no one is hiding in either place.
- Never leave your vehicle unattended with the engine running.
- Choose to park in well-lit lots, preferably in heavily traveled areas.
- Lock all packages, luggage, and valuables in the trunk or out of sight.
- Keep spare keys in your wallet or purse, not inside the vehicle.
- If your car breaks down, open the hood and then stay locked inside the vehicle. If someone stops to help, do not open your window or door, but ask that this person call for assistance if you are unable to do so.
- If you're unfamiliar with the location you are heading to, ask someone for specific directions before you leave.
- If you get lost, do not pull over until you find a well-lit public area where you can ask for directions.
- If you suspect you are being followed, drive to a well-lit public area and call the police.
- If someone with a weapon confronts you, wanting your vehicle, give up the car. It is not worth potentially being injured or losing your life over it.

Protection of Property

Most crimes committed on college campuses involve the theft of personal property. Larcenies are crimes of opportunity and occur primarily when property is left in unlocked or unattended areas.

- Avoid bringing large amounts of cash or valuables to campus or your residence.
- Keep valuable items out of sight. If you must keep cash or valuables in your room, do not store them in obvious hiding places like desks or dressers.
- Never lend out the key or door code to your room or residence.
- When leaving your vehicle at a service station or parking garage, leave only the ignition key.
- When leaving for vacation, store valuable electronic equipment out of sight, and during summer recess, do not leave valuables in student storage areas. These areas are not secure and the Institute is not responsible for property loss.
- Check with your family insurance agent to determine if your property is covered under your parents' homeowner's insurance. If not, consider purchasing your own insurance.

Internet Safety

Generally, Internet fraud is any scheme that uses one or more components of the Internet—including chat rooms, email, message boards or websites—to present fraudulent solicitations, to conduct fraudulent transactions, or to transmit the proceeds of fraud to either financial institutions or others involved in the scheme.

If you receive a suspicious email on your Middlebury account, please forward it to phishing@middlebury.edu for further analysis.

What are the major types of Internet fraud?

- Auctions and retail schemes; online auctions are the primary avenue for Internet fraud
- Business-opportunity or work-at-home schemes
- Identity theft and fraud
- Investment schemes
- Credit card offers
- Credit repair
- Vacation prize promotions
- International money offers: someone claiming to be an international official promises big profits in exchange for help moving large sums of money out of the country
- Advance-fee loans
- Internet-access services
- Health and diet scams
- Free goods, such as long-distance phone cards, computers, electronics, etc.
- Cable-descrambler kits.

Filing Complaints about Internet Fraud

You can file complaints about specific types of fraud. For commodities fraud, contact the Commodity Futures Trading Commission (CFTC). For securities fraud, contact the SEC Enforcement Division Complaint Center or your state securities regulators. If you think you have been the victim of an Internet fraud scheme, you can also file a complaint online with the Internet Crime Complaint Center, a joint project of the FBI and the National White Collar Crime Center.

Further Information may be located at these government websites:

[U.S. Department of Justice](#)

[Internet Crime Complaint Center](#)

[Federal Deposit Insurance Corporation](#)

[Securities and Exchange Commission](#)

And at these nongovernmental websites:

[Better Business Bureau](#)

[Fraud.org](#)

[Internet Scambusters](#)

[National Cyber Security Alliance](#)

Bicycling Security

- Register your bike with Security by completing the form at [Bicycle Registration](#)
- Retain the original purchase documentation, including the serial number.
- Always lock your bike. Optimally, bikes should be locked around the frame and through both wheels, as well as secured to a bike rack.
- Do not lock your bike to a tree, bench, handrail, or in walkways. On campus, bikes locked in this manner will be removed by the Security Department.
- Invest in a strong bicycle lock (preferably a U-lock) or strong padlock and chain. Chains should be case-hardened steel with links at least 5/8-inch in diameter.
- Utilize bike storage rooms if available. If you leave your bicycle outside, keep it in a well-lit and heavily traveled location.
- Find out if your parents' or your insurance policy covers your bicycle. If not, consider insuring it.

Bicycling Safety

- Bike riders are responsible for their own safety, and the Middlebury Institute does not make or enforce rules about bike riding. Nonetheless, it is consistent with good common sense that you should ride responsibly and always wear a helmet, not weave or change lane positions, always leave at least three feet between yourself and obstacles such as parked cars or poorly maintained shoulders, and make sure that your brakes and other components of your bicycle are in working order.
- California state law requires a white front light and red rear light for night riding. Also, wearing bright, reflective clothing will increase your visibility and help reduce conflicts.
- Generally, bicyclists should ride with the flow of traffic, on the road's right-hand side. Remember, bicyclists are not permitted to ride on sidewalks in Monterey, except in

certain circumstances.

- Do not ride the wrong way on a one-way street.
- Cyclists should obey all traffic laws and always use hand signals when turning.
- Pay attention to your surroundings: keep alert, do not wear headphones, and warn pedestrians or fellow riders when you are passing them. Also, warn vehicle drivers if their driving places you in danger.
- Take extra care when passing parking lot exits or biking through a parking lot.
- Walk bicycles across crosswalks and train tracks to avoid bicycle/vehicle collisions.

Security Escorts: 831.647.4153

If you are concerned for your safety, security officers on patrol will provide walking safety escorts to and from on-campus locations.

Medical Transports

If you are in need of emergency medical assistance, call 911, regardless of whether you are on-campus or off campus.

Security Awareness Programs

The Middlebury Institute provides various security awareness programs throughout the year designed to encourage students and employees to be responsible for their own security and security of others.

As part of new student orientation, first year students receive Emergency Preparedness Training, training on active threat response titled "Run, Hide, and Fight" and are informed on how to contact the police by calling 911 and how to contact the Security Department by calling 831.647.4153. Residential Life student staff receive annual training in emergency evacuation procedures and emergency preparedness to assist in preparing them to act as resources for the students and staff in residential buildings. During periodic residential hall meetings, students are instructed on residential safety, including but not limited to locking their doors, keeping their door touch code private and reporting suspicious people and circumstances. The Emergency Preparedness and active threat training can also be accessed online at any time on Middlebury's Emergency Response website: [Emergency Response](#)

All faculty and staff employees receive annual Emergency Preparedness Training. This on-line video includes information on several topics related to emergency preparedness, including the College's emergency protocols, how to contact the Security Department, the emergency response system (including instruction on entering contact information for the system), and a viewing of the "Run, Hide, Fight: Surviving an Active Shooter Event." The Emergency Preparedness and active threat training can also be accessed online at any time on Middlebury's Emergency Response website: [Emergency Response](#)

The Institute participates annually in the International [Great ShakeOut](#) earthquake drill. The campus wide exercise is designed to better prepare students, faculty and staff for an earthquake event by practicing earthquake safety and evacuation procedures. All students, faculty and staff are provided with [Emergency Response Guidelines](#) in preparation for this annual exercise. Also, one fire evacuation drill during each term (spring, summer and fall) is conducted with Munras Housing residents.

Additional training opportunities are also available upon request.

Notification Regarding Missing Students

If a Middlebury Institute student is missing from the Institute campus in Monterey, California (whether the student is housed in Munras Housing or living off campus), this should be immediately reported to the Security Department at 831.647.413, or reported to the Monterey Police Department, the California

State Police, or other law enforcement agency that has jurisdiction over the area, as applicable. The Security Department will immediately try to locate the student and notify the police department about where the student was last seen, no later than 24 hours from the time the student is determined to be missing (unless the local police department was the agency that made the determination that the student was missing). In some circumstances, for example - a reported kidnapping or a missing child - the Security Department will notify the police immediately. If a student younger than 18 and not emancipated is missing, the Institute will notify the student's custodial parent(s) or guardian(s) (in addition to notifying any additional contact person designated by the student) as soon as practical and no later than 24 hours from the time the student is determined to be missing.

Students attending the Middlebury Institute may designate a confidential contact person in the event they go missing. This information can be updated at anytime. This person can be anyone and does not need to be the same as the student's emergency contact(s). The Institute has a form on BannerWeb that allows students to register this contact. BannerWeb maintains the contact person's information in a confidential area, separate from the student's emergency contact information. The information will be accessible only to authorized campus officials and may not be disclosed, except to law enforcement personnel in furtherance of a missing person investigation. If a student is missing, authorized campus officials and law enforcement officers will have access to this designated contact and will communicate with the person no later than 24 hours from the initial report.

Regardless of whether the student has identified a contact person, is above the age of 18, or is an emancipated minor, the Institute will inform the local law enforcement agency that has jurisdiction in the area within 24 hours of the time the student is determined to be missing. In these situations, the Security Department will notify the appropriate law enforcement agency while Student Services will ensure that the missing person contact and, when appropriate, custodial parent(s) or guardian(s) are notified. Notification to the larger community, if determined to be appropriate, will typically be handled by the Security department in collaboration with law enforcement, Student Services, and the Communications team.

Educational Programs to Promote the Awareness and Prevention of Domestic Violence, Dating Violence, Sexual Assault, and Stalking

Overview

Middlebury provides comprehensive, intentional, and integrated programming, initiatives, strategies, and campaigns intended to end dating violence, domestic violence, sexual assault, and stalking that:

- are culturally relevant, inclusive of diverse communities and identities, sustainable, and responsive to community needs;
- are informed by research or assessed for value, effectiveness, or outcome; and
- consider environmental risk and protective factors as they occur on the individual, relationship, institutional, community, and societal levels.

Programs to prevent dating violence, domestic violence, sexual assault, and stalking include both primary prevention and awareness programs directed at incoming students and new employees and ongoing prevention and awareness campaigns directed at students and employees. Both primary prevention and awareness campaigns deliver and build on bystander intervention and risk reduction content and skills.

Middlebury's programs also provide information about its Non-Discrimination Policy and information about the Civil Rights and Title IX Coordinator, the Civil Rights and Title IX Coordinator's designees, internal and external reporting procedures and options, the importance of preserving evidence,

protection and "no contact" orders, confidentiality issues, resources for victims, interim measures and accommodations, information about Middlebury's written notice of rights and options, definitions of prohibited behaviors (including a statement that Middlebury prohibits the crimes of dating violence, domestic violence, sexual assault, and stalking as those terms are defined for the purposes of the Clery Act), and information about investigation and adjudication procedures, as described in the Non-Discrimination Investigation and Resolution Procedures and Non-Discrimination Title IX Investigation and Resolution Procedures Policy and this Annual Security Report.

Middlebury's educational programs consist of awareness programs, bystander intervention programs, information on risk reduction, ongoing prevention and awareness campaigns, and primary prevention programs, which may be described in more detail as follows:

Awareness Programs

Community-wide or audience-specific programming, initiatives, and strategies that increase audience knowledge and share information and resources to prevent violence, promote safety, and reduce perpetration.

Bystander Intervention

Safe and positive options that may be carried out by an individual or individuals to prevent harm or intervene when there is a risk of dating violence, domestic violence, sexual assault, or stalking, including:

- recognizing situations of potential harm;
- understanding institutional structures and cultural conditions that facilitate violence;
- overcoming barriers to intervening;
- identifying safe and effective intervention options; and
- taking actions to intervene.

Middlebury implements the [Green Dot Violence Prevention Strategy](#) for our bystander intervention program with students.

Ongoing Prevention and Awareness Campaigns

Programming, initiatives, and strategies that are sustained over time and focus on increasing understanding of topics relevant to and skills for addressing systems of oppression in the scope of power differentials and the compounded effect of life experiences and intersectional identities, dating violence, domestic violence, sexual assault, and stalking, using a range of strategies with audiences throughout the institution. In addition to the awareness month calendars and programs facilitated by request, students are invited to opt into ongoing educational opportunities that might be provided which review bystander skills, campus and community resources, how to support friends, and consent education.

Primary Prevention Programs

Programming, initiatives, and strategies informed by research or assessed for value, effectiveness, or outcomes that are intended to stop dating violence, domestic violence, sexual assault, and stalking before they occur through bystander intervention, and seek to promote anti-violence. In addition to Middlebury's implementation of the [Green Dot Violence Prevention Strategy](#), the Office of Health and Wellness Education provides sexual health and healthy relationship programming facilitated by professional educators and contractors and through supervision of peer education group, Sex Positive Education College Students (SPECS), which facilitates peer-led workshops.

More specifically, Middlebury's educational programs include, for example, the following:

1. All students (undergraduate and graduate) receive online programming through Vector Solutions. Content includes sexual harassment and sexual violence prevention, alcohol and other drugs, Title IX information, and other health and wellness topics. Student feedback on course satisfaction, quiz results, and questions/concerns is collected within the program and is reviewed annually to evaluate effectiveness and cultural appropriateness.
2. For students and employees in its Vermont-based programs, Middlebury also displays and distributes materials that contain information about campus and community-based emergency safety and support, medical care, advocacy and resource referrals, counseling, spiritual and pastoral care, housing, academic and other accommodations, reporting, (confidential and non-confidential), and relevant contact information. Such materials include emails to students, faculty and staff; posters in residence hall bathrooms and other campus buildings; and resource guides distributed to students and published on the Middlebury website.

The following live training is available:

Green Dot Violence Prevention Strategy overviews outside of new student orientation are available for groups, teams, clubs, and departments by request and/or invitation. Open sessions are scheduled throughout the year as well. Green Dot six-hour bystander trainings include deeper content on recognizing situations of potential harm; understanding institutional structures and cultural conditions that facilitate violence; overcoming barriers to intervening; identifying safe and effective intervention options; and taking actions to intervene. The trainings are offered five to six times during the academic year for cohorts of 20-45 students at a time. Cohorts are compiled with attention to representation from diverse social identities and campus-based affiliations. Referrals and nominations for future trainees are solicited from training participants, faculty, and staff.

Green Dot overview sessions are also tailored to the needs of student leaders on campus including, but not limited to, Residential Life and Student Services staff. More information about Green Dot and its on-going prevention and educational efforts related to awareness events, bystander intervention, and primary prevention can be found at [Green Dot](#). Process evaluations are used to evaluate facilitator efficacy, content retention, and participant intention for future bystander action at the conclusion of overviews and bystander trainings. This data is utilized in real time to make presentation-based changes as needed.

Green Dot Booster Sessions

These sessions typically feature short, time limited programs intended to review and practice bystander skills. Various activities may offered throughout the year, including online based competition and activities. Future campus climate survey data will be used to evaluate the effectiveness of on-going prevention and educational programming outside of formal trainings where process evaluations are issued and reviewed in real time

Programs for Middlebury Institute Faculty and Staff

Middlebury provides online training to all faculty and staff. The training is managed by Human Resources, and the current course is part of Vector Solutions titled: Sexual Harassment: Building a Safe and Inclusive Community. This online training includes such topics as Title IX; identifying who is at risk; prevalence; costs of sexual violence; understanding sexual assault; relationship violence; stalking and cyberstalking; criminal law and policy definitions; consensual sexual activity; being a bystander, barriers to intervention, and safe and positive options; roles and responsibilities of faculty and staff; reporting; confidentiality; how to talk to survivors; a survivor's reactions; interim measures; preserving evidence; institutional response; reporting vs. investigating; conduct proceedings; protection from retaliation; a culture of respect; Middlebury's policy

and procedures for addressing sexual misconduct (including sexual assault), domestic violence, dating violence, and stalking, which includes a statement that Middlebury prohibits these behaviors as defined in its policy in accordance with the Clery Act, as amended in 2014; and the application of the preponderance of the evidence standard in its adjudication of these matters (as referenced in Middlebury's policy).

Live trainings regarding sexual violence prevention and response are provided to certain incoming and current faculty, summer program faculty (e.g., the Language Schools), faculty chairs, and selected staff, including Residential Life staff (e.g., RAs, CAs), Student Affairs officials, Athletics Department coaches and staff, and other Student Life staff. These sessions include information about Middlebury's policies and procedures, reporting, Middlebury's Civil Rights and Title IX Coordinator and designees, resources, confidentiality, and bystander intervention.

Faculty, staff, and students at the Institute receive written information (with links to policies, resources and information) regarding Middlebury's Non-Discrimination Policy; Middlebury's *Alcohol and Other Drugs Policy*, reporting and contact information, links to campus and local resources, and information about the Civil Rights and Title IX Coordinator and Title IX designees.

Other Programs and Initiatives

Awareness Campaigns

Middlebury also has ongoing prevention and awareness campaigns, including open workshops, evening discussions and workshops in residence halls, by-request programming in classrooms and with athletic teams, and programming in connection with the observation of national awareness days (e.g., Healthy Relationships Action Week in February, Sexual Assault Awareness Month in April and Dating Violence Awareness Month). Sexual Assault Awareness Month activities include, but are not limited to, primary prevention workshops and speakers on consent, relationships, and communication, workshops and support groups for survivors, passive educational campaigns on survivor resources and bystander behavior, increased content on related social media accounts for Green Dot and Health and Wellness Education, and partnerships with student organizations interested in sponsoring student-led programming.

How to Be an Active Bystander

The best way to prevent sexual and relationship violence is to commit to the following community values:

- Violence is not tolerated on campus; and
- Everyone is expected to do their part to prevent it.

Everyone must commit to engaging in moments of action, no matter how small. ***Every moment of action counts when we are working to prevent violence. Moments of action contribute to a culture of bystander intervention and, research shows, lead to fewer incidences of violence.***

Moments of action occur when we notice the potential for violence. We might see someone intentionally trying to get someone else intoxicated or isolating someone at a party. We could recognize power differences like age, or sense that someone seems fearful. When we notice these cues, we must act because even the smallest actions can prevent violence.

No matter who you are or what personal or social barriers you might face, there is always something you can do to help keep our community safe. Options for action include the following:

Direct: Directly talk to someone or intervene in a situation. You might ask a friend who's been hard to reach if everything is OK. Or you might take an intoxicated friend back to their residence or Munras Housing. Direct action means getting involved in a situation or following up with a student, coworker, or

supervisor who you worry is in an unhealthy relationship.

Delegate: Get others involved. Delegating action when there's danger, or when someone else can act, is often safest. You might call the Security Department (831.647.4153) or the police (911) for help, ask someone to assist you in finding a ride for a friend, or suggest to a party's host that she ask someone to leave. You might express your concerns over a matter to a student's advisor or Student Affairs official.

Distract: Interrupt the precursors to violence so harmful situations can't occur. You might spill a drink, sing loudly, or tell someone their car is getting towed. You might ask someone to accompany you somewhere so you can talk privately with the individual.

Moments of action also occur when we act proactively to send the message to those around us that we take the work of reducing violence seriously and we are committed to doing our part. We might have a conversation with people we care about on campus about what moments of action mean to us, share a

great article on Facebook or tweet about an everyday moment of action, or choose to integrate bystander intervention into our academic work. When we create a moment of action on our own, without waiting for warning signs to appear, we make our community inhospitable to violence.

Moments of Action for Student Bystanders

- Send a mass email to your contact list with a simple message, "This issue is important to me and I believe in the goal of reducing violence."
- The next time you are walking to class with a friend, have one conversation and tell them that ending violence matters to you.
- Put a Green Dot sticker on your door, computer, or water bottle and talk about why you care about this issue when someone asks what it is.
- Make bystander intervention or sexual violence on campus the topic of a paper or speech you have to do for a class.
- Bring a friend to an awareness event.
- Work to ensure organizations you are involved in collaborate with prevention efforts on campus.
- Explore how art and activism can be part of ending violence. Create your own art or explore opportunities to engage in activism.
- If you suspect that a friend is in a relationship where they are being harmed, ask them, and provide information about available resources.
- If you see someone spike another person's drink with alcohol or drugs, stop them if it is safe to do so, and call the Security Department or 911. Other options include creating a distraction by spilling the drink, or letting the person know that their drink is unsafe to consume.
- If you choose to leave an event early, account for the people who were in your group.
- If you see someone at an event who has had too much to drink, ask them if they need to be walked home or assisted in any way.
- If you hear what sounds like yelling or fighting in your residence hall, apartment, or any other location, talk with a Residential Life staff member, Student Affairs official, a Professor, Program Director, or someone else who can help.

- If someone needs your help and you don't have the answer, contact your resources and find someone who does.

Moments of Action for Faculty and Staff Bystanders

- Change your email signature line to include a statement that echoes the principles that violence will not be tolerated at Middlebury and everyone is expected to do their part to prevent it.
- Add a line to your syllabus that expresses the prevention principles.
- Put a Green Dot sticker on your door, computer, or water bottle and talk about why you care about this issue when someone asks what it is.
- Request a presentation from your local (e.g., Atria Collective) or campus violence prevention program.
- If you suspect that a student or co-worker is in a relationship where they are being harmed, ask them, and provide information about available resources.
- If someone appears upset, ask if they are OK.
- Assign a paper, project, or reflection to your students about moments of action, community, and our prevention principles. This should be connected to relevant class content or encouragement of attending a community event.
- If someone explains that women "say 'no' when they really mean 'yes,'" interrupt and make an attempt to educate them.
- If you hear what sounds like yelling or fighting in your neighborhood, classroom, or office, talk with a neighbor, your manager, your students, or someone else who can help.
- If someone needs your help and you don't have the answer, contact your resources and find someone who does.

Moments of Action for Parents and Family Bystanders

- Talk with the other members of your family about your commitment to ending violence and to interrupting or preventing harm.
- Make a donation to a local rape crisis center, domestic violence shelter, or Sexual Violence Crisis Center.
- Volunteer for one hour and bring a friend.
- Explore how Art and Activism can be a part of ending violence. Create your own art or explore opportunities to engage in activism.
- If you know information about an incident of sexual violence, tell authorities what you know in case it is helpful. While trying to honor the choice and the voice of the impacted individual(s) connect with community resources in a way that honors that.
- If you hear what sounds like yelling or fighting in your neighborhood, place of employment, or community, talk with a neighbor, the police, your employer, or someone else who can help.
- If someone needs your help and you don't have the answer, contact your resources and find someone who does.

Risk-Reduction Tips

In addition to bystander action, both reactive and proactive, there are ways to reduce risk around sexual and relationship violence. **It is important to remember that experiencing violence is never the victim's fault.**

- Take note of your surroundings including exits, and paths of egress.
- Stay with friends or groups of people to avoid isolated areas.
- If something or someone seems wrong or unsafe, get help and/or find the nearest exit.
- Make sure your cell phone is with you and charged, and that you have easy access to emergency numbers.
- Avoid putting headphones in both ears when walking or running and discontinue headphone use when biking.
- Make and keep to a plan with friends when attending events. Arrive together, check in with each other throughout the evening, and leave together. Have a code word with your friends or family to signal discomfort or that it's time to leave.
- Don't leave drinks unattended. If you do, get yourself a fresh drink.
- Don't accept drinks from people you don't know or trust.
- Watch out for your friends and ask your friends to watch out for you.

Immediate Safety and Support/Importance of Preserving Evidence

- **Go to a safe place:** your room, a friend's room, an RA's suite, a colleague's room, the Security Department, or anywhere you will feel safe.
- **Call someone you trust.** No matter how late it is, you should not be alone. Call a close friend, your roommate, your residential life staff or residence director, or [Atria Collective Hotline](#) (800.388.4205) (all individuals). Atria Collective offers trained volunteers to provide immediate confidential support and information at any time. Atria Collection provides care and support for individuals of all gender identities and sexual orientations.
- **If safety is an immediate concern call 911 or contact the Security Department** to access police or emergency medical services. You have the option of reporting to the police at any time, or you can decide not to make a report to the police. The Institute will provide assistance if you wish to make a police report. This option is available regardless of whether you choose to file a complaint with the Institute. Individuals may request assistance by contacting a [Human Relations Officer](#), the [Civil Rights and Title IX Coordinator](#), or the [Security Department](#)
- The Security Department provides 24-hour response, safety planning, and accommodations, including issuing an emergency campus no contact order, connecting you with the police or medical or counseling professionals, providing transportation when appropriate and available, recording an initial report, and facilitating contact with Middlebury Institute officials so that you can receive information about reporting, and academic, residential, or other accommodations. You do not need to disclose the nature of your emergency to receive transportation or to be connected to counseling, medical, or other services.

- **Please seek immediate medical care.** If you may be experiencing or have experienced sexual assault, domestic or dating violence, or stalking, you are encouraged to immediately seek any necessary medical care, and to seek help from appropriate Middlebury Institute, law enforcement and/or medical personnel, even if you are not sure if you have physical injuries and/or you are uncertain about whether to ultimately pursue a complaint or criminal charges, or to seek a protective order. Even if you do not feel physical pain, you may have internal injuries that cannot be immediately seen or felt. Pregnancy testing, emergency contraception, and/or testing for HIV and other sexually transmitted illnesses for people of all genders are services available at local Monterey medical facilities.

Medical and Support Resources

Medical Care and Support

[Community Hospital of the Monterey Peninsula \(CHOMP\)](#)

23625 Holman Highway
Monterey, CA 93940
831. 624.5311 or 831.625.4900

[Salinas Valley Health Medical Center](#)

450 E Romie Lane
Salinas, CA 93901
831.757.4333

[Monterey County Rape Crisis Center](#)

24 Hour Crisis Line: 831.375.4357 or 831.424.4357

[Community Crisis Line of Monterey County](#)

24 Hour Crisis Line: 1.866.615.1060

[YWCA](#) -Domestic Violence Crisis Line

(24 Hour Crisis Line) 831.372.6300 or 831-757-1001
(Men or Women)

[National Alliance on Mental Illness - Monterey County](#)

831.422.6264

[National Domestic Violence Hotline](#)

24 Hour Crisis Line: 1.800.799.7233

[National Sexual Assault Hotline](#)

24 Hour Crisis Line: 1.800.656.4673

[Suicide Prevention Service](#)

24-Hour Suicide Crisis Line: 1.877.663.5433

[Suicide & Crisis Lifeline](#)

24-Hour line: 988 or 1.800.273.8255

[Monterey Bay Area Intergroup of Alcoholics Anonymous](#)

831.373.3713 (24 Hour Helpline)

[Monterey County Area Narcotics Anonymous](#)

831.624.2055 (24 Hour Helpline)

[Community Hospital of the Monterey Peninsula \(CHOMP\)
Recovery Center](#)

831.625.4608

[Community Human Services](#)

831.658.3811

[Monterey County Social Services](#)

1281 Broadway Ave.

Seaside, CA 93955

831.899.8001

[Community Homeless Solutions](#)

3087 Wittenmyer Court

Marina, CA - 93933

(831) 384-3388

[Planned Parenthood \(Seaside\)](#)

625 Hilby Avenue

Seaside, CA 93955

831.394.1691

[Planned Parenthood \(Salinas\)](#)

316 N. Main St.

Salinas, CA 93901

831.758.8261

Counseling Services (Confidential – Students only)

[Timely Care](#) (Students)

Resources include connection with local service to help treat substance abuse.

1.833.484.6359 (24-hours hotline)

Employee and Family Assistant Program (Confidential: Staff and faculty)

1.866.660.9533

The [Employee and Family Assistance Program \(EFAP\)](#) provides confidential counseling and referral services to reduce stress and improve the quality of life for employees. The EFAP provides confidential assessment and referral services, and short-term counseling. The services of the EFAP are free to employees, up to the limits of the plan. All assistance is confidential; no one at Middlebury will know that an employee has used the EFAP.

Religious Counseling (Confidential)

[Charles P. Scott Center for Spiritual and Religious Life](#)

Middlebury College
Middlebury, VT 05753
802.443.5626

The College chaplains are available to provide supportive confidential counseling of all kinds, faith-based or otherwise.

For information on local Religious resources in the Monterey area: [Places of Worship](#)

[Invest EAP \(Confidential: Staff and faculty\)](#)

866.660.9533

Middlebury is pleased to offer employees and their household members a local, free, independent EAP resource.

No one is immune to life's challenges. Relationship dynamics, worries over the virus, money, work/life balance, substance abuse: you name it, and EAP helps with it.

Invest EAP is a local clinical and wellbeing non-profit that provides counseling and resources to the entire employee population and their households, including easy-to-use telehealth counseling.

[Atria Collective 24-Hour Hotline](#)

800.388.4205

Based in Middlebury, Atria Collective is a local non-profit organization providing crisis intervention, problem-solving assistance, safety planning, and emotional support to survivors of physical, sexual and/ or emotional abuse, including female, male, and transgender members of the Middlebury community. They also provide medical and legal advocacy, and support groups for female survivors.

Ongoing Care

There's no one correct way to care for yourself or others who have experienced trauma. Middlebury offers a variety of resources and options in the belief that survivors benefit from having many choices available to seek the support and resolution they need. Choose whichever options feel most helpful to you. There are many people on campus and in the greater community who care and can help you to find care for yourself.

- Be patient with yourself. The healing process takes time and includes your physical, emotional, and psychological health.
- Prioritize your physical health and wellbeing.
- Affirm your choices by expressing your wants and needs to those supporting you.
- Don't look for simple answers to explain what happened.
- Know your rights and how to get the support you need.
- Do things you enjoy and give yourself permission to have positive experiences.

Counseling Support

You can also contact a counselor at any of the resources listed above. Counselors are *confidential* resources who can help support and determine your immediate needs, provide emotional support, and help you to connect with other emergency resources.

- In the case of an emergency after-hours, call 911 or the Security Department (831.647.4153). The Security Department can connect you to counseling resources and

you don't need to disclose the nature of your emergency in order to get assistance-you just need to provide a phone number at which you can be reached.

- Also, after-hours counseling support is available through [go/timelycare](#). Timely Care includes scheduled and 24/7 on-demand medical and mental health resources at no additional cost to Middlebury Institute students.
- For additional information please see [Emotional and Mental Health Support](#).
- **If you are not sure what you need**
If you would like confidential support while exploring your options, consider contacting [Atria Collective \(formerly WomenSafe\)](#). They can provide confidential information and support as you consider your needs, and can help you to connect with campus-based and external resources. Contacting one or more of the above confidential resources in no way precludes you from choosing to file a complaint later with Middlebury or with the police.
- **Preserve evidence.** It is important to preserve all possible evidence that may assist in proving that sexual assault, domestic violence, dating violence, stalking, or related retaliation occurred or is occurring in case you decide at some point to file an internal complaint, make a criminal complaint or seek a protection order. This evidence may assist in proving whether the alleged criminal conduct (or a policy violation) occurred and/or it may be helpful in obtaining a protective order.
- Local medical facilities can provide care for any physical injuries you may have sustained, can test for sexually transmitted infections and/or pregnancy, and can provide an examination by a Sexual Assault Nurse Examiner (SANE). A SANE is a nurse who is specially trained to collect forensic evidence. This evidence may be helpful to you now or in the future if you choose to file a complaint. If you wish to have a SANE exam and the incident occurred within the last 24 hours try, if possible, to preserve any evidence before your medical exam by not washing or changing clothes, brushing teeth or hair, eating, or taking other actions that might compromise evidence. Even if more time has passed, it may still be possible to collect evidence, and it is certainly possible to receive medical care and testing. Collecting evidence in no way obligates you to file a complaint with the Middlebury Institute or make a report to the police that could lead to criminal prosecution but preserves this information in the event that you decide to do either of those things, or seek a protection order, at a later date.

You may contact local medical facilities to request a SANE assessment.

For more information about forensic evidence collection and forensic exams you can call the National Sexual Assault Hotline at 800.656.4673 or contact [Atria Collective \(formerly WomenSafe\)](#) at 800.388.4205. See also [Medical Care](#). For more information on [Steps to take after a sexual assault](#) or [State of California Women's Rights](#).

In addition to trying to preserve any physical evidence, if possible, try to preserve all evidence related to an incident including any electronic information, text messages, social media posts, phone records, emails, clothing, or other documentation or materials. Even if you don't wish to pursue a complaint with the Middlebury Institute or the police or seek an order of protection at this time, it's a good idea to preserve the evidence in a safe place in case you change your mind at a later date. You may also want to consider writing down all of the details you remember about your experience(s), as well as the names of individuals you believe may possess relevant information and/or evidence.

For additional information about available resources please see [Sexual Violence Resources & Information](#).

SANE

A Sexual Assault Nurse Examiner ("SANE") receives specialized training in working with individuals who may have experienced sexual trauma of all kinds. They can care for injuries, test and provide prophylaxis for sexually transmitted infections and/or pregnancy, and collect forensic evidence (if requested). *You do not have to be certain that you have experienced sexual trauma to request a SANE exam or any other kind of medical or emotional care. The SANE can help you discuss options for reporting. You have the right to have someone with you during this exam including an advocate. SANE exams are free of charge.*

Even if you are not sure about reporting your experience to the Middlebury Institute, pressing charges with the police, or obtaining a protective order, it makes sense to preserve the option of reporting later by having evidence collected. You can discuss your options directly with the SANE.

In most cases, DNA evidence needs to be collected within 72 hours in order to be analyzed by a crime lab- but a sexual assault forensic exam can reveal other forms of evidence beyond this time frame that can be useful if you decide to report. Place your belongings, including the clothes you were wearing, in a **paper bag** to safely preserve evidence. If you have questions about the timeframe, you can call the National Sexual Assault Hotline at 800.656.4673 or contact [Atria Collective \(formerly WomenSafe\)](#) at 800.388.4205. Source: [What is a Sexual Assault Forensic Exam?](#)

Before a medical exam, try to preserve the evidence. Resist the urge to cleanse yourself before you seek treatment. It may be difficult to keep from washing yourself, but if you do you may destroy evidence that could be useful should you decide to report the experience. Do not wash, change clothes, eat, drink, smoke, brush your teeth, go to the bathroom, or brush your hair. Bring a change of clothing with you to the exam, since your clothes may be collected as evidence.

These services are confidential, and information is not shared without the individual's written permission, except in the limited circumstances described herein. Health-care professionals are required to report to law enforcement authorities the sexual assault of a person under the age of 18, or where there is risk of immediate danger to self, others, or property. Consequently, in some circumstances, providers may not be able - legally or ethically - to maintain confidentiality.

Confidentiality and Confidential Resources

Middlebury encourages individuals to report incidents of sexual misconduct (including sexual assault), domestic violence, dating violence, stalking and related retaliation so that they can get the support they need, and so that Middlebury can respond appropriately. Certain Middlebury employees may maintain confidentiality, but most cannot. Although strict confidentiality may therefore not be guaranteed, Middlebury will handle information in a sensitive manner and will endeavor to protect the privacy of individuals to the extent it can do so consistent with its obligations to respond to reports of sexual misconduct (including sexual assault), domestic violence, dating violence, stalking and/or related retaliation.

Confidential Resources

Individuals are encouraged to seek support from internal and external resources such as medical and counseling services, county advocacy services, community health care providers, and/or chaplains. As a matter of policy, Middlebury confidential resources are encouraged to explain to victims that they could inform the Security Department of the crime solely for statistical purposes, keeping the victim's

name anonymous. If the victim agrees, the confidential resource could advise or assist the victim in completing a Campus Security Authority form or contact the Security Department to make a report.

A confidential resource is an individual who is legally and ethically bound to keep confidential all information shared with them in the course of providing counsel, services and support, except under the circumstances noted below. Middlebury respects that the decision to come forward may be difficult and that individuals may wish to seek assistance from someone who can confidentially offer information, services, and support, and who can provide assurances that the disclosed information will not be acted on except in the circumstances outlined below. In general, the law recognizes and protects the confidentiality of communications between a person seeking care and a medical or mental health professional, religious advisor, or trained advocate. The medical, mental health education, and religious professionals respect and protect confidential communications from students, faculty, and staff to the extent they are legally able to do so with the established scope of their roles. These professionals may have to breach a confidence, however, when they perceive a serious risk of danger or threat to any person or property or when directed by Middlebury policy or law. These exceptions to confidentiality are governed by the law of the state in which the confidential resource is located and/or licensed or certified.

An individual who speaks to a confidential resource must understand that, if they want to maintain confidentiality, Middlebury may be unable to conduct an investigation into the particular incident or pursue disciplinary action against the respondent. However, confidential resources may assist the individual in receiving other forms of protection and support, such as supportive measures, victim advocacy; health or mental health services; and pastoral care or counseling. An individual who initially requests confidentiality may later decide to file a complaint with Middlebury or report the incident to local law enforcement, and thus have the incident fully investigated. These confidential resources will help to direct the individual to the appropriate resources in the event that the individual wishes to file an internal formal complaint with Middlebury or report to the police. An individual who initially requests confidentiality may later decide to access additional campus resources or supports that will not result in a formal report or investigation, but do require sharing some level of information with offices that are not designated as confidential related to these issues. This could include academic accommodations, disability-related accommodations, and changes to living, working, or transportation arrangements.

Non-Confidential Resources

Non-confidential resources are all faculty or staff members, including Residential Life staff, Civil Right and Title IX, the Security Department and ombudspersons, who are not medical or counseling professionals, or clergy, and are therefore not confidential. Non-confidential faculty or staff who learn of an incident of alleged sexual misconduct, domestic or dating violence or misconduct, stalking or related retaliation involving a student or employee are required to report that information to a Human Relations Officer (HRO) or the Civil Rights and Title IX Coordinator, and they are "responsible employees" per Title IX policies, to this extent. The Civil Rights and Title IX Coordinator and HROs are "responsible employees" for the purposes of redressing reports of sexual misconduct, domestic and dating violence and misconduct, stalking and related retaliation.

Faculty and staff who are [Campus Security Authorities](#) are required to report certain sex offenses and other crimes to the Security Department for the purpose of compliance with the Jeanne Clery Campus Safety Act.

General inquiries to Middlebury Institute officials about policies or procedures, and conversations in which the respondent is not identified by name or by implication from the circumstances, may remain private. Otherwise, individuals who want to maintain confidentiality should seek a confidential resource.

The Middlebury Institute will complete publicly available recordkeeping, including Clery Act reporting and disclosures, without the inclusion of personally identifying information about individuals, and will maintain as private any accommodations or supportive measures provided to individuals, to the extent that maintaining such privacy would not impair the ability of the institution to provide the accommodations or supportive measures.

Disclosure Required by Law

The Middlebury Institute will not include the names of complainants or other personally identifying information in publicly available reports that are compiled as required by the Jeanne Clery Safety Act, and when issuing timely warnings as required by the Clery Act, will withhold as confidential the names of such individuals. Middlebury Institute officials responsible for compiling publicly available recordkeeping such as crime statistics published in the Annual Security Report(s), daily crime logs, or timely warnings, review reports before publication and distribution to ensure that the information does not contain the names of complainants or other personally identifying information. Statistics published in the Institute's Annual Security Report(s) contain only the number designated Clery geography, and type of reported crimes. The Middlebury Institute also part of a larger community and context. If there is an independent investigation, lawsuit, or criminal proceeding related to a sexual misconduct (including sexual assault), domestic or dating violence or misconduct, stalking, or related retaliation matter, those involved or others may be required by law to provide testimony or documents (e.g., investigation reports, witness statements, and any other information gathered or obtained in the course of a particular matter). Involved parties may include students, faculty, and staff as determined by local police, state's attorneys and others.

Disclosure to Law Enforcement

In certain circumstances, the Middlebury Institute may need to report an incident to law enforcement authorities. Such circumstances include but are not limited to incidents that warrant the undertaking of safety and security measures for the protection of the individual and/or the campus community, or situations in which there is clear and imminent danger and/or a weapon may be involved. Complainants may choose to notify authorities personally and directly with or without the Institute's assistance or may choose not to notify such authorities personally.

Options for Reporting VAWA Related Offenses

Making a Report On Campus

Any Middlebury Institute student, faculty member, staff member, or covered third party who has reasonable cause to believe that sexual assault, domestic or dating violence, stalking and/or related retaliation has occurred or is occurring should report this information to the immediate attention of a Human Relations Officer designated for the Middlebury Institute program at issue or the Civil Rights and Title IX Coordinator. Reports also may be made to the Institute Security Department or other appropriate personnel for the program at issue. Reports may be made verbally (in person, or by phone or videoconferencing) or in writing (via mail or email). Contact information is listed below.

Middlebury's [Security Department](#), or other appropriate personnel for the Middlebury Institute program at issue, will coordinate its response to the report with other officials, as appropriate (including a Human Relations Officer, the Civil Rights and Title IX Coordinator, and responsible [Threat Assessment and Management Team](#) and, if necessary, law enforcement, to intervene at the earliest practical point to stop the behavior and coordinate services to the complainant. A coordinated response will include consideration of the complainant's request for confidentiality and may also include the implementation of safety measures as deemed necessary, services and accommodations, and referral to confidential resources.

Please note that filing a complaint with the Middlebury Institute does not require you to file a report with the police. The legal system and the Middlebury Institute's disciplinary process are independent of one another so you can choose to report to the police (or choose not to).

Contact Information for Reports to Middlebury Institute Officials or Law Enforcement

On Campus

Middlebury Institute, Monterey, CA

Emergencies: Dial 911

Security Department

Middlebury Institute

460 Pierce Street

Monterey, CA 93940

831.647.4153

MIISsecurity@middlebury.edu

Off Campus

Monterey Police Department

351 Madison Street

Monterey, CA 93940

831.646.3914

Emergencies: Dial 911

Contact Information for Middlebury Institute Officials

Human Relations Officers/Title IX Coordinator Designees

Ashley Arrocha (Title IX Coordinator Designee for the Middlebury Institute)

Associate Dean of Student Services

Office of Student Services

McCone Building, M215

Monterey, CA 93940

831.647.4654

aarrocha@middlebury.edu

Meili Chen

Human Resources Business Partner

McCone Building, M221

Monterey, CA 93940

831.647.6404

meilic@middlebury.edu

Civil Rights & Title IX Coordinator

Butterfly Blaise Boire

Middlebury College

Service Building 213

Middlebury, VT 05753

802.443.2147

bboire@middlebury.edu

Deputy Civil Rights & Title IX Coordinator

Taryn Moran

802.443.5840

Knowyourrights@middlebury.edu

Reporting to Law Enforcement

In addition to (or instead of) utilizing the Middlebury Institute's processes and resources, any student, employee, or covered third party who wishes to report a complaint of sexual assault, domestic violence, dating violence, or stalking may also pursue criminal charges with local, state, or federal law enforcement agencies.

The Middlebury Institute will offer and upon request provide assistance to students, employees, and covered third parties with notifying law enforcement agencies. These options are available regardless of whether an individual chooses to file a complaint with the Middlebury Institute. Individuals have the option to notify such agencies with or without assistance from the Institute and have the option not to personally notify such authorities. Individuals may request assistance by contacting the Security Department, an HRO, or program chair. Contact may be made via email, phone, teleconference or in person.

A. Monterey

Emergencies: dial 911. In non-emergency situations, please call one of the following departments that serve the Monterey Peninsula. In most cases, you should contact the law enforcement department of the town where the crime occurred:

- Monterey Police Department: 831.646.3914
- Monterey County Sheriff's Department: 831.755.3700
- California Highway Patrol: 1.800.835.5247
- Carmel Police Department: 831.624.6403
- Marina Police Department: 831.884.1210
- Pacific Grove Police Department: 831.648.3143
- Seaside Police Department: 831.899.6748

Please note that each department has a specific service area and their hours of operation may vary.

Some other things to keep in mind:

- You always have the right to report or not report a crime to law enforcement, except under certain circumstances:
 - If someone who is under 18 goes to the hospital for a SANE (sexual assault nurse examiner) exam or if the hospital is aware of any crime against someone under the age of 18, the hospital is required to report the crime to the closest office of the [Department of Children and Family Services](#) (DCFS). It is possible that DCFS could, in turn, report the crime to law enforcement without the knowledge or willingness of the person who experienced the crime.
 - Also, any crime involving a stabbing or a gunshot wound must be reported by hospitals to law enforcement, regardless of the age of the victim.
- If a victim of a crime chooses to report to law enforcement, confidential victim advocates from [Atria Collective \(formerly WomenSafe\)](#) are available to accompany you and assist

you with the process. Middlebury Institute officials may also accompany you and facilitate contact with law enforcement.

- The law enforcement response to domestic violence, sexual violence, dating violence, and stalking varies based on the crime, circumstances, and context. Different law enforcement agencies may handle the same report differently.
- It is possible that if you report a crime to law enforcement, an investigation may be commenced and charges may be brought. Depending on the department and circumstances, your ability to control or influence the process after reporting may vary.

For more information and to talk through your options, please call [Atria Collective \(formerly WomenSafe\)](#) 24-hour hotline: 800.388.4205.

If you wish to consider what is involved in making a police report, you may also find the following summaries from the Vermont Network Against Domestic and Sexual Violence helpful. Please note, however, that the information herein does not constitute legal advice and may be amended from time to time. Individuals should consult their counsel or other local resources to ensure complete and accurate information.

What will the police do if I report?

If you tell the police about what happened to you they may arrest and charge the person who assaulted you with a particular crime(s). Because this is the criminal system, the police will have to decide whether what happened to you was a crime and when there is "probable cause." ... They will then decide whether or not to charge and arrest the perpetrator. A person charged with a crime is called a defendant. The choice of reporting a sexual assault to the police can be difficult. Advocates from your local network program are available to provide you more information about reporting and can support you in whatever you decide is right for you.

What happens after the perpetrator is arrested?

An arrest is the beginning of the criminal process. Once someone is arrested they may be released immediately, released at any point in the criminal process or, in severe cases, remain in jail. This will depend on many complicated legal factors. Defendants released from jail before the end of the case will be given "conditions of release" by the court. This is an order that the defendant must follow while the criminal case is going forward. Often the conditions will tell the defendant not to have contact with you as the victim of the crime. As a victim of a crime you have the right to know if and when the defendant is getting released from jail and the defendant's conditions of release. Some victims may have increased safety concerns following an arrest. You may call your local Network program for more information and support.

Prosecution of crimes of sexual violence

Following a charge/ arrest, the police transfer the case to the prosecutor, also called the state's attorney. A prosecutor is a lawyer who works for the state through the state's attorney's office. The state's attorney's job is to continue to gather evidence to prove that the defendant committed the crime and to prepare the case against the defendant. The state's attorney decides how to proceed with the criminal case.

State's Attorneys' Victim Advocates

The state's attorney's office also has its own victim advocates. They provide information and updates on the case. They can also offer support and help with communicating your concerns and questions to the state's attorney. Because these advocates work for the state's attorney, they are not confidential. This means that the state's attorney's advocates may be required to share relevant information you share about the case with the state's attorney.

Sentencing

If the defendant pleads guilty or is found guilty after a trial, the judge will impose a sentence. A sentence may include a probation term, time in jail, or a combination of both. In many cases, sentencing will also require the defendant to participate in a sex offender treatment program. You may be present in the court room during the sentencing if you want. As a victim you have the right to make a "Victim Impact Statement" to the court. This is your time to describe the impact that the crime had upon you and your family. An advocate or family member may read your Victim Impact Statement for you.

Department of Corrections

Once the defendant has been sentenced, the California Department of Corrections and Rehabilitation (CDCR) will supervise the defendant for the duration of the sentence. CDCR is responsible for ensuring that the offender is following the terms of the sentence. CDCR's Victim Services Program is available to provide information about the status of an offender and support to victims of crime whose offenders are in the custody of California CDCR. You can also register to receive automatic notifications by phone or email about the offender's status. For more information, you can contact CDCR's [Office of Victim & Survivor Rights & Services](#) at 1.877.256.6877.

Source: Legal Options for Victims of Sexual Violence in Vermont (vtnetwork.org); see also *Quick Look: Police Reports and Protective Orders: The [National Domestic Violence Hotline](#) and [Reporting to Police: Options and Tips for Being Prepared](#).*

B. Police Reports Outside Vermont

For information regarding police reports at other Middlebury program locations outside Vermont please see the links below.

Table 4. Police Reports -- Middlebury Programs Outside Vermont

LOCATION	PROGRAM	WEBSITE
National	All	<i>Report to Law Enforcement:</i> https://www.rainn.org/articles/reporting-law-enforcement <i>Abuse -- Reporting to the Police: Options & Tips for Being Prepared</i> http://www.thehotline.org/2016/04/reporting-to-police-options-tips-for-being-prepared/ <i>Police Reports and Protective Orders:</i> http://www.thehotline.org/2014/09/quick-look-police-reports-and-protective-orders/

Table 4. Police Reports -- Middlebury Programs Outside Vermont (Continued)

LOCATION	PROGRAM	WEBSITE
California	Middlebury Institute of International Studies at Monterey/ Middlebury at Mills Language Schools	<i>Crimes:</i> https://www.womenslaw.org/laws/ca/crimes
Schools Abroad	All Locations	Law enforcement procedures vary by location. Please contact Pathways to Safety International : You can reach Pathways to Safety International by emailing them at crisis@pathwaystosafety.org . For additional information, please consult our Schools Abroad site.

Contact Information for Reports to Middlebury Institute Officials or Law Enforcement

On Campus

Middlebury Institute, Monterey, CA

Emergencies: Dial 911

Security Department

Middlebury Institute

460 Pierce St

Monterey, CA 93940

831.647.4153

Emergency: Call 911

Off Campus

Monterey Police Department

351 Madison Street

Monterey, CA 93940

831.646.3914

Emergency: Call 911

If an incident takes place in Monterey and you would like to file charges off campus, contact the Monterey Police Department.

Monterey County District Attorney's Office

831.755.5072

The Monterey County District Attorney's Office can provide you with more information regarding your rights during a criminal judicial process and information regarding the Victim/Witness Program.

Contact Information for Middlebury Institute Officials

Human Relations Officers/Title IX Coordinator Designees

Ashley Arrocha (Title IX Coordinator Designee for the Middlebury Institute)
Associate Dean of Student Services
Office of Student Services
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Monterey, CA 93940
831.647.4654
aarrocha@middlebury.edu

Meili Chen
Human Resources Business Partner
McCone Building, M221
Monterey, CA 93940
831.647.6404
meilic@middlebury.edu

Civil Rights & Title IX Coordinator

Butterfly Blaise Boire
Middlebury College
Service Building 213
Middlebury, VT 05753
802.443.2147
bboire@middlebury.edu

Deputy Civil Rights & Title IX Coordinator

Taryn Moran
802.443.5840
Knowyourrights@middlebury.edu

Rights & Options

Services /Support / Accommodations

- [VINE \(Victim Information & Notification Everyday\)](#): This service provides information about criminal cases and the custody status of offenders 24 hours a day.
- Full Faith and Credit: Refers to Section 2265 of VAWA and requires that a valid protection order issued in one state be treated another state as if it were one of its own. It enables the victim to travel safely without having to establish jurisdiction or secure a new protective order.
- [Women's Law](#) provides legal information and support to victims of domestic violence and assault.
- [Legal Services Corporation](#) provides legal assistance to low-income individuals and families throughout the nation.

Source: [National Domestic Violence Hotline](#)

For more information about Protection Orders and Relief from Abuse Orders in California (including how to apply for an order and how the order is enforced, please see [WomensLaw.org/Know the Laws: California](https://www.womenslaw.org/known-the-laws-california).

See also [Safety and Law Enforcement](#).

Accommodations:

The Middlebury Institute Vice President's Office and Security Department or any Middlebury HROs, Civil Rights and Title IX Coordinator, Vice President for Academic Affairs and Dean of Faculty ("VPAA"), Human Resources Department, Vice President for Student Affairs, Dean of Students, Vice President for Academic Affairs and Dean of the Language Schools, or other supervisory authority for the program at issue (or their designees) may also at any time take appropriate steps, including by way of example only, issuance of No Contact Orders, temporary changes in assignment of duties or housing, changes in class schedules or class requirements, or other accommodations, as appropriate, to protect complaining parties on an interim basis.

NCO / Trespass / Court Orders

The Middlebury Institute can issue No Contact Orders restricting contact between two members of the Institute community, and No Trespass Notices restricting individuals from Middlebury Institute-owned or rented property. Requests for a No Contact Order or a No Trespass Notice may be directed by telephone, email or in person to the Security Department, an HRO, the Civil Rights and Title IX Coordinator or program director (see contact information below).

In the United States, a relief from abuse order, also called a protection order or restraining order, is a court order that is designed to stop violent, harassing, and threatening behavior. It can also stop the respondent from any contact or communication with the complainant, and protect the complainant and the complainant's family members, as appropriate, from the respondent. Such orders may be awarded by courts in the United States on a temporary basis, in which case they are instituted by a judge immediately and reviewed subsequently through a court hearing process where it is determined whether they will remain in effect for a longer term. Similar resources may exist in other countries where Middlebury operates its programs (please contact *Sexual Assault Support and Help for Americans Abroad*: <https://pathwaystosafety.org> for more information regarding similar orders in foreign jurisdictions).

The Middlebury Institute does not have the authority to issue Relief from Abuse Orders, Orders of Protection, or Restraining Orders, as these are granted by the court system. In addition to (or instead of) the Institute's No Contact Orders and No Trespass Notices, individuals who are being or who may have been subjected to sexual assault, domestic or dating violence, stalking and/or related retaliation may pursue Orders of Protection, Restraining Orders and/or Relief from Abuse Orders from United States courts or courts outside of the United States as applicable. The Institute will support individuals if they request the Middlebury Institute's assistance with making contact with law enforcement authorities and other external resources to seek such orders. The Institute will comply with and respect such orders to the extent applicable.

Requests for assistance with contacting law enforcement authorities or obtaining an Order of Protection, a Relief from Abuse Order, a Restraining Order or other lawful order may be made in person, or via email, phone, or teleconference to an HRO, Civil Rights and Title IX Coordinator, or the Security Manager. See contact information below.

Additional Information from the National Domestic Violence Hotline:

A protective order is an official legal order issued by a state court that requires the abusive person to stop the violence and abuse and maintain a certain distance from the victim. Depending on where you live, it can also be called a restraining order, protection order, an injunction, or an order of protection.

How do I get a protective order?

Different states have different processes, but as a general rule, appropriate forms have to be filled out and submitted to the county court. A court date will be scheduled and both parties will be notified. If you are under 18, you will likely need parental consent.

Why would I get a protective order?

A protective order is legal protection against the abusive partner and can be enforced by police. Special provisions can be requested such as custody of children, continued financial support, getting the abuser to leave the residence, etc. Some states also require the abusive partner to surrender their firearms.

It's important to note that while a protective order may help keep an abusive partner away from you, it does not work in every case. Some abusive partners continue to contact and abuse their partners despite the presence of a protective order. Some may become even more dangerous after an order is filed because it threatens their power and control over the relationship. While you cannot predict someone's behavior, you know your situation best, and it's a good idea to consider how your partner might react based on what you know about them before obtaining a protection order.

What happens when I get a protective order?

When the abuser does something that the court has ordered them not to do, or doesn't do something the court has ordered them to do, they may have violated the order. You can ask the police or the court (or both, depending on the violation) to enforce the order. If you are not able to contact the police when the violation occurs, they should take a report if you call them soon afterwards. In some cases, violating a protective order might result in a misdemeanor or felony criminal conviction and punishment. These types of violations can also later be addressed by a civil court, and it is often a good idea to bring them to the court's attention.

Things to consider before obtaining a protective order:

- **PROS:** You will have legal documentation of protection; the abuse may stop; provisions can be made for children, finances, etc. can still be enforced if you move or leave your home state. See <http://www.womenslaw.org/>.
- **CONS:** You will have to see the abusive partner in court; abuse may not decrease/abusive partner may not obey the order; some orders are not always enforced.

Please note that police reports and protective orders are just parts of an overall [safety plan](#) and do not guarantee your safety from an abusive partner. *Remember, you are the most knowledgeable person about your own situation, and you must use your own judgment about what is best for you.* If you are considering taking legal steps against an abusive partner, we strongly recommend that you get in touch with a legal advocate, and we can help you find one in your area. Please call us at 1.800.799.7233 or chat online from 7am-2am CST (Central Standard Time).

Middlebury Language Schools, Schools Abroad, School of the Environment, and MiddCORE Contacts

Executive Vice President and Provost

Emily Chan

Language Schools

Vice President for Academic Affairs and Dean of the Language Schools (Sabbatical through June 2026)

Stephen B. Snyder

Sunderland Language Center 209

802.443.5979

ssnyder@middlebury.edu

Acting Dean of Language Schools through June 2026

Tim Page

802-443-5396

tpage@middlebury.edu

Schools Abroad

Dean of International Programs

Carlos Velez

Sunderland Language Center 128

Middlebury, VT 05753

802.443.5745

velezbla@middlebury.edu

School of the Environment

Co-Director

Curt Gervich

Middlebury, VT 05753

518.564.4030

cgervich@middlebury.edu

Co-Director

Liou Xie

Middlebury College

Middlebury, VT 05753

802.443.3100

lxie@middlebury.edu

Non-Discrimination Policy (Handbook B.1.a.)

Middlebury is committed to creating and maintaining a diverse, equitable and inclusive campus environment where we value openness, curiosity, rigor, and equality. Discrimination, including harassment, is antithetical to our values and mission, and, therefore, Middlebury seeks to eradicate unlawful discrimination based on protected personal characteristics in its educational and employment environments.

Individuals who feel they have experienced discrimination, including harassment, based on a protected personal characteristic are strongly encouraged to report the behavior to our [Civil Rights and Title IX office](#) ("CRTIX"). Middlebury takes reasonable and appropriate remedial action to prevent unlawful protected personal characteristic-based discrimination, harassment, and related retaliation, eliminate

any hostile environment, prevent its recurrence, and address its discriminatory effects on all those impacted, if applicable. It is not necessary to file a complaint with Middlebury or participate in an adjudication process in order to request "supportive measures" from Middlebury. Appropriate supportive measures may vary depending on specific facts and circumstances and will be determined on a case-by-case basis. Moreover, Middlebury provides procedures to assure prompt and equitable investigation and resolution intended to stop discrimination/harassment, remedy harms and prevent repetition.

Violations of this Policy may result in sanctions up to and including termination, dismissal, or expulsion, as determined by the appropriate Middlebury officials. Concerns about conduct under this Policy may be resolved through informal or "adaptable" resolutions, when appropriate. Retaliation against an individual for raising an allegation of discrimination, for cooperating in an investigation of such a complaint, or for opposing discriminatory practices is prohibited. Submitting a complaint that is not in good faith or providing false or misleading information in any investigation of complaints is also prohibited. Nothing in this Policy shall be construed to abridge academic freedom and inquiry, principles of free speech, or Middlebury's educational mission.

SCOPE:

This Policy applies to all students, staff, faculty, applicants, and visitors to Middlebury's programs and campus. "Middlebury" includes the undergraduate college, the Language Schools, the School of the Environment, Bread Loaf School of English, Bread Loaf Writers' Conference, the Schools Abroad, and the Middlebury Institute of International Studies at Monterey.

See also the Addendum applicable to California employees only, available at [Appendix A: Addendum Applicable to California Employees](#).

Types of Behaviors Prohibited by Law and Policy

This Policy prohibits the following:

- (i) Sexual Harassment as defined by Title IX, including but not limited to sexual assault, domestic and dating violence, sex-based stalking, hostile environment sexual harassment and *quid pro quo* sexual harassment;
- (ii) Discriminatory harassment, whether caused by an identifiable person or not, based on or motivated by an individual's actual or perceived protected personal characteristics, or, if not attributable to one or more identifiable Respondents, that has the purpose or effect, from the point of view of a reasonable person, of objectively and significantly undermining and detracting from or interfering with an individual's educational or work performance or access to Middlebury resources on the basis of their protected personal characteristics;
- (iii) Discrimination in employment, or in admission or access to Middlebury's educational or extracurricular programs, activities, benefits or facilities based on an individual's protected personal characteristics; and
- (iv) The adoption or implementation of any policy, practice, or procedure that has a disparate impact that objectively and significantly undermines and detracts from an individual's access to Middlebury resources or equal participation in Middlebury's programs, on the basis of an individual's protected personal characteristics.

Investigation and Resolutions Procedures

Alleged violations of this policy are investigated and adjudicated using the following procedures:

(A) Title IX Investigation & Resolution Procedure

In accordance with Federal law and the Title IX regulations issued in May 2020, alleged Title IX Sexual harassment prohibited by this Policy in an education program or activity of Middlebury in the United States where the Reporting Individual is participating in or attempting to participate in Middlebury's education or employment program or activity at the time of the filing of the complaint is investigated and adjudicated using Middlebury's [Title IX Investigation & Resolutions Procedure](#), which applies to:

- (i) Sexual assault, domestic violence, dating violence, or stalking on the basis of sex,
- (ii) *Quid pro quo* sexual harassment (as further defined below); and
- (iii) Severe, pervasive, and objectively offensive sexual harassment on the basis of sex that constitutes unwelcome conduct determined by a reasonable person to be so severe, pervasive and objectively offensive that it effectively denies a student or employee equal access to the College's education program or activity (i.e., "hostile environment sexual harassment" for Title IX purposes).

(B) Non-Discrimination Investigations & Resolutions Procedure

All other conduct prohibited by this policy that is not covered by Middlebury's Title IX Investigation & Resolutions Procedure is investigated and adjudicated using Middlebury's [Non-Discrimination Investigations & Resolutions Procedure](#)

AMNESTY

Middlebury encourages the reporting of all concerns regarding harassment and discrimination. Sometimes individuals are hesitant to report such instances because they fear they may be charged with other policy violations, such as underage alcohol or drug consumption. While not condoning infractions of any kind, to encourage reporting, Middlebury may, where appropriate, offer leniency with respect to alleged ancillary policy violations that may be revealed as a result of a report or that are related to the prohibited conduct incident(s) at issue, particularly those involving underage drinking or drug use. The nature and scope of the leniency will depend on the particular circumstances involved, and Middlebury may take disciplinary action if it determines that the conduct giving rise to the alleged ancillary policy violation placed or threatened to place the health or safety of another person at risk. Individuals should understand that the use of alcohol or drugs never makes them at fault for instances of harassment or discrimination committed against them, nor does it mitigate accountability for committing such violations against another. This Policy will not be construed to limit counter-complaints made in good faith or to prohibit action as to a report made in good faith.

CONFIDENTIALITY AND PRIVACY

Middlebury will treat information it receives with appropriate sensitivity and care and will endeavor to protect the privacy of the individuals to the extent it can do so, consistent with its obligations to respond to reports of alleged Non-Discrimination Policy violations. CRTIX will only disclose information regarding a concern that has been reported to it on a need-to-know basis or as required by law. Further details regarding reasonable steps Middlebury will take to protect the privacy of the Parties and witnesses during the pendency of a process conducted under this Policy are outlined in the applicable procedures.

GLOSSARY OF TERMS

1. Protected personal characteristics means actual or perceived sex, race, creed, color, place of birth, ancestry, ethnicity, national origin, religion, sexual orientation, sex characteristics, gender identity or expression, age, marital status, pregnancy, service in the armed forces of the United States, positive HIV- related blood test results, genetic information, or disability and/or any other status or characteristic as defined and to the extent protected by applicable law in particular states in which Middlebury operates educational programs (e.g. crime victim status in Vermont).

2. "On the basis of sex" includes sexual harassment. Some common examples include: touching or grabbing a sexual part of a person's body; touching or grabbing any part of a person's body after that person has indicated, or it is known or reasonably should be known, that such physical contact was unwelcome; continuing to ask a person to socialize when that person has indicated they're not interested; displaying or transmitting sexually suggestive pictures, objects, cartoons, or posters if it is known or reasonably should be known that the behavior is unwelcome; continuing to write sexually suggestive notes or letters if it is known or reasonably should be known that the person does not welcome such behavior; referring to or calling a person a sexualized name if it is known or reasonably should be known that the person does not welcome such behavior; regularly telling sexual jokes or using sexually vulgar or explicit language in the presence of a person if it is known or reasonably should be known that the person does not welcome such behavior; derogatory or provoking remarks about or relating to a person's sex; harassing acts or behavior directed against a person on the basis of their sex.

3. Domestic violence means conduct that constitutes a crime of violence in the relevant jurisdiction (either felony or misdemeanor) committed:

1. By a current or former spouse or intimate partner of the Reporting Individual;
2. By a person with whom the Reporting Individual shares a child in common;
3. By a person who is cohabitating with, or has cohabitated with, the Reporting Individual as a spouse or intimate partner;
4. By a person similarly situated to a spouse of the Reporting Individual under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred; or
5. By any other person against an adult or youth Reporting Individual who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

For the purposes of this definition, domestic violence includes but is not limited to sexual or physical abuse or the threat of such abuse, if involving individuals who are or have been in a domestic relationship as defined above, and violence means conduct that involves the use or threatened use of physical force against a person, or creates a reasonable belief that physical force may be used against a person in the course of the conduct.

4. Dating violence means violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the Reporting Individual.

For the purposes of this definition, dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse, if involving individuals who are or have been dating as defined here, and violence means conduct that involves the use or threatened use of physical force against a person, or creates a reasonable belief that physical force may be used against a person in the course of the conduct. The existence of such a relationship shall be determined, as an initial matter, based on the Reporting Individual's statement and with consideration of the length of the relationship, the type of relationship,

and the frequency of interaction between the persons involved in the relationship. For the purposes of this definition, dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic violence.

5. Discrimination in employment, admission or access to Middlebury's education or extracurricular activities means taking an adverse action in educational programs or employment against a person based on or motivated by that individual's protected personal characteristics or effectively denying a person admission or access to Middlebury's educational programs or activities based on that individual's protected personal characteristics.

6. Discriminatory harassment, with one exception, [\[1\]](#) means unwelcome conduct based on or motivated by a protected personal characteristic or characteristics that has the purpose or effect, from the point of view of a reasonable person, of objectively and significantly:

1. undermining and detracting from or interfering with an individual's educational or work performance or access to Middlebury resources; or
2. creating an intimidating, hostile, or abusive educational, work, or living environment.

Generally, harassment may include repeated slurs, or taunts in the guise of jokes, or disparaging references to others, use of epithets, stereotypes, comments, gestures, threats, graffiti, display or circulation of written or visual materials, taunts on manner of speech, and negative reference to customs when such conduct is based on or motivated by one or more of the protected characteristics identified above, or other characteristics as defined and protected by applicable law. In Middlebury's Vermont programs, harassment may also include conduct of the type described above that is based on or motivated by a student's family member's actual or perceived protected personal characteristics, which has the type of purpose or effect described above. With respect to Middlebury programs operating in states other than Vermont (e.g., California and Washington, D.C.), harassment shall be defined as stated in this section unless the local law applicable to the program at issue mandates a broader definition, in which case such law will apply.

Specifically in the context of Reporting Individuals who are Middlebury employees working in a Middlebury education program or activity in Vermont, harassment also includes conduct described in the Vermont law definition stated in footnote 1.

7. Quid Pro Quo Sexual Harassment means unwelcome sexual advances, requests for sexual favors, and other verbal, written, visual or physical conduct of a sexual nature when:

1. submission to that conduct is made either explicitly or implicitly a term or condition of employment or educational status;
2. submission to or rejection of such conduct is used as a component of or as the basis for employment decisions (such as wages, evaluation, advancement, assigned duties, or shifts) or educational/student life-related decisions (such as grades, class assignments, or letters of recommendation, or residence-related decisions) affecting an individual; or
3. an employee, agent, or other person authorized to provide an aid, benefit, or service under Middlebury's education program or activity explicitly or impliedly conditions the provision of such an aid, benefit, or service on a person's participation in unwelcome sexual conduct.

8. Retaliation means intimidation, threats, coercion, or discrimination against any individual by Middlebury, a student, or an employee or other person authorized by Middlebury to provide aid, benefit, or service under Middlebury's education program or activity, for the purpose of interfering with any right or privilege secured by this Policy, or because the individual has reported information, made a complaint,

testified, assisted, or participated or refused to participate in any manner in an investigation or proceeding regarding potential violations of this Policy.^[2] Retaliation also means taking an adverse action against a person because of their report of prohibited conduct or participation in any procedure(s) under this Policy, including intimidation, threats, coercion, harassment or negative employment or educational actions that would discourage a reasonable person from engaging in activity protected by this Policy. Middlebury will not engage in retaliation and will investigate and address reports of retaliatory conduct. Retaliation under this policy may be found whether or not the underlying complaint is ultimately found to have merit. Complaints of retaliation should be reported to the Civil Rights & Title IX Coordinator (“CRTIX Coordinator”) in accordance with the applicable investigation and adjudication procedures.

9. Sexual Assault can be committed by any person against any other person, regardless of gender, gender identity, sexual orientation, or past or current relationship status, and with or without physical resistance or violence. In accordance with federal law and regulations, the definition of Sexual Assault in this Policy incorporates the definitions of the FBI’s Uniform Crime Reporting (NIBRS) program, which generally defines sexual assault as an offense classified as a forcible or nonforcible sex offense in the NIBRS program, and which includes the following sexual acts directed against another person without the consent of the impacted person, including instances where the impacted person is incapable of giving consent, and unlawful sexual intercourse (i.e., incest and statutory rape) as defined below:

1. attempted rape or rape (defined below),
2. prohibited sexual contact (known as criminal sexual contact) (defined below),
3. incest (defined below), or
4. statutory rape (defined below)

10. Rape (except Statutory Rape) means: Penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by sex organ of another person, or by a sex-related object. This definition also includes instances in which the impacted person is incapable of giving consent because of temporary or permanent mental or physical incapacity (including due to the influence of drugs or alcohol) or because of age. Physical resistance is not required on the part of the impacted person to demonstrate lack of consent.

11. Prohibited Sexual Contact: The intentional touching of the clothed or unclothed body parts without consent of the impacted person for the purposes of sexual degradation, sexual gratification, or sexual humiliation, or the forced touching by the impacted person of the actor’s clothed or unclothed body parts, without consent of the impacted person for the purpose of sexual degradation, sexual gratification, or sexual humiliation. This offense includes instances where the impacted person is incapable of giving consent because of age or incapacity due to temporary or permanent mental or physical impairment or intoxication.

12. Incest is sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by the law of the state where the conduct occurred.

13. Statutory Rape is sexual intercourse with a person who is under the statutory age of consent in the state where the conduct occurred.

14. Stalking means engaging in two or more acts directed at a specific person that would cause a reasonable person to either (i) fear for the person’s safety or the safety of others; OR (ii) suffer substantial emotional distress. For purposes of this definition:

1. Acts means acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person, or interferes with a person's property.
2. Reasonable person means a reasonable person under similar circumstances and with similar identities to the Reporting Individual.
3. Substantial emotional distress means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

15. Consent means words or actions, affirmatively, unambiguously and voluntarily spoken or engaged in by each party, which a reasonable person would interpret as a willingness to participate in agreed-upon sexual conduct.

Consent is not present or valid when a person is incapable of consenting, as defined below; when intimidation, use of force, threat of force, or other actions that a reasonable person in that person's circumstances would consider coercive are applied; when that person is placed in fear that any person will suffer imminent bodily injury; when a physical or mental condition is present such that the person cannot knowingly or voluntarily give consent; or when a person is under the age of 16. Silence, non-communication, or a lack of resistance does not necessarily imply consent. Previous relationships or consent do not imply consent to future sexual conduct. Consent to one form of sexual activity does not imply consent to other forms of sexual activity. Consent can be revoked at any time.

A person is "incapable of consenting" for purposes of this Policy if they:

- are incapable of understanding the nature of the conduct at issue;
- are physically incapable of resisting, declining participation in, or communicating unwillingness to engage in the conduct at issue; or
- are asleep, unconscious, or otherwise unaware that the conduct is occurring; or
- lack the mental ability to make or communicate a decision about whether to engage in the conduct at issue.

A person may be incapable of consenting due to the effects of alcohol, drugs or other intoxicants, or due to a physical, mental or other condition.

The use of alcohol or drugs does not minimize or excuse a person's responsibility for committing sexual misconduct, or that person's responsibility for determining whether another is capable of giving consent, as described above.

Determinations regarding whether a person is responsible for violating this Policy will be made by considering whether the person knew, or a reasonable, unimpaired person in their circumstances should have known, that the other person was incapable of consenting to the sexual conduct at issue.

16. Coercion means the use of unreasonable pressure to gain sexual access. Coercion is more than a momentary effort to persuade, entice, or attract another person to engage in sexual activity. When a person makes clear a decision not to engage in sexual activity, or makes a decision to stop sexual activity, or a decision not to go beyond a certain sexual activity, continued pressure to engage can be coercive. In evaluating whether coercion was used, the College will consider: (i) the frequency of the application of pressure; (ii) the intensity of the pressure; (iii) the degree of isolation of the person being pressured; (iv) the duration of the pressure; and (v) any other similar or related conduct.

17. Reporting Individual means

1. A student or employee who is alleged to have been subjected to conduct that could constitute a violation of the Non-Discrimination Policy; or

2. A person other than a student or employee who is alleged to have been subjected to conduct that could constitute a violation of the Non-Discrimination Policy and who was participating or attempting to participate in Middlebury's education or employment program or activity at the time of the alleged prohibited conduct.

Reporting Individual also includes "complainant" as that term is used in Federal law (including the Title IX regulations issued in May 2020).

18. Complaint means an oral or written request for Middlebury to investigate and make a determination about alleged violations of the Non-Discrimination Policy.

19. CRTIX means Middlebury's Civil Rights & Title IX office.

20. Reasonable Person means a reasonable person under similar circumstances and with similar identities to the Reporting Individual.

21. Respondent means a person who is alleged to have violated Middlebury's Non-Discrimination Policy.

22. Party means a Reporting Individual or Respondent.

23. Prohibited Conduct means conduct that is prohibited by this Non-Discrimination Policy.

24. Supportive Measures are non-punitive, non-disciplinary individualized services offered as appropriate, as reasonably available, and without fee or charge that are calculated to restore or preserve access to Middlebury educational or employment programs, activities, and resources, and/or to provide support during a resolution process.

25. Preponderance of the Evidence is an evidentiary standard (e.g., the level of evidence that is sufficient to persuade a decision maker that there was a policy violation) that means "more likely than not," or, greater than 50%.

Please also see [Appendix B: Applicable Definitions: U.S. State and Federal Law where Middlebury Operates Programs](#).

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Amended: November 22, 2024

Amended: February 27, 2025

[1] The exception is that, under applicable provisions of Vermont law, an additional standard for prohibited hostile environment harassment applies in the specific context of an employee Reporting Individual who is working in a Middlebury program or activity in Vermont. Under this standard: to "harass" means to engage in unwelcome conduct based on an employee Reporting Individual's protected characteristic(s) that interferes with the employee's work or creates a work environment that is intimidating, hostile or offensive. In determining whether conduct constitutes harassment: (A) The determination will be made on the basis of the information gathered in an investigation as a whole, according to the totality of the circumstances, and a single incident may constitute unlawful harassment; (B) Incidents that may be harassment will be considered in the aggregate with varying types of conduct and conduct based on multiple characteristics viewed in totality rather than in isolation; (C) Conduct may constitute harassment, regardless of whether: (i) the complaining employee is the individual being harassed; (ii) the complaining employee acquiesced or otherwise submitted to or participated in the conduct; (iii) the conduct is also experienced by others outside the protected class involved in the conduct; (iv) the complaining employee was able to continue carrying out the employee's job duties and responsibilities despite the conduct; (v) the conduct resulted in a physical or psychological injury; or (vi) the conduct occurred outside the workplace. Harassment need not be severe or pervasive to constitute prohibited harassment under this standard, but behavior that a reasonable employee with the same

protected characteristic(s) would consider to be a petty slight or trivial inconvenience will not constitute harassment in violation of this Policy.

[2] However, Middlebury may, without violating this prohibition on Retaliation, require an employee or other person authorized by Middlebury to provide aid, benefit or service under Middlebury's program or activity to participate as a witness in, or otherwise assist with, an investigation or proceeding under this Policy.

Non-Discrimination Investigations & Resolutions Procedure (Handbook B.1.b)

NOTE: This Procedure is effective August 1, 2024, and does not apply retroactively. For Prohibited Conduct allegedly occurring before August 1, 2024, the College will apply the definition of Prohibited Conduct and procedures in place at the time of the alleged conduct. [a] For Prohibited Conduct allegedly occurring on or after August 1, 2024, the definition of Prohibited Conduct and procedures set forth in this Procedure will apply, to the fullest extent permitted by applicable law. Middlebury retains discretion to make appropriate adjustments to promote fairness and consistency. The Parties will be notified in advance of any proceedings which definition(s) and procedures apply.

[a] The policies and procedures that were in effect immediately prior to the effective date of the current policies and procedures can be found [here](#).

A. General Provisions

I. PURPOSE

Middlebury strictly prohibits conduct that constitutes unlawful protected personal characteristic-based discrimination and harassment, as well as related retaliation, through its [Non-Discrimination Policy](#). This Non-Discrimination Investigations and Resolutions Procedure ("Procedure"), administered by the [Middlebury Civil Rights and Title IX office](#) ("CRTIX"), is intended to address instances of prohibited discrimination and harassment that are not required by the Department of Education to be handled under Middlebury's Title IX Investigations & Resolutions Procedure. CRTIX may, depending upon the circumstances, vary from this Procedure. So long as such a variation is consistent with relevant law and allows for fundamental fairness in the processes followed, variations from this Procedure under those circumstances will not invalidate an outcome or constitute a basis for appeal.

II. WHAT THIS PROCEDURE COVERS

This Procedure applies to all alleged violations of the Non-Discrimination Policy not meeting the definition of Title IX sexual harassment, and to allegations of related retaliation, in both employment and access to educational opportunities. [1] Where a complaint alleges other Middlebury policy violations arising out of the same facts and circumstances as allegations that must be addressed utilizing this Procedure, Middlebury may, in its discretion, address those other alleged violations through this Procedure.

Except as otherwise specified herein, this Procedure applies to all Middlebury students, [2] faculty, and staff, as well as to others who were participating or attempting to participate in Middlebury's educational or employment programs and activities at the time the alleged misconduct occurred. This includes the undergraduate college, the Language Schools, the School of the Environment, Bread Loaf School of English, Bread Loaf Writers' Conference, the Middlebury Institute of International Studies at Monterey ("the Institute"), and the Schools Abroad. Faculty and staff are, together, referred to as "Employee" or "Employees" in this Procedure.

B. Reporting Violations of the Non-Discrimination Policy

Middlebury encourages individuals to report potential violations of the Non-Discrimination Policy so that they can obtain support and information and so that Middlebury can respond appropriately.

In addition, as will be described below, Middlebury employees who learn of potential violations of the Non-Discrimination Policy are required to report that information to the Civil Rights and Title IX Coordinator (“CRTIX Coordinator” [3]) unless they are deemed Confidential Resources (see below subsections B.II and B.III).

I. MAKING A REPORT

Any person who believes that they have been impacted by a violation of the Non-Discrimination Policy, or who has reason to believe that one has occurred or is occurring, should report this information to the immediate attention of the CRTIX Coordinator or to the Deputy Civil Rights and Title IX Coordinator (“Deputy CRTIX Coordinator”) at:

Butterfly Blaise Boire

Civil Rights and Title IX Coordinator

Middlebury College

Service Building,

Room 213

84 S Service Rd

Middlebury, VT 05753

802.443.2147

bboire@middlebury.edu

Taryn Moran

Deputy Civil Rights and Title IX Coordinator

Middlebury College

Service Building,

Room 218

84 S Service Rd

Middlebury, VT 05753

802.443.5840

tarynm@middlebury.edu

You may also report to knowyourrights@middlebury.edu, by using the online reporting tool at *go/report*, or by visiting this [link to the official reporting form](#).

Middlebury’s CRTIX office staff also includes Human Relations Officers (“HROs”) [4] who are able to receive reports of violations of the Non-Discrimination Policy in the event that the CRTIX Coordinator or Deputy CRTIX Coordinator are not available. For more information about the CRTIX office staff (including contact information), please visit <https://www.middlebury.edu/title-IX/about>. Concerns about discrimination, harassment, or related retaliation engaged in by any member of the CRTIX office should be directed to Middlebury’s Deputy General Counsel & Risk Officer, Sue Ritter at 802.443.3289 or sritter@middlebury.edu.

II. CONFIDENTIAL REPORTING

Some members of the Middlebury community have legal obligations or privileges not to disclose information that is shared with them in their professional capacities. These individuals are “Confidential Resources” who can be told about potential Non-Discrimination Policy violations in a confidential manner—meaning, they will not share with anyone else (including CRTIX) the information that has been disclosed to them in a way that identifies a reporting Party or the individuals involved in an incident of Prohibited Conduct (unless they are requested to by the reporting Party or a legal obligation requires it).

Confidential Resources^[5] include:

- Medical and support staff at the Middlebury Center for Health & Wellness (including SANE nurses)
- Counseling Services
- MiddCare
- Chaplains within the Scott Center

In addition, an employee of Middlebury who is conducting an Institutional Review Board-approved human-subjects research study designed to gather information about protected characteristic based discrimination and harassment is a Confidential Resource. The employee’s confidential status, however, is only with respect to information received while conducting the study. Confidential Resources must explain to any reporting Party who describes conduct that reasonably may constitute Prohibited Conduct:

- The Confidential Resource’s status as confidential for purposes of this Procedure, and that they will not report information about conduct that reasonably may constitute Prohibited Conduct to CRTIX without the reporting Party’s permission;
- How to contact CRTIX and how to make a complaint of Prohibited Conduct; and
- That CRTIX may be able to offer and coordinate supportive measures, as well as initiate an informal resolution process or an investigation under Middlebury policy and procedures.

Individuals who report incidents of discrimination and harassment to Confidential Resources should be aware that in certain limited circumstances, confidentiality may not be absolute.^[6]

III. MANDATORY REPORTING

All Middlebury faculty and employees, other than those designated as Confidential Resources under the circumstances described above, are required to promptly report all known information about conduct that reasonably may constitute actual or suspected violations of the Non-Discrimination Policy to the CRTIX Coordinator or Deputy CRTIX Coordinator. This requirement applies to student-employees who receive such information in the context of their employment. Failure of an employee to report as required is a violation of Middlebury Policy and can subject an employee to disciplinary action.

IV. PROHIBITION ON MAKING FALSE REPORTS

Middlebury prohibits intentionally making a false report or providing false or misleading information in the reporting of a complaint. Reporting Individuals will not be deemed to have provided false or misleading information under this Procedure if their factual allegations are intended to be truthful and are made in good faith, regardless of whether the conduct complained of is ultimately found to constitute a policy violation.

C. Supportive Measures and Non-Adjudicative Response Options

In many instances when CRTIX receives a report of alleged violations of the Non-Discrimination Policy, an investigative and adjudicative process (see Section D) will follow. If investigation and adjudication is not possible or is not the most appropriate means of addressing the allegations, CRTIX may take other actions depending on the circumstances, as described below. (These actions may also be taken in conjunction with an investigation).

I. Supportive Measures

Once CRTIX has received a report of an alleged Non-Discrimination Policy violation, a CRTIX staff member will engage in outreach to each known impacted Party to engage in a conversation about supportive measures. [\[7\]](#) Supportive measures are non-punitive, non-disciplinary individualized services offered as appropriate, as reasonably available, and without fee or charge that are calculated to restore or preserve access to Middlebury educational or employment programs, activities, and resources, or to provide support during an informal resolution process or an investigative resolution process. It is not necessary to participate in an investigation process in order to request supportive measures from Middlebury. Common supportive measures include: counseling; extensions of deadlines and other course-related adjustments; campus escort services; increased security and monitoring of certain areas of the campus; mutual restrictions on contact applied to one or more individuals; leaves of absence; changes in class, work, housing, or extracurricular activity, regardless of whether there is or is not a comparable alternative; and training and education programs related to protected personal characteristic-based harm.

A Party seeking a supportive measure should request the measure by communicating with CRTIX, which will determine which supportive measures are reasonably necessary and appropriate. CRTIX will notify both Parties, as appropriate, of approved, individualized supportive measures, and may deny, modify, or terminate any supportive measure based on all available information. Parties may also seek additional supportive measures or modification or termination of a supportive measure applicable to them if circumstances change materially. An impartial Middlebury employee, within CRTIX or otherwise, will determine whether or not to modify or reverse any initial decision to provide, deny, modify, or terminate supportive measures applicable to the Party requesting review. The question for consideration in such reviews will be whether the supportive measure under review is consistent or inconsistent with the definition of supportive measure stated above.

Middlebury will maintain as confidential any supportive measures provided to the Reporting Individual or Respondent, to the extent that maintaining such confidentiality would not impair the ability of Middlebury to provide the supportive measures.

All individuals are encouraged to report concerns about the failure of another individual to abide by any restrictions imposed by a supportive measure to the Security Department (831-647-4153) after hours or in an emergency or to CRTIX in circumstances outside of an emergency situation. Middlebury will take prompt and responsive action to enforce supportive measures, which may include additional supportive measures and/or disciplinary penalties under student or employment disciplinary policies, as applicable.

Middlebury may, as appropriate, modify or terminate supportive measures at the conclusion of any informal resolution process or investigative resolution process, or may continue them in the same or modified form beyond that point.

II. Emergency Removal and/or Administrative Leave

If a report indicates a risk of violence or an emergency situation, Middlebury may remove someone

from its educational programs or from employment consistent with its [Emergency Removal Policy](#) and/or its Threat Assessment & Management practices. When the Respondent is an employee, or a student employee accused of misconduct in the course of their employment, Middlebury may take action in the form of placing the employee on paid or unpaid Administrative Leave, depending on the circumstances.

III. Informal Resolution (Adaptable Resolution)

Reports to CRTIX that fall under this Procedure may be resolved through informal or “adaptable” resolutions, when appropriate. At all stages of the resolution process (including after an investigation has commenced), the CRTIX Coordinator, when appropriate, may make available to the Parties informal resolution options for resolving complaints. In assessing whether informal resolution is appropriate, the CRTIX Coordinator may consider factors such as, for example, (1) the nature of the allegations, (2) the agreement of the Reporting Individual and the Respondent, (3) other relevant factors such as any disability of the Reporting Individual or the Respondent, (4) any history of misconduct or other policy violations by the Respondent, and (5) whether the alleged conduct would present a future risk of harm to others. A Party will not be required to engage in informal resolution and may end the informal resolution process at any time. If a Party ends the informal resolution process or if the CRTIX Coordinator determines that the process is either not appropriate or is unsuccessful, CRTIX shall continue to respond to the allegations in accordance with this Procedure. To proceed with informal resolution, the CRTIX Coordinator must obtain the Parties’ voluntary, written consent to the informal resolution process. Middlebury will not require or pressure a Party to participate in informal resolution or to engage in any particular form of informal resolution, and will not require waiver of applicable rights to participate in investigative resolution as a condition of enrollment or continuing enrollment, or employment or continuing employment, or exercise of any other right.

IV. Referral to the Community Bias Response Team (CBRT)

When reports made to the CRTIX office do not fall within the scope of the Non-Discrimination Policy but involve behavior that allegedly has a negative impact based on protected personal characteristics, CRTIX may refer the matter to the Community Bias Response Team ([Middlebury CBRT](#)) to be addressed under CBRT’s procedures.

It is not the purpose of CBRT to investigate, arbitrate, or to take the place of other Middlebury administrative processes; CBRT does not initiate disciplinary action or impose sanctions regarding bias incidents.

D. Investigation and Adjudicative Process

I. INITIAL ASSESSMENT

Upon receipt of any report coming to CRTIX’s attention, the CRTIX Coordinator conducts an initial assessment to determine whether additional steps by CRTIX are necessary. For matters clearly not implicating the Non-Discrimination Policy and for which a person has not requested an investigation by CRTIX, the CRTIX Coordinator may refer the matter to another Middlebury office or unit and may communicate back with the Reporting Individual that no further action will be taken by CRTIX.

Regardless of whether the CRTIX Coordinator determines that additional steps by CRTIX are necessary, the CRTIX Coordinator will engage in outreach to the impacted individual(s) and will offer supportive measures if applicable.

For those matters that require additional steps by CRTIX—i.e., matters where someone is explicitly asking for a CRTIX investigation or matters that are determined by the CRTIX Coordinator to potentially implicate the Non-Discrimination Policy—the CRTIX Coordinator informs an HRO [\[8\]](#) who in turn performs

the following evaluation.

II. HRO EVALUATION

The HRO (or designee) conducts an initial evaluation, for the purpose of determining whether an investigation can proceed, in which they assess:

1. Whether the Respondent and the alleged Conduct are under Middlebury's authority; and
2. Whether the alleged conduct, if shown by a preponderance of the evidence to have actually occurred, could be a violation of the Non-Discrimination Policy.[\[9\]](#)

The HRO (or designee) may seek to obtain sufficient additional information to make this evaluation if the information available is insufficient on its own to allow for these assessments to be accurately made.

If the HRO (or designee) determines that both factors have been met and thus that an investigation can proceed, this information will be communicated back to the Reporting Individual by the CRTIX Coordinator, along with information about what the investigative process entails and information about

alternative informal processes for resolving the matter (if applicable). The CRTIX Coordinator will also solicit the Reporting Individual's input as to whether the Reporting Individual wishes to proceed with an investigation. This input is given careful consideration but does not control whether an investigation will start.

Middlebury's obligation to respond to reported Prohibited Conduct occurring in its programs and activities may necessitate CRTIX to investigate even in situations where a Reporting Individual has not requested an investigation or has indicated that they do not wish to proceed with an investigation. The CRTIX Coordinator retains the discretion as to whether an investigation is initiated.

The CRTIX Coordinator will consider, at a minimum, the following factors:

1. The severity of the alleged violation, including whether the violation, if established, would require the removal of a Respondent from campus or imposition of another disciplinary sanction to end the violation and prevent its recurrence;
2. The risk that additional violations would occur if an investigation is not initiated;
3. The scope of the alleged violation, including information suggesting a pattern, ongoing violations, or impacts to multiple individuals;
4. The age and relationship of the Parties, including whether the Respondent is an employee;
5. The availability of evidence to assist a decision-maker in determining whether a violation occurred;
6. The Reporting Individual's request not to proceed with initiation of an investigation;
7. The Reporting Individual's reasonable safety concerns regarding initiation of an investigation; and
8. Whether other options exist to end the violation and prevent its recurrence without initiating an investigation.

III. CLOSURE BASED ON HRO EVALUATION

In matters where the HRO determines either (i) that the alleged conduct, if it were established by a preponderance of the evidence, would not constitute a violation of the Non-Discrimination Policy or (ii)

that the Respondent and/or the reported conduct are not subject to Middlebury's authority, the matter may be closed by CRTIX. When a matter is closed after the HRO's evaluation, the alleged conduct may be referred by CRTIX to another Middlebury office (including but not limited to Human Resources or Community Standards) or may be addressed under another resolution procedure, if applicable.

The CRTIX Coordinator will communicate back to the Reporting Individual(s) that an investigation is not available, and will offer supportive measures and/or refer the matter to another office or unit as applicable.

IV. INVESTIGATION

A. CRTIX decision re: Scope of the Investigation

Based upon their evaluation of the matter, the HRO will determine which allegations will be investigated, with which Respondent(s). Ordinarily the CRTIX Coordinator or Deputy CRTIX Coordinator will review a written version of the allegations with the Reporting Individual to confirm those assertions. In matters involving more than one Reporting Individual or more than one Respondent, CRTIX may consolidate the matters into a single investigation when the allegations arise out of the same facts or circumstances.

B. Written Notice issued

Once CRTIX has determined the scope of the investigation, the CRTIX Coordinator will ordinarily issue a written notice to the Parties,[\[10\]](#) along with a copy of the Non-Discrimination Policy and this Procedure. The notice will indicate that Middlebury is investigating the possibility that the Respondent has violated the Non-Discrimination Policy using this Procedure and will ordinarily include:

- Specific information about the investigation and adjudication process that is applicable to the matter;
- A statement of the allegations of behavior potentially constituting a violation of the Non-Discrimination Policy, including sufficient details known at the time. Sufficient details include the identities of the Parties involved in the incident, if known, the conduct allegedly constituting a violation of the Non-Discrimination Policy, and the date and location of the alleged incident, if known;
- A statement that retaliation is prohibited;
- The specific policies and offenses implicated by the alleged conduct;
- A statement that Middlebury's Non-Discrimination Policy prohibits knowingly making false statements, including knowingly submitting false information during the investigation and adjudication process; and
- The name of the investigator, along with information about the process to notify the CRTIX Coordinator of any conflict of interest that the investigator may have in advance of the interview process.

The Notice Letter may be amended as appropriate during the course of the investigation.

C. Appointment of an Investigator

The CRTIX Coordinator appoints investigators, either a Middlebury employee[\[11\]](#) or an external investigator, who serves as a neutral, impartial fact-finder. External investigators are supervised by a Middlebury HRO, including tracking the investigation for reasonable progress, assisting with the creation and maintenance of investigative records, and assisting the external investigator as necessary.[\[12\]](#) Other Middlebury officials may at times be called upon to assist with an investigation, depending on the circumstances.

In selecting the investigator for a particular matter, the CRTIX Coordinator will take care to select an individual who does not have a conflict of interest or bias against Reporting Individuals or Respondents generally or an individual Reporting Individual or Respondent. The CRTIX Coordinator will notify the Parties of the identity of the investigator before the formal investigation begins and Parties may, within 3 calendar days of such notice, object to the appointment of the investigator by providing a written statement (which may be transmitted electronically) as to why the Party believes that the investigator has a conflict of interest or bias. The CRTIX Coordinator will make decisions regarding such objections and the appointment of an alternate investigator, as necessary. The CRTIX Coordinator's decision about whether there is a conflict is final.

D. Fact Finding

The investigator is authorized to contact and schedule interviews with any and all individuals who may have relevant information. The nature and scope of the investigation is primarily within the discretion of the investigator. The investigator is authorized to access relevant records, except those legally protected as confidential or privileged or otherwise impermissible, [\[13\]](#) and may collect any additional evidence relevant to the matter. [\[14\]](#)

The Reporting Individual and Respondent will be asked to identify, preserve and submit all evidence (inculpatory and exculpatory) [\[15\]](#) pertaining to the matter under investigation, and to identify witnesses they believe may have relevant testimony to share. The investigator is not required to consider particular evidence submitted or to interview any particular witness, even if identified by one of the Parties. Interviews may be conducted in person, via videoconferencing (e.g., Zoom, Microsoft Teams), or by telephone. Interview participants may not make unauthorized recordings of investigative interviews or any other meetings occurring during the investigation and adjudication process.

All witnesses identified in connection with an investigation under this Procedure are expected to cooperate fully in the investigation by providing complete, accurate, and truthful information.

The investigation will be conducted in a prompt, thorough, fair, equitable, and impartial manner. If a Party or witness chooses not to participate in the investigation or becomes unresponsive, the investigator retains the right to continue it without their participation to ensure a prompt resolution.

The Parties may each have an Advisor present with them for any investigative interviews (or at any other meetings occurring during the investigation and resolution process). [\[16\]](#) Advisors are precluded from copying, sharing, describing, and/or otherwise disseminating any investigation- or adjudication-related materials to which they are privy, and they shall not disclose the contents of these materials to other Parties or individuals.

The advisor may advise the Party privately, but cannot act as a speaking advocate at any meeting or interview. An investigator or other Middlebury official may terminate meetings and remove or dismiss advisors if an advisor is disruptive or otherwise refuses to comply with the requirements of this Procedure.

E. Preparation of an Investigative Report

A written investigative report is completed by the investigator. The investigative report will impartially summarize the relevant evidence and may include as exhibits all evidence deemed by the investigator to be relevant and not otherwise impermissible. The investigator will provide the adjudicating HRO access to the investigative report and exhibits. [\[17\]](#)

The investigative report ordinarily will include proposed findings of fact based on the preponderance of the evidence standard (i.e., more likely than not). The investigative report will also ordinarily include the investigator's recommendation, based on a preponderance of the evidence standard, as to whether the

Respondent should be found responsible for violating the Policy provisions at issue.[\[18\]](#)

Submission of the investigative report to the adjudicating HRO ordinarily brings the fact-finding phase of the investigation and adjudication process to a close. In some instances an investigator may be asked to perform additional fact finding or make updates to the investigative report depending on the outcome of the adjudicating HRO's review of the report and evidence file or depending on other circumstances warranting additional fact finding to be performed by the investigator.

V. HRO ADJUDICATIVE PROCESS

Submission of the investigative report to the adjudicating HRO starts the adjudication phase.

The adjudicating HRO will review the investigative report and any attached exhibits. The HRO is authorized to request additional relevant information directly from the Parties or witnesses if the HRO believes it is necessary to do so before making a determination, including through requesting individual meetings with any Party or witness as appropriate.[\[19\]](#) Additionally, any Party may request a live meeting with the adjudicating HRO to take place before the determination is made.

The HRO determines whether a policy violation occurred using a preponderance of the evidence standard (i.e., is it more likely than not that the policy violation occurred). In making their determination, the HRO is in no way bound by the investigator's investigative report, which is advisory to the HRO. The HRO may accept or reject the investigator's recommended finding(s) or proposed findings of fact in whole or in part. The HRO will reach their own independent conclusions based on the evidence presented.

The HRO will prepare a written determination explaining the rationale behind the determination. The HRO will provide the Parties with notification of the determination, in writing (by electronic or other means), providing an appropriate level of detail consistent with applicable law, privacy practices, and the purposes of the Non-Discrimination Policy. If a policy violation is found, sanctions and remedial actions, as appropriate, will be assigned pursuant to Sections VII.D and VII.E below.

VI. APPEALS

Appeals of dismissals and of HRO determinations regarding responsibility are permitted.[\[20\]](#) Appeals of closures based on the HRO's evaluation (see Section D.III. above) are permitted but only in those matters where the Reporting Individual had specifically requested CRTIX to open an investigation. Appeal grounds are strictly limited to the following bases:

- Procedural irregularity that affected the outcome of the matter;
- New evidence has become available that could affect the outcome of the matter and that was not reasonably available at the time the determination regarding responsibility, the dismissal, or the closure after initial evaluation was made; and/or
- The CRTIX Coordinator (or designee), investigator, or decision-maker (HRO) had a disqualifying conflict of interest or bias for or against Reporting Individuals or Respondents generally, or the individual Reporting Individual or Respondent, that affected the outcome of the matter.

Appeals must be submitted in writing to the CRTIX Coordinator within 5 business days.[\[21\]](#) of the applicable CRTIX action, must articulate one of the permissible bases for appeal, and must provide information or evidence to support the appealing Party's argument.

For matters involving student Respondents, appeals will be decided by the Vice President of Student Affairs (or designee). For matters involving staff Respondents, appeals will be decided by the Vice President of Human Resources (or designee). For matters involving faculty Respondents, appeals will be decided by the Vice President of Academic Affairs (or designee) of the appropriate academic program.

Regardless of which Party appeals, both Parties may participate in the appeals process. Once an appeal is submitted, Middlebury's CRTIX office, in addition to forwarding the appeal to the appropriate appellate decision-maker, will notify the other Party and invite their response to the appeal.^[22] The other Party will be given a period of 5 business days to submit a statement in support of the determination and/or in opposition to the appeal.^[23]

The appellate decision-maker may deny the appeal, or if one or more of the appeal grounds have been met, may:

- Return the case to CRTIX for action;
- Return the case to the original HRO for reconsideration; or
- Appoint an alternate HRO to review the case for potential re-adjudication (if the original outcome was materially altered by bias or conflict of interest on the part of the adjudicating HRO).

It is the responsibility of the appellate decision-maker to determine which aspects of the case merit a new review, and to direct the CRTIX office or HRO accordingly.

The appellate decision-maker will issue a written decision on the appeal, including a brief explanation of their reasoning, which will be provided simultaneously to both Parties. A denial by an appellate decision-maker is final. In the event the appeal is accepted and the matter is returned to CRTIX or an HRO for reconsideration, the subsequent CRTIX action or HRO determination is final.

VII. OUTCOMES

After an investigation is started, there are several possible outcomes.

A. Dismissal

1. CRTIX may, at any time, dismiss a complaint under investigation, in whole or as to a particular Respondent, if it has been determined that:

- (i) The complained of conduct is the responsibility of a person who cannot reasonably be identified;
- (ii) The Respondent is not participating in any Middlebury education program or activity and is not employed by Middlebury; or
- (iii) The Reporting Individual voluntarily withdraws any or all of the allegations in the complaint, CRTIX declines to initiate a complaint, and CRTIX determines that, without the Reporting Individual's withdrawn allegations, the conduct that remains alleged in the complaint, if any, would not constitute a violation of the Non-Discrimination Policy even if established by a preponderance of the evidence.

2. CRTIX may elect to continue the investigation after dismissing the complaint as to a particular Respondent if:

- (i) There are one or more remaining named or identifiable Respondents whom the CRTIX Coordinator determines may potentially be responsible for the alleged conduct; or
- (ii) CRTIX determines that the complaint alleges a potential hostile environment based on a protected personal characteristic(s) even in the absence of an identifiable Respondent over which Middlebury retains disciplinary authority.

3. If a complaint is dismissed by Middlebury under the circumstances described above, Middlebury will simultaneously provide to the Parties written notice (by electronic or other means) of the dismissal and the reasons for the dismissal, and notice of the Parties' opportunity to appeal the dismissal through the appeal procedures outlined in Section V above.

An Informal Resolution (Adaptable Resolution) process may include an outcome agreement entered into between the Parties in which it is agreed upon that the charges from the written notice of allegation letter will be dismissed (including waiver of the Parties' right to appeal the dismissal). In such cases, the CRTIX Coordinator will dismiss the complaint, and appeal procedures will not be applicable.

B. Respondent Accepts Responsibility

At any point in the proceedings, if a Respondent elects to admit to the charged violations and waive further process, the adjudicating HRO is authorized to accept that admission, adopt it as their finding or determination, and implement sanctions. The Respondent has no right to appeal findings based on their acceptance of responsibility. If the Respondent admits to some but not to all conduct charged, the investigation and adjudication process continues to its conclusion with respect to the conduct not admitted to. The Reporting Individual retains their right to appeal a determination when a Respondent admits responsibility.

C. Finding of No Violation

If the adjudicating HRO determines that the investigation has produced insufficient evidence to find, by a preponderance of the evidence, that a violation of the Non-Discrimination Policy, or other relevant Middlebury policies, has occurred, the determination will be a finding of no policy violation. Under these circumstances, the full determination, or information obtained during the investigation, may still be shared with appropriate Middlebury officials to address concerns outside the scope of the Non-Discrimination Policy that come to light in the course of the investigation. (In most circumstances, consistent with Middlebury's amnesty policy for Non-Discrimination Policy investigations, concerns related to student substance use or minor rules infractions uncovered during the investigation will not be referred to other Middlebury officials for disciplinary action.)

D. Respondents Found Responsible

1. When the adjudicating HRO finds that the Respondent engaged in conduct that violates Middlebury policy, the CRTIX Coordinator will, in accordance with this Procedure, forward the case to the appropriate supervisory authority for the program at issue ("sanctioning authority"), who will assign a sanction or sanctions, and take other actions as appropriate. The sanctioning authority may collaborate with the adjudicating HRO in determining the appropriate sanction or sanctions.

In determining sanctions or other actions, the sanctioning authority will be guided by a number of considerations, including but not limited to the following:

- The severity, pervasiveness, and objective offensiveness of the behavior;
- The nature of the violation;
- The impact of the violation on the Reporting Individual;
- The impact or implications of the violation within the Middlebury community;
- Any prior misconduct by the Respondent, including the Respondent's relevant prior conduct history, at Middlebury or elsewhere;
- Whether the Respondent has accepted responsibility for the violation;
- The maintenance of a safe, nondiscriminatory and respectful environment conducive to living, learning, and working, as applicable; and
- Any other mitigating, aggravating, or compelling factors.

2. Sanctions and other actions will go into effect at either the expiration of the deadline for the submission of an appeal where no appeal is submitted, or at the conclusion of the appeal process if applicable.[\[24\]](#) This language does not preclude the possibility of emergency action as needed

in accordance with Section C.II.

3. Sanctions are assigned by the appropriate supervisory authority for the Respondent(s). When multiple supervisory authorities are involved in assigning sanctions, they may collaborate with each other as appropriate.
4. For students, the sanctioning authority is the Dean of Students, the Dean of the Language Schools, the VPAA of the Institute, the Dean of the Bread Loaf School of English, etc. (depending on the program the student is associated with).
5. For staff, the sanctioning authority is the appropriate Vice President (or designee) for the area or unit in which the staff member works.
6. If the adjudicating HRO finds that a faculty member has engaged in conduct that violates the Non-Discrimination Policy, or other Middlebury policies under investigation, if applicable (absent or following an appeal of that finding in accordance with this Procedure, if an appeal was granted), CRTIX will provide the case materials to the Provost. The Provost will initiate the appropriate procedures under the applicable Handbook policies and/or contracts. The Provost may also assign a designee—ordinarily the Vice President of Academic Affairs (VPAA)—to initiate the appropriate procedures under the applicable Handbook policies and/or contracts.
7. In any case in which a finding of a violation of the Non-Discrimination Policy has been issued, violation of a plan or directive to address the Prohibited Conduct or related sanction may be grounds for further discipline.

E. Recommendation of Steps to Ensure that Violations do not Continue or Recur

1. In any case where the adjudicating HRO determines that a violation of the Non-Discrimination Policy occurred that cannot be attributed solely to the actions of an identifiable Respondent, the adjudicating HRO will identify appropriate prompt and effective steps to ensure that violations of the Non-Discrimination Policy do not continue or recur within the Middlebury programs or activities reviewed during the investigation.
2. When the adjudicating HRO determines that remedial actions under this section must be implemented, the HRO will notify the Vice President(s) with oversight of the programs or activities reviewed during the investigation that a violation of the Non-Discrimination Policy has been found by providing a copy of the full determination prepared in accordance with Section D.IV, and will also provide a copy of the determination to the General Counsel, Chief Risk Officer and the Vice President of the Office of Institutional Diversity, Equity, and Inclusion.
3. The relevant Vice President(s) will identify relevant employees with knowledge and oversight of the program or activity at issue and direct that they promptly meet with the adjudicating HRO to discuss feasible steps to address the violation of the Non-Discrimination Policy found by the adjudicating HRO.
4. After consulting with the identified employees, the adjudicating HRO will provide the Vice President(s) with a list of remedial actions, which may include policy or practice changes and other steps designed to ensure that violations of the Non-Discrimination Policy do not continue or recur within the Middlebury programs or activities reviewed during the investigation. A copy of the remedial actions will also be provided to the General Counsel, Chief Risk Officer and the Vice President of the Office of Institutional Diversity, Equity, and Inclusion.

5. The recommended steps will include time frames for progress reporting on implementation that must be adhered to by the employees tasked with implementing the recommendations.
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[1] In accordance with Federal law, allegations of sexual harassment (and related retaliation) falling under the scope of Title IX are addressed through [Middlebury's Title IX Investigations & Resolutions Procedure](#).

[2] Students at the undergraduate College will be held accountable in accordance the Scope of Oversight provision in section [II.B.2.a.iii.](#) of the Handbook. Students at the Middlebury Institute of International Studies will be held accountable in accordance with the Scope of Oversight provision in section [IV.B.2.b.B.](#) of the Handbook.

[3] The CRTIX Coordinator is ultimately responsible for coordinating Middlebury's compliance with applicable anti-discrimination laws and administering the Non-Discrimination Policy and this Procedure, but may choose to delegate specific duties, obligations and functions described in the Non-Discrimination Policy and this Procedure. Therefore, all references to the CRTIX Coordinator in the

Non-Discrimination Policy and this Procedure should be read functionally as "CRTIX Coordinator or designee."

[4] Middlebury's HROs serve as the CRTIX Coordinator's designees for the purposes of overseeing investigations and adjudicating complaints under this Procedure.

[5] When an individual who otherwise would be a Confidential Resource receives information about Prohibited Conduct outside the context of their confidential provision of services to a patient or client, they are required to report such information to CRTIX.

[6] As one example, all individuals including Confidential Resources are typically obligated under state law to report instances of child abuse to the applicable state agency, or to make limited disclosures as necessary to address an imminent risk of physical harm to the reporting Party or another person. Exceptions to confidentiality are governed by the law of the state in which the Confidential Resource is located.

[7] In instances where a matter is being investigated, CRTIX will ordinarily offer supportive measures to the Respondent as well.

[8] The HRO's specific duties and functions described in subsection D.II. may be delegated or may fall to another HRO or another employee or agent of Middlebury as needed or as appropriate.

[9] For an investigation to be able to proceed, both factors must be met.

[10] In cases where there is not a specific Respondent named, CRTIX will ordinarily send written notice to the Middlebury official responsible for the area or unit in which the alleged violation of Policy occurred.

[11] Ordinarily a HRO.

[12] Ordinarily the supervising HRO will also be the adjudicating HRO (who will ultimately render a decision as to whether the Non-Discrimination Policy was violated).

[13] The investigator may need to initially review relevant evidence that may or may not be otherwise impermissible, in order to determine whether an exception applies that would permit its consideration in the investigation.

[14] Middlebury recognizes, however, that individuals who are bound by legal privileges may not be able to disclose privileged information, unless an exception applies. The investigator will not access, consider, disclose or otherwise use a Party's privileged records without the Party's voluntary, written consent to do so, and such information will not be deemed relevant to an investigation or adjudication absent the voluntary, written consent of the Party.

[15] Inculpatory evidence refers to evidence that tends to show a Respondent's responsibility for the alleged Prohibited Conduct, whereas exculpatory evidence refers to evidence that tends to clear a Respondent from responsibility for the alleged Prohibited Conduct.

[16] CRTIX may permit Parties to have more than one Advisor, or an Advisor and a support person, upon special request. The decision to grant this request is at the CRTIX Coordinator's sole discretion and will be granted equitably to all Parties.

[17] Unless unforeseen circumstances arise necessitating otherwise, a Middlebury HRO will be the decision-maker responsible for rendering an official determination on behalf of the institution as to whether there was a violation of the Non-Discrimination Policy.

[18] Or, in the case of a matter that does not involve a particular identifiable Respondent, whether there was otherwise a violation of the Non-Discrimination Policy.

[19] In the event of such meetings taking place, it is standard practice for the HRO to record the meeting. In addition, each Party may have an advisor present with them when attending any meetings with the adjudicating HRO.

[20] Appeals of dismissals following successful completion of an informal resolution process are excepted, as ordinarily in such matters there is an outcome agreement entered into between the Parties in which it is agreed upon that the charges from the written notice of investigation will be dismissed (including waiver of the Parties' right to appeal the dismissal).

[21] CRTIX or the appellate decision-maker may extend this deadline if warranted by the circumstances.

[22] In matters where a Reporting Individual appeals a closure after initial determination, however, CRTIX does not notify the Respondent.

[23] In matters where the HRO's Determination is being appealed, the adjudicating HRO will also ordinarily provide to the applicable appellate decision-maker a response to the appealing Party's appeal request.

[24] In matters where an appeal is not applicable, the sanction(s) and/or other action(s) will go into effect immediately.

B.1.b.(1) TIX Investigation and Resolutions Procedure

- I. What This Procedure Covers
 - II. Reporting Title IX Sexual Harassment
 - III. Formal Complaints/Grievances & Pre-Investigation
 - IV. Investigations
 - V. Hearings and Determinations of Responsibility
 - VI. Sanctioning
 - VII. Appeals
 - VIII. Admission of Responsibility
 - IX. Informal Resolution
 - X. Training of Individuals Conducting Investigations and Adjudications and Facilitating Informal Resolution Processes
 - XI. Record Keeping
- APPENDIX A

I. What This Procedure Covers

This Title IX Formal Grievance Procedure ("Procedure") applies to instances of Sexual Harassment (as defined by May 2020 U.S. Department of Education Title IX regulation) which occur on or after August 14, 2020.^[1] All references to Sexual Harassment within this Procedure are intended to apply to and comply with the definition of Sexual Harassment as defined by Department of Education in its Title IX regulation

Middlebury may, in exceptional circumstances, vary from this Procedure. So long as such a variation is consistent with Title IX regulations and allows for fundamental fairness in the processes followed, variations from this process under those circumstances will not invalidate an outcome.

Except as otherwise specified herein, this Procedure applies to faculty, staff and students, as well as to others who participate or attempt to participate in Middlebury's programs and activities. This includes the undergraduate college, the Language Schools, the School of the Environment, MiddCore, Bread Loaf School of English, Bread Loaf Writers' Conference, and the Middlebury Institute of International Studies at Monterey ("the Institute").^[2] Faculty and staff are, together, referred to as "Employee" or "Employees" in this Procedure.

This Procedure applies to Sexual Harassment as defined by the Department of Education and as set forth in the [Non-Discrimination Policy](#) so long as the following conditions are met:

- (i) The alleged conduct was perpetrated against a person in the United States; and
- (ii) The alleged conduct took place within Middlebury's programs and activities, meaning that the conduct occurred in a location, at an event, or in a circumstance where Middlebury exercises substantial control over both the respondent and the context in which the conduct occurs, or in any building owned or controlled by a student organization recognized by Middlebury; **and**, the Reporting Individual^[3] is participating in or attempting to participate in Middlebury's programs or activities.

Conduct that occurs off campus in locations or at events without direction or control by Middlebury does not meet the definition of occurring in "a program or activity of Middlebury". Such conduct may be prohibited under other Middlebury policies, including the Non-Discrimination Policy provisions addressing forms of discrimination other than Title IX Sexual Harassment, and is addressed using different procedures. Only behavior meeting the definitional requirements of this section will be addressed utilizing this Procedure. Where a complaint alleges other Middlebury policy violations arising out of the same facts and circumstances as allegations that must be addressed utilizing this Procedure, Middlebury may, in its discretion, address those other alleged violations through this Procedure.

[1] Conduct that occurred prior to August 14, 2020, will be investigated and adjudicated consistent with the procedures detailed in the [SMDVS Policy](#) and/or the [Anti-Harassment/Discrimination Policy](#) in effect during Academic Year 2019-2020, prior to the August 14, 2020, effective date of the Department of Education's May 2020 regulations.

[2] Note that alleged Prohibited Conduct occurring within the Schools Abroad is covered under the Non-Discrimination Investigations & Resolutions Procedure.

[3] The terms "Complainant" and "Reporting Individual" have the same meaning for the purposes of this Procedure.

II. Reporting Title IX Sexual Harassment

In order to fulfill its commitment to fostering a safe and inclusive learning environment, Middlebury values reporting of all types of sexual harassment, which includes sexual assault. Any person who believes that they have been subject to Title IX Sexual Harassment, or who has reason to believe that Title IX Sexual Harassment has occurred or is occurring, should report this information to the immediate attention of the Civil Rights and Title IX Coordinator ("CRTIX Coordinator") or to the Deputy Civil Rights and Title IX Coordinator ("Deputy CRTIX Coordinator") at:

Butterfly Blaise Boire

Civil Rights and Title IX Coordinator
Middlebury College
Service Building, Room
213 84 S Service Rd
Middlebury, VT 05753
802.443.2147
bboire@middlebury.edu

Taryn Moran

Deputy Civil Rights and Title IX Coordinator
Middlebury College
Service Building, Room
218 84 S Service Rd
Middlebury, VT 05753
802.443.5840
tarynm@middlebury.edu

A report or complaint may be made verbally (in person, by phone, or videoconferencing) during applicable business hours, or in writing (via mail or email) 24 hours per day, 7 days per week. You may also report to knowyourrights@middlebury.edu, by using the online reporting tool at *go/report*, or by visiting this [link to the official reporting form](#). Please note that while a report may be made anonymously, this will limit Middlebury's ability to take action to provide supportive measures or to address the situation.

Middlebury's CRTIX office staff also includes Human Relations Officers ("HROs") who are able to receive reports of alleged violations of the Non-Discrimination Policy in the event that the CRTIX Coordinator or Deputy CRTIX Coordinator are not available. For more information about the CRTIX office staff (including contact information), please visit <https://www.middlebury.edu/title-IX/about>. Concerns about discrimination, harassment, or related retaliation engaged in by any member of the

CRTIX office should be directed to Deputy General Counsel and Risk Officer, Sue Ritter, at sritter@middlebury.edu or calling her at 802.443.3289.

Which Middlebury Employees Must Report Sexual Harassment?

With the exception of certain persons designated as "Confidential Resources," as described below, all Middlebury employees must report any incident that could constitute Sexual Harassment involving a Middlebury student, employee or other covered person, to the CRTIX Coordinator, Deputy CRTIX Coordinator and/or an HRO. This requirement applies to student-employees who receive such information in the context of their employment. Failure of an employee to report as required is a violation of Middlebury Policy and can subject an employee to disciplinary action.

The report should include relevant details about the incident of which they are aware, including the names of the parties, any witnesses, and any other relevant facts, including the date, time, and specific location of the alleged incident. To the extent possible, information reported will be shared with others only to the extent necessary to respond to the situation and support the parties and in accordance with state and federal law.

A. WHO ARE CONFIDENTIAL RESOURCES?

Confidential Resources include medical and support staff of the Center for Health and Wellness (including SANE nurses), Chaplains within the Scott Center, and staff within Counseling Services who are required by law to maintain confidentiality.

Middlebury encourages individuals to use confidential services. Individuals who seek those services should understand that confidentiality is not absolute and that those resources may have ethical or legal obligations to report certain information to others. As one example, Confidential Resources are typically obligated under state law to report instances of child abuse, or to make limited disclosures as necessary to address an imminent risk of physical harm to the reporting Party or another person. Exceptions to confidentiality are governed by the law of the state in which the Confidential Resource is located.

B. PARTICIPATION AND COOPERATION

All members of Middlebury's community covered by the Non-Discrimination Policy and identified in connection with an investigation under this Procedure are expected to cooperate and provide complete, accurate, and truthful information. Middlebury prohibits intentionally making a false report or providing false or misleading information in any investigation under this Procedure. Reporting Individuals will not be deemed to have provided false or misleading information under this Procedure if their factual allegations are intended to be truthful and are made in good faith, regardless of whether the conduct complained of is ultimately found to constitute a policy violation.

Witnesses may be asked to keep the substance of the investigation interview confidential to preserve the integrity of the investigation.

C. SUPPORTIVE MEASURES

Supportive measures are non-disciplinary, non-punitive individualized services offered as appropriate, as reasonably available, and without fee or charge to the Reporting Individual or the Respondent before or after the filing of a formal complaint or where no formal complaint has been filed. Such measures are designed to restore or preserve equal access to the recipient's education program or activity without unreasonably burdening the other party, including measures designed to protect the safety of all parties or the recipient's educational environment, or deter sexual harassment.

Common supportive measures include: counseling; extensions of deadlines and other course-related adjustments; campus escort services; increased security and monitoring of certain areas of the campus; restrictions on contact applied to one or more parties; leaves of absence; changes in class, work, housing, or extracurricular or any other activity, regardless of whether there is or is not a comparable alternative; and training and education programs related to sexual based harassment.

It is not necessary to file a complaint with Middlebury, participate in an adjudication process, or file a criminal complaint in order to request supportive measures from Middlebury.

Where a Reporting Individual reportedly subjected to Sexual Harassment makes a request for supportive measures like those described above, Middlebury will consider the request and provide those supportive measures which are reasonably available and reasonably allow for the preservation or restoration of access to or participation in Middlebury programs.

Students or employees seeking supportive measures should direct their request to the CRTIX Coordinator, Deputy CRTIX Coordinator, Human Resources, Student Affairs official, or program director, as appropriate. The request will be evaluated and responded to by the CRTIX Coordinator or designee (e.g. Deputy CRTIX Coordinator, Student Affairs official, or program director) after consultation, as needed, with the appropriate authority or authorities for the school or program at issue. The CRTIX Coordinator is ultimately responsible for coordinating the effective implementation of supportive measures. Factors to be considered in determining whether to provide certain supportive measures may include the following:

- the specific need expressed by the party;
- the severity and/or pervasiveness of the allegations;
- any continuing effects on the party;
- whether the parties share the same residence hall, dining hall, class, extracurricular activities, transportation and/or job location;
- whether other judicial measures have been taken to protect a party or the parties; and
- whether other measures are reasonably available to support the individual.

Middlebury will maintain as confidential any supportive measures provided to a party, to the extent that maintaining such confidentiality would not impair the ability of Middlebury to provide the supportive measures. As such, Middlebury may need to disclose some information about the individual to a third party to provide the supportive measures in a timely manner. The following individuals are typically responsible for determining what information should be disclosed and to whom it should be disclosed: CRTIX Coordinator, an HRO, Student Affairs official, Security officer or program director. The decision to disclose information will be made after careful consideration of possible alternatives to disclosure and/or limiting the information provided to the third party as much as possible without compromising Middlebury's ability to provide the supportive measures.

D. EMERGENCY REMOVAL AND ADMINISTRATIVE LEAVE

Nothing in this Procedure limits Middlebury's rights to terminate or suspend the employment of any staff or faculty member under otherwise-applicable Middlebury policies, practices, contracts, or procedures.

1. Emergency Removal

Where there is an immediate threat to the physical health or safety of any student or other individual arising from the alleged Title IX Sexual Harassment or related conduct, Middlebury can remove a Respondent from its education program or activity (which may include removing an employee

Respondent from their employment at Middlebury) and issue any necessary related no-trespass and no-contact orders. Middlebury will make the decision to remove a Respondent from its education program or activity based on an individualized assessment and risk analysis and will do so consistent with its [Emergency Removals Policy](#) and/or its Threat Assessment & Management practices. If Middlebury makes such a decision, the Respondent will be provided with notice and an opportunity to challenge the decision immediately following the removal.

2. Administrative Leave for Employees

When the Respondent is an employee, or a student employee accused of misconduct in the course of their employment, Middlebury may take action in the form of placing the employee on paid or unpaid Administrative Leave, depending on the circumstances.

E. OPTIONS TO PURSUE CRIMINAL OR LEGAL ACTIONS (REPORTING OUTSIDE OF MIDDLEBURY)

1. Criminal Complaints

Any student or employee may pursue criminal charges with local, state, or federal law enforcement agencies. Middlebury will offer and, upon request, provide assistance to individuals covered under this procedure with notifying law enforcement agencies. These options are available regardless of whether an individual chooses to file a complaint with Middlebury. Individuals have the option to notify such agencies with or without assistance from Middlebury and have the option not to personally notify such authorities.

2. Orders of Protection

Middlebury does not have the authority to issue Relief From Abuse Orders, Orders of Protection or Restraining Orders as these are granted by the court system. Individuals who have experienced Title IX Sexual Harassment may be eligible to pursue Orders of Protection, Restraining Orders and/or Relief from Abuse Orders from courts in the United States or courts outside of the United States as applicable. Middlebury will offer its support to individuals if they request Middlebury's assistance with making contact with law enforcement authorities and other external resources to seek such orders. Middlebury will respect such orders to the extent applicable.

3. Assistance by Middlebury

Requests for assistance with contacting law enforcement authorities or obtaining an Order of Protection, a Relief from Abuse Order, a Restraining Order or other lawful order may be made in person, via email, phone or teleconference to the CRTIX Coordinator, Deputy CRTIX Coordinator an HRO, or Public Safety.

III. Formal Complaints / Grievances & Pre-Investigation

In addition to the reporting options and access to supportive measures addressed above, a Reporting Individual may file a formal written complaint alleging Title IX Sexual Harassment against a Respondent and requesting that Middlebury investigate the allegation. At the time of filing a formal complaint, a Reporting Individual must be participating in or attempting to participate in Middlebury's programs or activities. A formal complaint may be filed with the CRTIX Coordinator or Deputy CRTIX Coordinator in person, by mail, or by electronic mail, by using the contact information contained in Section II, above.

A. PRE-INVESTIGATION: DETERMINATIONS OF APPLICABLE PROCEDURES, DISMISSALS, TRANSFERS AND APPEALS OF SUCH DETERMINATIONS

1. Middlebury will investigate alleged Title IX Sexual Harassment where a Reporting Individual submits a signed or electronically submitted formal complaint to the CRTIX Coordinator (or designee), requests an investigation, and the signed written complaint meets the requirements

of this section. In cases where the Reporting Individual does not wish to submit a formal complaint but the CRTIX Coordinator decides in their discretion to sign a complaint and initiate an investigation and resolution process, the CRTIX Coordinator will not be a complainant or otherwise a party to the matter. Middlebury may terminate the Title IX Sexual Harassment Investigation if a Reporting Individual notifies the CRTIX Coordinator in writing that the Reporting Individual would like to withdraw the formal complaint.

2. When a Reporting Individual requests an investigation,
 - (a) the CRTIX Coordinator will, promptly upon receipt of a report, determine whether:
 - i) the conduct alleged would, if proved, constitute Title IX Sexual Harassment (i.e., Quid Pro Quo Sexual Harassment, Severe, Pervasive and Objectively Offensive Sexual Harassment, Sexual Assault, or sex-based Domestic Violence, Dating Violence or Stalking) as defined in the Non-Discrimination Policy as Title IX Sexual Harassment;
 - ii) the conduct allegedly occurred in Middlebury's education program or activity; and
 - iii) the conduct allegedly occurred in the United States.
 - (b) the CRTIX Coordinator/HRO or designee may gather additional information as appropriate before making the determinations required by part (a) of this subsection. During any such initial inquiry, all individuals are expected to cooperate.
3. If some but not all of the conduct alleged in the complaint satisfies all 3 of these elements and a formal complaint is received from a Reporting Individual or signed by the CRTIX Coordinator, Middlebury may choose to address the entire matter through this Title IX Sexual Harassment Procedure (that is, it will as required by federal regulations follow Title IX Sexual Harassment procedures to address the alleged Title IX Sexual Harassment, and it may, to promote efficiency or for other compelling reasons, choose to follow this Title IX Sexual Harassment Procedure to address other prohibited conduct in such mixed cases, so that all related misconduct may be addressed through one investigation and resolution process).
4. If it appears based upon initial review or upon information gathered during an investigation that the matter does not satisfy and/or no longer satisfies all 3 of these elements, Middlebury will, as required by Title IX regulations, dismiss the matter from the Title IX Sexual Harassment Procedure, and may transfer it for handling under the Non-Discrimination Investigation and Resolutions Procedure or other Middlebury procedures, as deemed appropriate by Middlebury.
5. Even if the allegations of a matter fall within the definition of Title IX Sexual Harassment, Middlebury may (but is not required to) dismiss a formal complaint or any allegations therein if at any time during the investigation or resolution process:
 - A Reporting Individual notifies the Title IX Coordinator in writing that the Reporting Individual would like to withdraw the formal complaint or any allegations therein;
 - The Respondent is no longer enrolled or employed by Middlebury; or
 - Specific circumstances prevent Middlebury from gathering evidence sufficient to reach a determination as to the formal complaint or allegations therein.
6. If a formal complaint is dismissed by Middlebury under the circumstances described above, Middlebury will simultaneously provide to the parties written notice (by electronic or other means) of the dismissal and the reasons for the dismissal, and notice of the parties' opportunity

to appeal such dismissal through the Title IX Sexual Harassment appeal procedures outlined below.

7. If the Respondent is a Student and an Employee, the CRTIX Coordinator will determine which procedures apply based upon the facts and circumstances, such as whether the Respondent's status as a Student or an Employee predominates in the context of the Prohibited Conduct. If a Student-Employee is found to have engaged in Prohibited Conduct, the Student-Employee may be subject to sanctions both in connection with their employment and in connection with their student status, as appropriate under these and other applicable procedures.

Additional Policy Violations:

1. If the Civil Rights & Title IX office ("CRTIX") becomes aware, at any point prior to or during the investigation and adjudication process, of additional allegations against the Respondent that, if proven, could constitute violations of other non-academic policies, including harassment or discrimination investigated under Middlebury's Non-Discrimination Investigations & Resolutions Policy, these allegations may, at Middlebury's discretion, be investigated and adjudicated in accordance with the procedures set forth herein. In matters involving Employees, where CRTIX becomes aware of additional allegations of violations of Middlebury Policy, those concerns will be reported to the Employee's appropriate supervisory authority (e.g., VPAA, Dean of the Faculty, VPAA/Dean of the Institute, VPAA/Dean of the Language Schools, Executive Vice President/ Provost), who, in consultation with Human Resources, will consider whether and how to proceed under the relevant Faculty Handbook or Employee Handbook procedures and/or applicable contracts or policies.
2. If one party alleges that another party violated a No Contact Order (NCO) or No Trespass Notice (NTN) and makes the allegations at a time when they can be evaluated during an ongoing Title IX Sexual Harassment investigation/adjudication or after the Title IX Sexual Harassment investigation/adjudication process has been completed, the CRTIX will determine how to proceed.
3. If CRTIX does not assume jurisdiction, the allegations may be addressed through Middlebury's conduct process for the appropriate school or program, or through the appropriate employment process. Alternatively, CRTIX may designate an appropriate Middlebury official(s) to oversee and/or conduct an investigation and adjudicate the matter. If a violation of a NCO or NTN is found, the matter will be referred to the appropriate supervisory authority for discipline and/or other appropriate action in accordance with existing handbook policies.
4. If CRTIX assumes jurisdiction over the allegations and deems an investigation to be necessary, the HRO will determine the scope, process, and timeline of the NCO/NTN investigation and adjudication, which may not necessarily follow the procedures outlined in this Title IX Investigation & Resolutions Procedure, if CRTIX determines that using other procedures is more appropriate. CRTIX has the discretion to determine whether an advisor of choice may be present at any processes or meetings related to an NCO/NTN investigation, depending on the circumstances. Both parties will have an opportunity to review and respond to all evidence relevant to the NCO/NTN investigation according to a timeline established by the HRO. The HRO will make a finding and will refer the matter to the appropriate sanctioning authority if a violation is found. Should the HRO determine that an NCO/NTN violation may also constitute retaliation, the parties will be notified in writing that retaliation will be added to the policies under consideration in the Title IX Sexual Harassment investigation or be part of a subsequent investigation, as applicable.

5. In all cases the parties will receive written notification if the CRTIX determines that additional possible policy violations will be investigated and adjudicated in accordance with the Title IX procedures set forth herein or through a different set of procedures.

B. BIAS

If either party is concerned that an official involved in the investigation or adjudication (such as, for example, an investigator, CRTIX Coordinator, or HRO) may be biased or have a conflict of interest, the party should share their concerns immediately. Concerns of this nature about the investigator should be shared with the CRTIX Coordinator; concerns about the CRTIX Coordinator or an HRO should be shared with the Vice President of Equity & Inclusion. The official with whom the concern is shared will consider the concern and inform the parties of a decision as to whether any related action is appropriate and whether an alternate will be appointed. If an alternate investigator, HRO, or CRTIX Coordinator is appointed, the alternate shall have the same authority as the originally designated official.

C. ADDITIONAL PROCEDURES FOR DISMISSED COMPLAINTS

If the CRTIX Coordinator dismisses a complaint under subsections (A)(1) or (A)(6) of this Part III, the CRTIX must promptly:

1. inform the parties that the dismissal is appealable in accordance with the appeal provisions described in this Procedure, below; and
2. consider whether the conduct alleged, if proved, would constitute a violation of Middlebury policy that should be investigated and adjudicated in accordance with another Middlebury policy, and initiate applicable procedures accordingly.

IV. **Investigations**

A. INVESTIGATIONS PROCEDURES

1. **General Investigation Provisions**

As required by the 2020 Title IX regulations, in investigations conducted under this Procedure, the following provisions will apply:

- The burden of proof and the burden of gathering evidence sufficient to reach a determination regarding responsibility rest on Middlebury and not on the parties;
- Parties will have an equal opportunity to present witnesses, including fact and expert witnesses, and other inculpatory and exculpatory evidence;
- Parties are not restricted in their ability to discuss the allegations under investigation or to gather and present relevant evidence; and
- When a party is invited or expected to attend a hearing, investigative interview, or other meeting, they will receive written notice of the date, time location, participants, and purpose of such a hearing, investigative interview or meeting, with sufficient time for the party to prepare to participate.

2. **Initial Notice of Investigation**

Once the CRTIX Coordinator has initiated an investigation under this Procedure and determined the scope of the investigation based on information obtained during the pre-investigation stage,

the CRTIX Coordinator or designee will issue a written notice to the parties, along with a copy of the Non-Discrimination Policy and this Procedure. The notice will indicate that Middlebury is investigating the possibility that the Respondent has violated the Non-Discrimination Policy using this Procedure and will include at a minimum:

- Information about Middlebury's formal and informal resolution processes;
- A statement of the allegations of behavior potentially constituting Title IX Sexual Harassment, including sufficient details known at the time and with sufficient time to prepare a response before any initial post-intake interview. Sufficient details include the identities of the parties involved in the incident, if known, the conduct allegedly constituting Title IX Sexual Harassment, and the date and location of the alleged incident, if known;
- A statement that the Respondent is presumed not responsible for the alleged conduct and that a determination regarding responsibility is made at the conclusion of the grievance process;
- Information regarding Middlebury's presumption of good faith reporting and a summary of false complaint information outlined below;
- Notification that the parties may have an advisor of their choice, who may be, but is not required to be, an attorney; and
- Notification that the parties may inspect and review evidence during the investigation and resolution process, as provided below.

If, in the course of an investigation, Middlebury decides to investigate allegations about any party that are not included in the notice described above, it will provide notice of the additional allegations to the parties whose identities are known; Notice letters may be amended as appropriate during the course of the investigation, with parties being apprised of such by CRTIX when that occurs.

3. Consolidation of Formal Complaints

Middlebury may consolidate formal complaints of Title IX Sexual Harassment against more than one respondent, or by more than one Reporting Individual against one or more Respondents, or by one party against the other party, where the allegations of such Title IX Sexual Harassment arise out of the same facts or circumstances. Where a grievance process involves more than one Reporting Individual or more than one Respondent, references in this section to the singular "party," "Reporting individual," or "Respondent" include the plural, as applicable.

4. Disabilities

Individuals needing disability accommodations to fully participate in the investigation process may work with the CRTIX Coordinator to receive appropriate assistance. Accommodation requests may be made directly to the CRTIX Coordinator. Accommodations are determined on an individual basis in consultation with the ADA Coordinators within the Disability Resource Center and/or HR (in the case of employees).

B. CONDUCT OF THE INVESTIGATION

1. The CRTIX Coordinator will appoint an investigator, either a Middlebury employee or an external investigator, who serves as a neutral, impartial fact-finder. External investigators are supervised by a Middlebury HRO, including tracking the investigation for timely progress, communicating with parties about the progress, assisting with the creation and maintenance of investigative records, and assisting the external investigator as necessary. Other Middlebury officials may at times be called upon to assist with an investigation, depending on the circumstances. In selecting the investigator for a particular matter, the CRTIX Coordinator will take care to select an individual who does not have a conflict of interest or bias against Reporting Individuals or Respondents generally or an individual Reporting Individual or Respondent. The CRTIX Coordinator will notify the parties of the identity of the investigator and parties may, within three calendar days of such notice, object to the appointment of the investigator by providing a written statement (which may be transmitted electronically) as to why the party believes that the investigator has a conflict of interest or bias. The CRTIX Coordinator will make decisions regarding such objections and the appointment of an alternate investigator, as necessary. The CRTIX Coordinator's decision about whether there is a conflict is final.
2. The investigator is authorized to contact any and all individuals who may have relevant information. The nature and scope of the investigation is within the discretion of the investigator. The investigator is authorized to access relevant records, except those legally protected as confidential or privileged, and may collect any additional evidence relevant to the complaint. Middlebury recognizes, however, that individuals who are bound by legal privileges may not be able to disclose privileged information, unless an exception applies.

The investigator will not access, consider, disclose or otherwise use a party's privileged records without the party's voluntary, written consent to do so, and such information will not be deemed relevant to an investigation or adjudication absent the voluntary, written consent of the party.
3. The Reporting Individual and Respondent will be asked to identify, preserve and submit all evidence pertaining to the matter under investigation, and to identify witnesses they believe may have relevant testimony to share. The investigator is not required to consider particular evidence submitted or interview any particular witness, even if identified by one of the parties.
4. All participants in the investigation are expected to provide complete, accurate, and truthful information.
5. Interviews may be conducted in person, via videoconferencing (e.g., Zoom, Microsoft Teams), or by telephone. Interview participants may not make unauthorized recordings of investigative interviews or any other meetings occurring during the investigation and adjudication process.
6. The Parties may each have an Advisor of choice present with them for any investigative interviews (or at any other meetings occurring during the investigation and resolution process).
7. Advisors are precluded from copying, sharing, describing, and/or otherwise disseminating any investigation- or adjudication-related materials to which they are privy, and they shall

not disclose the contents of these materials to other Parties or individuals.

8. The advisor may advise the Party privately but cannot act as a speaking advocate at any investigatory meeting or interview. An investigator or other Middlebury official may terminate meetings and remove or dismiss advisors if an advisor is disruptive or otherwise refuses to comply with the requirements of this Procedure.

C. PRELIMINARY REPORT

1. After the Reporting Individual and the Respondent have had the opportunity to make their own statement and to identify witnesses and other potential information, and the investigator has completed witness interviews and the gathering of evidence, the investigator will prepare a preliminary report.
2. The preliminary investigative report may include proposed findings of fact based on the preponderance of the evidence standard (i.e., more likely than not), and may analyze how those findings relate to the Prohibited Conduct definitions at issue, but it will not include a recommendation regarding whether the Respondent should be found responsible for violating Middlebury policy.
3. Middlebury will provide each party with an equal opportunity to inspect and review any evidence obtained as part of the investigation that is directly related to the allegations raised, including the evidence upon which Middlebury does not intend to rely in reaching a determination regarding responsibility and/or which the investigator does not deem relevant, and inculpatory or exculpatory evidence whether obtained from a party or other source, so that each party can meaningfully respond to the evidence prior to conclusion of the investigation. Such evidence will not include privileged records or information that may have been gathered or received during the investigation, absent written consent from the party holding the privilege.
4. Middlebury will send to each party and the party's advisor, if any, the evidence subject to inspection and review in an electronic format or a hard copy.
5. Parties and advisors are not permitted to download, print, or copy such evidence subject to inspection and review, and are not permitted to re-disclose such evidence without Middlebury's permission. Parties and advisors will be required to sign an acknowledgment form indicating that they understand these prohibitions. Violations of these prohibitions may subject parties to Middlebury discipline under applicable conduct codes.
6. The Reporting individual and the Respondent will have an opportunity to review the preliminary report within the same time period and provide written responses to the report. The Reporting Individual and the Respondent must submit any comments, feedback, additional documents, evidence, suggested questions for individuals interviewed, requests for additional investigation, names of additional witnesses, or any other information they deem relevant to the investigator, including additional documents or other evidence, within 10 calendar days after the preliminary report is sent to them for review. The parties' written responses will be considered by the investigator prior to completion of the final investigative report, and some or all of the responses may be attached or otherwise incorporated into the final investigative report.
7. To the extent that an investigator takes additional investigative steps based on the parties' review/comment on the preliminary report, the additional fact finding may extend the timeframe of the investigation

8. In the event that new, relevant information is provided or identified at this stage, the information will be incorporated into the preliminary report as deemed appropriate by the investigator, and the Reporting Individual and the Respondent will be provided a second opportunity to review and provide a written response regarding the new information, which the investigator will consider prior to completion of the final investigation report. Identification of new and relevant information after the parties' review of the preliminary report is likely to extend the time frame of the investigation.

D. FINAL INVESTIGATIVE REPORT

1. After considering any written response submitted by either party, or after the 10 calendar day comment period has lapsed without receiving a written response or responses, the investigator will address any relevant issues identified by the Reporting Individual and/or the Respondent, and as appropriate, pursue any additional investigative steps as needed. The final investigative report will be reviewed for completeness by the CRTIX Coordinator or one HRO (not the hearing officer) before it is issued;
2. The final investigative report created by the investigator will fairly summarize relevant evidence and include as exhibits evidentiary materials as deemed appropriate by the investigator;
3. The final investigative report includes factual findings and analysis of the relevant evidence, as well as the investigator's recommendation, based on a preponderance of the evidence standard, as to whether the Respondent should be found responsible for violating the policy provisions at issue;
4. At least 10 days prior to the hearing referenced below, Middlebury will make available to each party and the party's advisor, if any, the final investigative report and exhibits for their review and written response; and
5. The final investigative report and the parties' written responses, if any, will be provided to the hearing officer in advance of the hearing.

V. Hearings and Determinations of Responsibility

A. IN GENERAL

Live hearings will be provided as required by the 2020 Title IX regulations. Middlebury will provide for the hearing to occur with the parties located in separate rooms with technology enabling the hearing officer and parties to simultaneously see and hear the party or the witness answering questions. Any or all parties, witnesses, and other participants may appear at the live hearing virtually, with technology enabling participants simultaneously to see and hear each other

B. HEARING OFFICERS

Hearings will be presided over by a hearing officer, who will make the decision by a preponderance of the evidence as to whether or not the Respondent violated the policy provisions at issue. The hearing officer has broad authority to determine the process, timing and conduct of a hearing. For example, the hearing officer will determine the order of presentation, timing, and overall duration of the hearing, what information and evidence will be heard, what information and questions are relevant to the determination of the matter, and what cross-examination questions will or will not be permitted.

Hearing officers, ordinarily one of Middlebury's HROs, will be appointed by the CRTIX Coordinator. In selecting a hearing officer for a particular matter, the CRTIX Coordinator will take care to select an individual who does not have a conflict of interest or bias against Reporting Individuals or Respondents

generally or an individual Reporting Individual or Respondent. Middlebury will notify the parties of the identity of the hearing officer in advance of the hearing, and parties may, within 3 calendar days of such notice, object to the service of the hearing officer by providing a written statement (which may be transmitted electronically) as to why the party believes that the hearing officer has a conflict of interest or bias. The CRTIX Coordinator or designee will make decisions regarding such objections and the appointment of an alternate hearing officer, as necessary.

Hearing officers may schedule a “pre-hearing conference” with each party (and each party’s advisor, if applicable) in advance of the hearing. The purpose of this pre-hearing conference is for the hearing officer to share with each party the purpose and scope of the hearing, the role of advisors during the hearing, hearing rules of decorum, and similar topics. It is not an opportunity to develop the factual record or discuss substantive aspects of the matter.

C. ADVISORS

Each party may have an advisor of their choice present at a hearing for the limited purpose of conducting cross-examination on behalf of that party. Advisors are not required to be attorneys, but attorneys are permitted to serve as advisors. If a party does not have an advisor of their choice present at a hearing, Middlebury will without fee or charge to the party provide an advisor of Middlebury's choice, for the limited purpose of conducting cross-examination on behalf of that party. No later than 10 calendar days before the hearing, parties should inform the CRTIX Coordinator of the identity of any advisor of choice who will accompany them to the hearing, so that Middlebury will know whether or not it needs to arrange for the presence of a Middlebury-provided advisor, even if the party declines to attend the hearing.

At a time and manner deemed appropriate by the hearing officer, the advisor for each party will be permitted to ask the other party and any witnesses all relevant cross-examination questions and follow-up questions, including those challenging credibility. Advisors must comply with the conduct expectations for hearings. Except for the limited role of asking cross-examination questions, advisors may not participate actively in the hearing and may not speak or otherwise communicate on the part of the party that the advisor is advising. However, the advisor may consult privately in a non-disruptive manner with their advisee during and/or at a recess in the hearing. Scheduling accommodations generally will not be made for advisors if they unduly delay the process. Middlebury reserves the right to take appropriate action regarding any advisor who disrupts the process, or who does not abide by the restrictions on their participation as determined in the sole discretion of the hearing officer, which may include exclusion of the advisor from the hearing and the appointment of an alternate Middlebury-provided advisor.

D. MIDDLEBURY FACULTY AND STAFF AS ADVISORS

A Middlebury faculty or staff member who agrees to serve as an advisor to a Reporting Individual or Respondent is not an agent of Middlebury when acting in that capacity and does not speak or act on Middlebury's behalf while serving as an advisor. The relationship between a party and their advisor for the TIX Sexual Harassment hearing is not confidential (or privileged), and the faculty or staff member's duties to Middlebury-including all reporting obligations-remain intact. Before agreeing to serve as an advisor, faculty and staff members should evaluate whether any conflict or potential conflict of interest may warrant their declining the invitation to serve as an advisor. In addition, only licensed attorneys can provide legal advice. Parties seeking legal advice should consult their attorney.

E. REQUEST FOR APPEARANCE OF WITNESSES

If a Party wishes to have an individual appear at the hearing as a witness, they must provide notice of the identity of the proposed witness and a brief description of the subject matter of the witnesses' testimony

to the CRTIX Coordinator or designee at least 10 calendar days before the date of the hearing. The CRTIX Coordinator or designee, in consultation with the hearing officer as necessary, will determine whether the witness is likely to have information that is relevant to the hearing, and if it is determined that the witness is likely to have relevant information, the CRTIX Coordinator or designee will inform the witness that their presence at the hearing is expected. These provisions apply equally to both fact and expert witnesses.

F. CONDUCT OF HEARING AND RELEVANCE

A) Report to be provided to Hearing Officer

At or before the hearing, the hearing officer will receive a copy of the investigative report, any attachments thereto, and copies of the parties' written responses to the investigative report, if any, which will be part of the information of record to be considered by the hearing officer to the extent appropriate in light of the rules regarding evidence to be considered that are outlined below.

B) General Order of the Hearing

- (i) Subject to the discretion of the hearing officer, hearings will ordinarily begin with introductory remarks by the hearing officer to explain the process, followed by a brief opening statement from any party who wishes to provide one, followed by the hearing officer's asking relevant initial questions of the parties as deemed appropriate by the hearing officer. During this portion of the hearing, advisors may confer privately and in a non-disruptive manner with their advisee, but they are not allowed to make opening statements or otherwise address the hearing officer or anyone else present at the hearing.
- (ii) After the hearing officer has asked their initial questions of the parties, the hearing officer will permit each party's advisor to ask the other party all relevant questions and follow-up questions, including those challenging credibility. Subject to the discretion of the hearing officer, questioning of witnesses will generally follow a similar process, whereby the hearing officer will pose relevant questions to each witness, then the parties' advisors will be permitted to ask relevant questions of witnesses. In accordance with the 2020 Title IX regulations, such cross-examination by advisors will be conducted orally, and in real time by the party's advisor of choice and never by a party personally.
- (iii) Only relevant cross-examination and other questions may be asked of a party or witness. Before a Reporting Individual, Respondent, or witness answers a cross-examination or other question, the hearing officer will first determine whether the question is relevant and explain any decision to exclude a question as not relevant. Advisors are not permitted to object to hearing officer decisions regarding relevance during a hearing.
- (iv) The evidence gathered throughout the investigation will be made available at the hearing, and each party and/or their advisor (as applicable) will have an equal opportunity to refer to such evidence during the hearing, including for purposes of cross-examination.
- (v) At the discretion of the hearing officer, parties (but not their advisors) will usually be given an opportunity to make a closing statement at the conclusion of the hearing.

C) Evidence

- (i) Questions and evidence about the Reporting Individual's sexual predisposition or prior

sexual behavior are not relevant and will not be permitted, except for the limited circumstance where such questions and evidence about the Reporting Individual's prior sexual behavior are offered to prove that someone other than the Respondent committed the conduct alleged by the complainant, or if the questions and evidence concern specific incidents of the Reporting Individual's prior sexual behavior with respect to the Respondent and are offered to prove consent.

- (ii) Information protected under a legally recognized privilege (such as, for example, privileged communications between a party and their physician, psychiatrist, psychologist, or other recognized professional or paraprofessional acting in a treatment capacity, or privileged communications between a party and their attorney), are not relevant unless the person holding the privilege has waived the privilege in writing and the hearing officer determines it is relevant.
- (iii) If a party or witness does not submit to cross-examination at the live hearing, the hearing officer will only consider any statement of that party or witness in reaching a determination regarding responsibility to the extent permitted by the law, Title IX regulations and/or U.S. Department of Education guidance that apply or applies as of the time of the hearing. The hearing officer will not draw an inference about the determination regarding responsibility based solely on a party's or witness's absence from the live hearing or refusal to answer cross-examination or other questions.

G. RECORD OF HEARING

Middlebury will create an audio or audiovisual recording, or transcript, of any live hearing and make it available to the parties for inspection and review.

H. DETERMINATIONS REGARDING RESPONSIBILITY

Within a reasonable time, the hearing officer (and if necessary the sanctioning authority, as provided below) will prepare and issue a written determination regarding responsibility and a recommendation on sanctions. In determining responsibility, the hearing officer will apply the preponderance of the evidence standard. The written determination will include:

- Identification of the section(s) of Middlebury's Non-Discrimination Policy alleged to have been violated
- A description of the procedural steps taken from the receipt of the complaint through the determination, including but not limited to, as applicable, any notifications to the parties, interviews with parties and witnesses, site visits, methods used to gather other evidence, and hearings held;
- Findings of fact supporting the determination;
- Conclusions regarding the application of definitions of Title IX Sexual Harassment in Middlebury's Non-Discrimination Policy to the facts;
- A statement of, and rationale for, the result as to each allegation, including a determination regarding responsibility; and
- Identification of Middlebury's procedures and permissible bases for the Reporting Individual and Respondent to appeal (as outlined below).

In making the determination, the HRO is in no way bound by the investigator's final investigative report, which is advisory to the HRO. The HRO may accept or reject the investigator's recommended finding(s) or proposed findings of fact in whole or in part. The HRO will reach their own independent conclusions based on the evidence of record and information obtained as a result of the hearing.

There will be no review and comment period following the issuance of the HRO's Determination. The determination regarding responsibility becomes final either on the date that Middlebury provides the parties with the written determination of the appeal, if an appeal is filed, or if an appeal is not filed, the date on which the appeal would no longer be considered timely.

If sanctions are necessary, they will be assigned in accordance with the Sanctions section below. The parties will receive notice simultaneously of the written determination and, if necessary, any sanctions as determined through the procedures outlined below.

VI. Sanctioning

1. If the hearing officer finds that the Respondent engaged in behavior that violates Middlebury policy, the CRTIX Coordinator will, in accordance with this Procedure, forward the case to the appropriate supervisory authority for the program at issue ("sanctioning authority"), who will assign a sanction or sanctions, and other actions as appropriate. The hearing officer and the sanctioning authority will collaborate on the creation of a single written determination that will include the hearing officer's statement and rationale regarding responsibility as described in more detail above, a statement of and rationale for any disciplinary action Middlebury is imposing on the Respondent, and whether remedies designed to restore or preserve equal access to the educational program or activity of Middlebury will be provided by Middlebury to the Reporting Individual.

In determining the sanction or sanctions and/or other actions, the sanctioning authority will be guided by a number of considerations, including but not limited to the following:

- The severity, pervasiveness and/or objective offensiveness of the behavior;
- The nature of the Title IX Sexual Harassment;
- The impact of the Title IX Sexual Harassment on the Reporting Individual;
- The impact or implications of the Title IX Sexual Harassment within the Middlebury community;
- Any prior misconduct by the Respondent, including the Respondent's relevant prior conduct history, at Middlebury or elsewhere;
- Whether the Respondent has accepted responsibility for the Title IX Sexual Harassment;
- The maintenance of a safe, nondiscriminatory and respectful environment conducive to living, learning, and/or working, as applicable; and
- Any other mitigating, aggravating, or compelling factors.

When a student Respondent is found responsible for sexual assault, suspension, or expulsion are the likely outcomes.

2. Sanctions and other actions will go into effect at either the expiration of the deadline for the submission of an appeal where no appeal is submitted, or at the conclusion of the appeal

process if applicable. This language does not preclude the possibility of emergency action as needed; please see Section II.D, Emergency Removals and Non-Student Administrative Leave.

3. If the hearing officer finds conduct that violates the Non-Discrimination Policy (or other Middlebury policies under investigation), the CRTIX Coordinator will provide the case materials to the appropriate supervisory authority or authorities. When multiple supervisory authorities are involved in assigning sanctions, they may collaborate with each other as appropriate.

For students, the sanctioning authority is the Dean of Students, the Dean of the Language Schools, the VPAA of the Institute, the Dean of the Bread Loaf School of English, or the Dean of International Programs (depending on the program the student is associated with).

For staff, the sanctioning authority is the appropriate Vice President (or designee) for the area or unit in which the staff member works.

If the adjudicating HRO finds that a faculty member has engaged in conduct that violates the Non-Discrimination Policy, or other Middlebury policies under investigation, if applicable (absent or following an appeal of that finding in accordance with this Procedure, if an appeal was granted), CRTIX will provide the case materials to the Provost. The Provost will initiate the appropriate procedures under the applicable Handbook policies and/or contracts. The Provost may also assign a designee—ordinarily the Vice President of Academic Affairs (VPAA)—to initiate the appropriate procedures under the applicable Handbook policies and/or contracts. After a review of the case materials and the hearing officer's recommendation regarding sanctions, the supervisory authority may impose disciplinary sanctions.

Sanctions for Prohibited Conduct could include written reprimands, probationary status, letters of official discipline, and/or suspension, expulsion, reassignment of duties, referral to other disciplinary processes, or termination from employment from any or all Middlebury program(s). Students should note that although sanctions for violation(s) of this policy can include any form of discipline as stated in this section, those found to have committed sexual assault will most likely receive a sanction of suspension or expulsion. Middlebury may also take other non-disciplinary action as deemed appropriate under the circumstances (e.g., remedies applied to the Respondent to address the needs of the Reporting individual, including but not limited to room changes, class changes, building restrictions, extracurricular activity restrictions, modification of No Contact Orders to favor the Reporting Individuals, and other actions designed to restore or preserve the Reporting individual's equal access to Middlebury's educational program or activity). Middlebury may also provide notice of the matter to the

appropriate supervisory authority of any Middlebury program in which the Respondent intends to participate, who may consider this information in determining the Respondent's admission to the program and/or other program participation parameters, as appropriate. Notification of a finding of responsibility, sanction, or other action under this policy may also be provided to the home institution of non-Middlebury undergraduate and graduate students or any other program in which the student is enrolled or to which the student is pursuing enrollment.

4. In any case in which a finding of sexual misconduct, domestic or dating violence or misconduct, stalking or related retaliation has been issued, violation of a plan or directive to address the prohibited conduct or related sanction may be grounds for further discipline.

VII. Appeals

Either party may file an appeal from: 1) a determination regarding responsibility; and/or 2) the CRTIX Coordinator's dismissal of a formal complaint or any allegations therein from the Title IX Sexual

Harassment process, solely on the basis of one or more of the following circumstances:

- Procedural irregularity that affected the outcome of the matter;
- New evidence that could affect the outcome of the matter and that was not reasonably available at the time the determination regarding responsibility or dismissal was made has become available; and/or
- The CRTIX Coordinator, investigator(s), or decision-maker(s) had a conflict of interest or bias for or against Reporting Individuals or Respondents generally, or the individual Reporting Individual or Respondent, that affected the outcome of the matter.

Regardless of which party appeals, both parties may participate in the appeals process. Middlebury will provide written notification to the Reporting Individual and the Respondent of any applicable appeal procedures at the time they receive the written determination regarding responsibility and any sanctions.

An appeal must be made in writing to the appropriate appellate officer. For matters involving student Respondents, appeals will be decided by the Vice President of Student Affairs (or designee). For matters involving staff Respondents, appeals will be decided by the Vice President of Human Resources (or designee). For matters involving College faculty Respondents, appeals will be decided by the Vice President of Academic Affairs (or designee) of the appropriate academic program.

An appeal must articulate one of the three bases above and provide information to support the appealing party's argument. Appeals must be filed no later than 5 business days after the date on which Middlebury transmitted the hearing officer's written determination to the parties. The CRTIX Coordinator or appellate officer may extend this deadline if warranted by the circumstances. Middlebury reserves the right to assign an alternate appellate officer to avoid a conflict of interest or bias. The appellate officer will not be the same person as the hearing officer, the investigator, the CRTIX Coordinator, or a person who made a decision to dismiss a formal complaint.

Middlebury will notify the other party in writing when an appeal is filed and implement appeal procedures equally for both parties. The other party may then have a period of 5 business days to submit a statement in support of the written determination and/or in opposition to the appeal. Any such statement will be shared with the party who filed the appeal and their advisor.

CRTIX will also notify the Parties of the decision-maker for the appeal and permit the Parties a period of 2 business days to submit any written objection to the appellate decision-maker if they have evidence that the decision-maker has a bias or disqualifying conflict of interest. The CRTIX Coordinator will determine whether to sustain the objection and, if so, will assign an alternate appellate decision-maker.

The appellate officer will issue a written decision describing the result of the appeal and the rationale for the result; and Middlebury will provide the written decision simultaneously to both parties. The appellate officer's decision on any appeal is the final step in the adjudication process, unless the appellate officer provides otherwise as discussed below.

The appellate officer may uphold the determination by the sanctioning authority, or modify the determination by the sanctioning authority or return the case to the original hearing officer (or an alternate, if warranted) in the event that the appellate officer concludes that either (1) a procedural

irregularity affected the outcome of the matter; (2) new evidence that could affect the outcome, and which was not available at the time of the determination, has become available; or (3) a conflict of interest or bias for or against Reporting Individuals or Respondents generally or the individual Reporting Individual or Respondent that affected the outcome of the matter.

It is the responsibility of the appellate officer to determine which if any aspects of the case merit a new review, and to direct the CRTIX Coordinator accordingly.

Absent extenuating circumstances, the appellate officer will notify the Reporting Individual and Respondent of the appeal decision simultaneously in writing within twenty business days of the appeal receipt deadline and will notify the CRTIX Coordinator in writing of any instructions for further action. This deadline may be extended if warranted by the circumstances. If this deadline is extended, the parties will be notified in writing at the time the extension is determined.

The decision to uphold or modify the determination by the appellate officer is final. If a case is returned for an additional hearing, the subsequent determination and/or sanction from that hearing are ordinarily final.

Pending Discipline (Students)

If a Respondent withdraws with a disciplinary matter, sanction, or appeal pending, the withdrawal will be considered a resignation from Middlebury, and the student will have given up the opportunity to return to Middlebury. The student's official status at Middlebury will reflect the point in the process at which they withdrew, and the nature of the finding and sanction, as appropriate. Examples include but are not limited to "Withdrawal with a Disciplinary Matter Pending," "Withdrawal with a Disciplinary Sanction Pending," and "Withdrawal with a Disciplinary Appeal Pending."

In extraordinary circumstances, the appropriate supervisory authority may, after appropriate consultation, a review of the case, and consideration of Middlebury's best interests, grant permission for a Respondent to withdraw from Middlebury when a disciplinary matter is pending. If the student is readmitted to Middlebury, the disciplinary matter must be resolved either before the student's return, or immediately upon the student's return (as determined by Middlebury).

VIII. Admission of Responsibility

If at any point a Respondent chooses to accept responsibility for violating all policies under investigation, an official designated by the CRTIX Coordinator will issue a determination and refer the matter to the appropriate supervisory authority or authorities for the program at issue in accordance with this Procedure. The appropriate supervisory authority or authorities will issue a sanction and/or take other action that is designed to restore or preserve the complainant's equal access to Middlebury's education program or activity.

IX. Informal Resolution

A. GENERAL INFORMATION

Informal resolution is a voluntary option that does not involve a hearing process. Informal resolution may be used in Title IX Sexual Harassment matters in which a formal complaint has been filed by a Reporting Individual or signed by the CRTIX Coordinator.

The CRTIX Coordinator will assess the request for informal resolution in light of factors such as, but not limited to, the severity of the alleged violation and the potential risks to campus community members posed by the reported misconduct. Middlebury will only proceed with an informal resolution process if both parties provide their voluntary, written consent to initiate the informal resolution process.

Middlebury will not offer or facilitate an informal resolution process in matters that involve allegations that an employee committed Title IX Sexual Harassment against a student.

At any time prior to reaching a determination regarding responsibility, Middlebury may facilitate an informal resolution process (e.g., mediation or restorative justice) where requested by one party and agreed to by the other party. If a party requests the initiation of an informal resolution process and the CRTIX Coordinator agrees that the matter is appropriate for informal resolution, Middlebury will provide to each party a written notice that discloses:

- The allegations;
- The requirements of the informal resolution process, including the circumstances under which it precludes the parties from resuming a formal complaint arising from the same allegations-
- As noted below, Middlebury generally permits parties to withdraw from the informal resolution process and initiate or re-initiate a formal investigation and hearing process at any time before the informal resolution process is completed and any informal resolution is agreed to in writing by the parties); and
- Any consequences resulting from participating in the informal resolution process, including the records that will be maintained or could be shared.

The matter will be deemed resolved if and when the parties expressly agree in writing to an outcome that is acceptable to them and which is approved by the CRTIX Coordinator (in consultation with other Middlebury administrators as deemed necessary). A party may withdraw from the informal resolution process at any time prior to their execution of a written informal resolution agreement. After an informal resolution is agreed to in writing between the parties, neither party may initiate a formal resolution process regarding substantially the same factual allegations.

At any time before a matter is resolved through informal resolution, the CRTIX Coordinator may terminate an informal resolution process and initiate or re-initiate a formal investigation and hearing process at any time, as they deem appropriate in their discretion.

X. Training of Individuals Conducting Investigations and Adjudications and Facilitating Informal Resolution Processes

The CRTIX Coordinator, investigators, decision-makers, sanctioning authorities, appellate authorities, and individuals facilitating informal resolution processes (collectively, "Middlebury Officials") receive training on the definition of Title IX Sexual Harassment in this policy, the scope of Middlebury's education programs or activities, how to serve impartially (including by avoiding prejudgment of the facts at issue, conflicts of interest, and bias), and on issues of relevance of evidence as well as questions, including when questions and evidence about the Reporting Individual's sexual predisposition or prior sexual behavior are not relevant. Any materials used to train Middlebury Officials will not rely on sex stereotypes and will promote impartial adjudications of formal complaints. Middlebury will ensure that all Middlebury Officials comply with the standards on serving impartially that are outlined in this paragraph.

XI. Record Keeping

The HROs and the CRTIX Coordinator will maintain as required by the May 2020 Title IX regulations any records related to Title IX Title IX Sexual Harassment cases and will keep confidential records and/or reports under this policy and the actions taken in response to those reports.

APPENDIX A

A. Definitions

1. REPORTING INDIVIDUAL

Reporting Individual means an individual who is reported to have experienced conduct that could constitute Prohibited Conduct, regardless of whether the individual makes a report or seeks disciplinary action. "Reporting Individual" has the same meaning as "Complainant" as that term is used in the 2020 regulations; the terms "Complainant" and "Reporting Individual" may be used interchangeably for the purposes of this Procedure.

2. RESPONDENT

A Respondent is an individual who has been reported to have engaged in conduct that could constitute Prohibited Conduct and whose alleged conduct is being investigated to determine if it is in violation of Middlebury's policies.

3. REPORT

A Report is any information received by Middlebury College that a Reporting Individual has allegedly been subjected to conduct which could constitute Title IX Sexual Harassment. A Report is not a Formal Complaint and does not trigger a formal investigation or adjudication. Instead, reports serve as a basis for statistical reporting under the Jeanne Clery Act, and allow Middlebury to provide Supportive Measures to those who have experienced Title IX Sexual Harassment. Any Reporting Individual who reports Title IX Sexual Harassment will receive information about the Formal Complaint and Investigation Process.

4. FORMAL COMPLAINT

Formal complaint means a document filed by a Reporting Individual or signed by the Civil Rights and Title IX Coordinator alleging Title IX Sexual Harassment against a Respondent and requesting that the recipient investigate the allegation of Title IX Sexual Harassment. At the time of filing a formal complaint, a Reporting Individual must be participating in or attempting to participate in the education program or activity of the recipient with which the formal complaint is filed. A formal complaint may be filed with the Title IX Coordinator in person, by mail, or by electronic mail, by using the contact information required to be listed for the Title IX Coordinator under § 106.8(a), and by any additional method designated by the recipient.

5. HUMAN RELATIONS OFFICER

A Human Relations Officer ("HRO") is an administrator responsible for overseeing investigations and adjudicating complaints under this Procedure. HROs are also the Civil Rights and Title IX Coordinator's designees responsible for overseeing investigations and adjudicating sexual misconduct complaints in accordance with this Procedure.

6. CIVIL RIGHTS AND TITLE IX COORDINATOR

The Civil Rights and Title IX Coordinator ("CRTIX Coordinator") is the administrator designated and authorized to coordinate Middlebury's efforts to comply with and carry out its responsibilities under Title IX. The CRTIX Coordinator's responsibilities include overseeing the process for handling and responding to all complaints of possible sex discrimination and identifying and addressing any patterns or systemic problems that arise during the review of such complaints.

Middlebury's CRTIX Coordinator also participates in Middlebury's handling and responding to complaints of sexual misconduct, domestic and dating violence and misconduct, and stalking, as defined in this policy.

References throughout this Procedure to the CRTIX Coordinator should be read as including individuals to whom the CRTIX Coordinator has designated certain duties either in general or in the context of a particular case or situation.

7. DAYS

Unless otherwise noted, "days" indicates calendar days, regardless of whether the majority of Middlebury's administrative offices are open. "Business days" indicates days on which the majority of Middlebury's administrative offices are open and generally connotes Mondays through Fridays. In computing any period of time referenced in this Procedure, the day of the act or event (e.g., provision of evidence for review and response, issuance of a determination or sanction) from which the designated time period begins to run shall not be included. The last day of the period so computed shall be included if it is a business day; when not a business day, the period will conclude at the end of the next business day.

8. PARTIES

Only the Reporting Individual and Respondent are considered a "party" or "parties" as that term is used within this policy.

Published: August 14, 2020
Amended: September 10, 2021
Amended: May 9, 2025

Student Notification of Disciplinary Outcomes regarding a Crime of Violence

Middlebury will, upon written request, disclose to the alleged victim of a crime of violence or a non-forcible sex offense, the report on the results of any disciplinary proceeding conducted by the College against a student who is the alleged perpetrator of such crime or offense. If the alleged victim is deceased as a result of such crime or offense, the next of kin of such victim shall be treated as the alleged victim for purposes of this paragraph.

Sex Offender Registry

Campus Sex Crime Prevention Act

The federal Campus Sex Crimes Prevention Act requires higher education institutions to issue statements advising campus communities about where they can obtain law enforcement-agency-based, state-provided information concerning registered sex offenders.

In 1947 California implemented a sex-offender-registration program to keep track of people convicted of certain sex crimes. California's [Megan's Law](#) was enacted in 1996 and it mandates that California Department of Justice to notify the public about specified registered sex offenders. Megan's Law also authorizes designated law enforcement entities to notify the public when necessary to ensure the public safety based upon available information to the entity concerning that person's current risk of sexual or violent re-offense. The law enforcement entity shall include, with the disclosure, a statement that the purpose of the release of information is to allow members of the public to protect themselves and their children from sex offenders.

Pursuant to [Section 290](#) of the California Penal Code, a convicted sex offender, “while residing in California, or while attending school or working in California, as described in Sections 290.002 and 290.01, shall be required to register with the chief of police of the city in which he or she is residing, or the sheriff of the county if he or she is residing in an unincorporated area or city that has no police department, and, additionally, with the chief of police of a campus of the University of California, the California State University, or community college if the person is residing upon the campus or in any of its facilities within five working days of coming into, or changing his or her residence within, any city, county, or city and county, or campus in which he or she temporarily resides, and shall be required to register thereafter in accordance with the Act.”

All public Information available in California about registered sex offenders is available through the Megan's Law website: www.meganslaw.ca.gov or through the [Monterey Police Department](#). (831.646.3914).

The registered sex offender policy statement information is being disclosed in compliance with the Adam Walsh Child Protection Safety Act of 2006.

Drug Free Schools and Communities Act

Annual Drug-Free Schools and Communities Act Notice

The Drug-Free Schools and Communities Act of 1989 requires that Middlebury College ("Middlebury") annually notify all students, faculty, and staff of the following:

- Middlebury's standards of conduct, which prohibit the unlawful possession, use, or distribution of illegal drugs and alcohol by students and employees on Middlebury property or in connection with any activities or programs sponsored by Middlebury.
- A description of Middlebury's disciplinary sanctions that will be imposed on students and employees for the unlawful possession, use, or distribution of illegal drugs and alcohol, up to and including expulsion or termination of employment.
- A description of applicable sanctions under federal, state, and local law for the unlawful possession, use, or distribution of illegal drugs and alcohol.
- A description of the health risks associated with the use of illegal drugs and the abuse of alcohol.
- A description of any drug or alcohol counseling, treatment, rehabilitation, or re-entry programs that are available to employees or students.

Information about Middlebury's Drug and Alcohol Abuse Prevention Program (DAAPP) can be found [here](#).

Middlebury College Drug-Free Schools and Communities Act Alcohol and Other Drug (AOD) Program Biennial Review

In addition, under the Drug Free Schools and Communities Act and regulations Middlebury conducts a biennial review that;

1. determines the effectiveness of Middlebury's AOD program and implements changes, if needed and
2. ensures consistent sanction enforcement for violations of Middlebury's Alcohol and Other Drugs Policy.

The Biennial Review and accompanying materials are maintained in the Office of General Counsel & Risk Management and available upon request.

Middlebury's Vermont Based Program Contacts

Department of Public Safety

802.443.5911

Health Services

802-443-5135

Counseling

802-443-5154

Madeline Hope-Lyng, Director of Health and Wellness Education and Mental Health Promotion Specialist

802.443.5389

mhope@middlebury.edu

Bread Loaf Writers' Conference

Jennifer Grotz, Director

802.443.5286

jgrotz@middlebury.edu

Bread Loaf School of English (VT, CA, Oxford)

Emily Bartels, Director

802.443.5418

ebartels@middlebury.edu

Middlebury Language Schools and Schools Abroad

Stephen B. Snyder, Vice President for Academic Affairs/Dean of Language Schools

(sabbatical through June 2026)

802.443.5979

ssnyder@middlebury.edu

Tim Page, Acting Dean of language Schools through June 2026

802.443.5396

tpage@middlebury.edu

Carlos Velez, Dean of International Programs

802.443.5745

carlos.velez@middlebury.edu

Middlebury School of the Environment Sunderland Language Center

Curt Gervich, Co-Director

Middlebury, VT 05753

518.564.4030

cgervich@middlebury.edu

Liou Xie, Co-Director
Middlebury College
Middlebury, VT 05753
802.443.3100
lxie@middlebury.edu

Middlebury's California Based Program Contacts (Monterey, California)

Middlebury Institute of International Studies at Monterey

Meili Chen, Human Resources Business Partner
831.647.6404
meilic@middlebury.edu

Ashley Fera Arrocha, Associate Dean of Student Services
831.647.4654
aarrocha@middlebury.edu

Weapons Policies

Middlebury College Weapons Policy

The use and possession of weapons, including ammunition ("ammo"), are prohibited on Middlebury property and at Middlebury sponsored events, including in motor vehicles. Antique, imitation, and replicas of weapons are also prohibited unless they are possessed or stored in accordance to the Exceptions section below. Discovery of a weapon or ammo on Middlebury property or carried by a person (openly or concealed) on Middlebury property, or at Middlebury sponsored events, or any other violation of the weapons policy, shall result in confiscation of the weapon or ammo. Disciplinary action against the owner and/or person in possession may be taken, which may include but not be limited to removal from Middlebury property, arrest, expulsion, suspension, probation, discipline, dismissal or termination.

This policy applies to all faculty, staff, students, employees, and visitors at all Middlebury property, including those who have a valid permit to carry a concealed weapon. This policy shall not apply to a law enforcement officer while engaged in law enforcement duties, or an active-duty member of the armed forces.

Definitions of key terms are provided below.

Exceptions

1. Matriculated students and students enrolled in a Middlebury program and living on a Vermont campus are permitted, in accordance with Vermont law, to possess weapons for hunting and sporting related activities only, such as target practice. Assault weapons and semi-automatic weapons with rapid-fire capability are expressly prohibited. A handgun is only allowed to be on campus if it is a duty weapon issued or authorized by the student-owner's employer.
2. All permitted weapons must be registered with and stored at the Department of Public Safety located at 125 South Main Street, Middlebury, Vermont. Requirements for storage in Vermont can be found on the Department of Public Safety website. The Middlebury Institute of International Studies at Monterey does not allow or provide for weapons storage.

3. Due to their realistic likeness to weapons and potential for causing community alarm, possession or use of toy or replica weapons in a Middlebury-sanctioned activity or academic program must be approved in advance by the Director of Public Safety in Middlebury, or the Security Department in Monterey.

Definitions

"Ammunition" means ammunition or cartridge cases, primers, bullets, or propellant powder designed for use in any firearm.

"Explosive" is defined as any material, liquid, or substance that causes a sudden, instantaneous release of air, pressure, gas, and heat, including bombs, grenades, rockets, and fireworks.

"Firearm" is defined as any weapon, whether loaded or unloaded, which will expel a projectile by the action of an explosive such as but not limited to a pistol, revolver, handgun, rifle (bolt action, lever action, pump action, semi-automatic, and single-shot), gun, machine gun or shotgun.

"Knife" is defined as a blade six inches or longer in length or with blades less than six inches that, by design, can be used as weapons, such as switchblades or double-edged blades.

"Middlebury property" is defined as any property, facility, or grounds owned, leased, controlled, or subcontracted by Middlebury. This includes the campuses of Middlebury College, Bread Loaf, and the Middlebury Institute of International Studies at Monterey, as well as the Middlebury C.V. Staff Schools Abroad.

"Weapon" is defined as any firearm, explosive, knife, sword, club, bow, or other object that has been designed with the intent to inflict bodily harm or physical damage to property.

Storage

The Department of Public Safety provides weapon storage for the exceptions noted above.

1. In order to store a weapon, contact the Department of Public Safety 802-443-5133 to arrange an appointment date and time.
2. Storage is limited to one weapon per student (owner).
3. Owner must provide a soft case for the storage of their weapon. If the weapon is a firearm, a chamber locking device must be provided and attached by the owner.
4. A Middlebury College weapon permit may be issued for one academic year or the length of the student's program.
5. Owner must make an appointment to remove or return the weapon to storage during the academic term.
6. Owner must claim and remove their weapon from campus at the close of the academic term or at the time they move out of campus student housing.
7. Any request to store more than one weapon must be submitted in writing to the Associate Vice President of Safety at least 15 business days prior to the start of the academic program.
8. Any violation of this policy will result in the loss of the privilege to store a weapon on campus and may result in disciplinary action.

Fire Safety Report

All life-threatening emergencies should be called into 911. All live fires as well as suspected past fires should also be reported to the Security Department for the purposes of including a fire in the statistics of the annual fire safety report.

Residential Fire-Systems Descriptions at the Middlebury Institute Campus

Detailed information on each Institute-controlled residential property's fire system is in the chart below.

Conventional Zone or Addressable: These devices in Munras Housing are all connected to the building's main fire panel and send a signal or alarm to a monitoring center. The monitoring center will dispatch the fire department and notify the Security and/or Facilities department when the alarm is activated. The monitoring center is a 24-hour / 7 day a week operation.

Battery Only Standalone Unsupervised: Smoke or CO detectors not connected to a fire panel and do not report to a monitoring center, Security or the Facilities departments. These devices are located in some small houses or residential rooms.

Facilities Services coordinates with contracted service providers the regular testing of smoke and carbon monoxide detectors, the fire alarm system and the sprinkler system in Munras Housing. At the request of Facilities Services, contracted technicians respond to every reported alarm or system trouble and test or repair systems to ensure they are functional. Repairs are made when necessary. Facilities Services, or a contracted service provider, regularly tests standalone devices and replaces them when needed. Exit signs, emergency lights, and fire extinguishers are tested annually. Custodians, night security guards, and other Security Officers regularly check the fire safety equipment.

Middlebury continues to assess and upgrade all fire safety equipment on an ongoing basis. If Middlebury determines that improvements in fire safety should be made, it will implement such changes and, to the extent applicable, will reflect such changes in future annual fire safety reports. There are no planned changes to fire systems at Middlebury at this time.

Residential Student Housing	911 Address	Use	Fire Alarm Panel Type								House System Device Type (Common Space)			Student Room /Office Smoke Detection Device Type			Carbon Monoxide Detection			Sprinkler System		Number of Fire Drills in 2025			
		R – Rental D-Dorm	FCI 72 (Conventional)	FCI 7200 (Addressable)	Mircom FA 300 (Conventional)	Mircom Flexnet (Addressable)	Mircom FX2000 (Addressable)	Silent Knight (addressable)	Fire Suppression Panel	Advance (Addressable)	Conventional Zone	Addressable	120v Standalone Unsupervised	Battery Only Standalone Unsupervised	Battery Only Standalone Unsupervised	120v Unsupervised	120v Supervised	Central Building System	Battery Only Standalone Unsupervised	120v Smoke & C.O. Unsupervised	Wall ,Ceiling Mounted Supervised		Location of Blue Light on Building	Partial	Full
544 Van Buren Street *	544 Van Buren Street	R											X	X				X							0
545 Union Street *	545 Union Street	R											X	X				X							0
547 Union Street *	547 Union Street	R											X	X				X							0
549 Union Street *	549 Union Street	R											X	X				X							0
473 Van Buren Street	473 Van Buren Street	R											X	X				X							0
473 1/2 Van Buren Street	473 1/2 Van Buren Street	R											X	X				X							0
787 Munras Avenue	787 Munras Avenue	D							X		X						X		X	5			X		3

Updated device descriptions and classifications for R (Rental) differ from prior-year reporting. These updates reflect the most accurate descriptions and classifications.

**The following rentals ended on the dates indicated below:*

544 Van Buren Street: Lease ended January 15, 2026.

549 Union Street: Lease ended March 31, 2026.

545 and 547 Union Street: Lease ended June 10, 2026.

Fire Evacuation Drills

During the academic year, the Security Department and the Emergency Management Team conduct two fire drills in Munras Housing. Drills take place in the fall and in the spring. During the Summer Programs, the Security Department and Emergency Management Team conducts one fire drill.

Fire Safety Education and Training programs

All students, faculty, and staff are provided with an opportunity for training on fire safety and evacuation procedures. Annual training on fire safety, fire extinguisher, and evacuation procedures is required for Facilities Services staff, Security Department staff, the Emergency Management Team, and the Safety Committee. All Munras Housing staff complete annual fire safety education training. The training educates staff on residence hall safety, use of fire extinguishers, building evacuation procedures, and the process of conducting fire safety checks in Munras Housing rooms.

To help prevent fires on campus, please observe the following:

- Keep room entries, exits, and hallways free of potential obstructions, such as boxes, bicycles, and mattresses.
- Don't overload outlets.
- Avoid using extension cords whenever possible.
- Don't build or place anything over the room's radiator that will disrupt the free flow of air around it.
- Always leave magnifying mirrors face down so they won't magnify sunlight.
- You may not use candles, halogen lamps, or portable heaters.
- Avoid hanging lights and paper together. Don't hang lights or streamers on the side of doors facing corridors.
- No smoking.
- For office spaces permitted to have Christmas trees, the tree must be properly treated and kept away from heat sources. You must turn off tree lights before leaving your office. Student rooms suites may not have Christmas trees.

Fire Evacuation Procedure for Students and Employees

- Familiarize yourself with your building's evacuation procedures. Locate the nearest exit and fire extinguisher.
- If you smell smoke or detect a fire, activate the nearest alarm, if possible, and call 911 from a safe location.
- Evacuate as soon as you hear the alarm.
- Before opening any door, use the back of your hand to test its temperature. Also check the doorknob's temperature. If either is hot, leave the door closed, stuff towels or cloths in the cracks, and open a window. Look for another exit.
- If the door isn't hot, open it slowly and be prepared to close it quickly if necessary.
- In a smoke-filled area, keep low to the floor to avoid inhaling smoke.

- If you see or smell smoke in a hall or stairway, use another exit.
- Close doors as you leave.
- Exit the building cautiously. Carry a towel or blanket to protect yourself from flames or smoke.
- If the exit is blocked, return to your room; close the door, open a window and call for help.
- If there's a fire, don't use any elevators.
- Report to your emergency assembly point and check in with your resident advisor. Report anyone who might be missing.
- A member of the Security Department, the MIIS Emergency Management Team, or the MIIS Safety Committee will direct you to another safe location to shelter in inclement weather.
- Do not re-enter the building until the Security Department advises that the Monterey Fire Department has indicated that it is safe to re-enter.

Fire Safety Regulations

Any violation of fire safety regulations will be subject to the Institute's [Student Conduct Disciplinary Process](#), which may result in sanctions and/or fines. Residents found responsible may be required to pay the additional cost(s) of repair or replacement of damaged equipment or property, including associated labor costs. All Institute property is subject to applicable state and federal regulations, including but not limited to fire, life safety, plumbing, and electrical. If conflicts between Residential policy and municipal, state, and federal regulations are discovered, the more stringent shall apply.

- Room entrances, exits, and hallways must be kept clear and free of obstructions. Hallways may not be used for storage or any purpose other than their intended use.
- Gaming tables, including but not limited to ping pong, foosball, and pool tables, are prohibited in residential spaces unless provided or expressly approved by Residential Life.
- Tables, including sheets of plywood or other large boards (decorated or undecorated, set up or stored), folding tables, and similar items are prohibited in residential rooms, common areas, and exterior residential spaces unless provided or expressly approved by Residential Life. Unauthorized items may be removed by Institute officials and may result in fines, disciplinary action, and/or charges for removal and disposal.
- The construction or addition of structures, including but not limited to bars/counters, stages, or platforms, is never allowed in rooms, suites, lounges, or exterior areas of residential buildings. These items will be considered in violation of fire safety regulations and will be confiscated and/or disposed of by Middlebury officials, and fines may be assessed.
- Only two non-adjacent walls of any room or space may be covered, and coverage may not exceed 50% of any wall surface. No part of any wall or ceiling may be covered in any way within a distance of 18 inches from the ceiling or nearest fire safety apparatus. Ceilings and fire safety apparatus may not be covered with tapestries or other materials in any way. Other wall hangings, coverings (wallpaper, occupant applied décor, etc), and posters may be prohibited if a fire or egress hazard is created and must be fire-rated when applicable.
- The following items are prohibited: halogen lamps, portable heaters, fuel, wood pellets, dangerous chemicals, flammable liquids, and fuel such as lighter fluid, propane, gasoline, kerosene, and

isobutane, fireworks, hazardous materials, new or burned candles, incense, oil lamps, oil/wax diffusers that sit on lightbulbs or other items with the capability of creating an open flame or burning embers.

- String lights are prohibited, with the exception of LED lights in good working condition (no frayed or bare wires, cracked sockets, loose connections or damaged insulation). LED lights are acceptable ONLY if they are labeled with Underwriters Laboratory (UL) approval. Tags with this information are required to be on each string of lights. LED string lights may not be placed over doorways, on ceilings or wrapped around any sprinkler piping, furniture or other cloth items such as curtains or wall hangings. LED lights, light tape, may not be attached with nails, staples, or directly adhered to walls. LED lights must be plugged directly into an outlet or surge protector and may not be strung together. If it cannot be verified that the lights are LED, the Institute has the right to remove them.
- Possession of flammable decorations including but not limited to combustible vegetation such as wreaths, sawn trees, tree branches, dried out plants, corn stalks and shucks, dry leaves, hay straw, as well as other flammable decorations such as paper streamers, sawdust or wood shavings are prohibited.
- Covering windows with plywood, mattresses, paper, or anything other than Institute-provided window treatments is prohibited due to fire safety and egress concerns.
- Construction of partitions, subdivision of rooms, additions, installations that alter room egress, installation or modification of electrical wiring, life-safety equipment, plumbing, or any alteration to means of egress is prohibited.
- Smoking, vaping, and the use of electronic smoking devices are prohibited in and around the Munras residential building, including rooms, common areas, porches, balconies, decks, and within 25 feet of the building. The use of these products is permitted only in the designated smoking area located near the Munras Avenue driveway entrance. Residents are responsible for properly disposing of smoking materials in designated receptacles.
- Possession or use of grills, electric grills, camp stoves, and portable or erected fire pits is prohibited on or in the residence. Institute-provided grills approved by the appropriate Institute offices are exempt from this policy.
- Lighting a fire without authorization, inside or outside of buildings, other than smoking in authorized outdoor spaces, is prohibited.
- Tampering with or using fire- or life-safety equipment for anything other than its intended purpose is prohibited and subject to fines. These charges will be borne collectively by occupants of the building, room, or floor if the responsible individual(s) cannot be identified. "Fire- and life-safety equipment" includes fire alarms, fire doors, pull stations, extinguishers, hydrants, smoke detectors, smoke detector batteries, carbon monoxide detectors, sprinklers, and building security systems including but not limited to card access, cameras, and room keys or touch code pads. Examples of prohibited activities include but are not limited to hanging or adhering anything (clothing, decorations, lights, etc.) to or from fire and life safety equipment; propping doors open, accessing fire escapes for any purpose other than emergency egress from the building; exiting a building from any opening not specifically intended for egress; covering life safety equipment (smoke detectors, sprinklers, etc) in any manner; discharging fire extinguisher contents for non-fire suppression reasons; activating fire alarms or sprinkler systems without indicators of a fire or threat to safety.
- Students and guests must immediately evacuate a building when a fire, smoke, carbon monoxide, or other emergency alarm sounds. Students are expected to contact Campus Security to report an active alarm once they have reached a safe location.

- Small cooking appliances, including but not limited to air fryers, electric griddles, waffle makers, rice cookers, steamers, and crockpots, may be used only in designated residential kitchen spaces and must be stored in resident rooms when not in use.
- Appliances with exposed heating elements, including but not limited to toasters, toaster ovens, hot plates, sandwich makers, electric frying pans, electric grills, electric woks, popcorn poppers (other than hot-air poppers), and irons without automatic shutoffs, may not be used in resident rooms.
- Only electrical appliances permitted by Residential Life policy may be used within the residential facility. Approved appliances must be plugged directly into a permanently installed electrical outlet and may not be connected through extension cords, outlet splitters, or similar devices unless specifically approved by Residential Life or Facilities Management. Appliances must be maintained in good working condition and may not be used if cords, plugs, insulation, or other electrical components are damaged. All appliances must be attended while in operation and unplugged when not in use.
- The use of fog and smoke machines is prohibited.
- Possession of appliances such as refrigerators (other than Institute-provided mini refrigerators), freezers, washers, or dryers is prohibited.
- Residents may not tamper with, modify, misuse, or attempt to repair Institute-provided appliances, utilities, electrical systems, or telecommunications equipment.
- Personal transportation and recreational equipment, including but not limited to bicycles, scooters, surfboards, canoes, kayaks, and similar oversized items, may not be stored in resident rooms, hallways, stairwells, landings, lounges, or other interior residential spaces. Residents must use designated storage areas approved by Residential Life or Facilities Management. Such items may not be stored in locations that obstruct egress, create safety hazards, or interfere with maintenance and emergency operations. Items found in prohibited locations may be removed by Institute officials without notice. This policy does not apply to mobility devices used as accessibility accommodations.
- Use of the parking garage for vehicle and other transportation storage during the summer and Winter terms requires prior approval from the Security Department.
- The use, storage, or charging of micromobility devices, including e-bikes, e-scooters, e-skateboards, hoverboards, one-wheel devices, and similar battery-powered personal transportation devices, is strictly prohibited within the Munras residence, including the lower parking garage, due to fire and safety risks. Residents must store these devices in designated locations approved by Residential Life. Charging of these devices is prohibited within the Munras residence and the lower parking garage and may occur only at Institute-designated charging stations.

The residential facility is subject to periodic fire inspections to verify compliance with Institute policies and applicable regulations. Residents will be informed of inspection procedures and schedules as appropriate. Items found to be in violation of fire safety regulations may be removed, confiscated, or required to be removed immediately. Violations identified during an inspection may result in fines, disciplinary action, administrative action, and charges associated with removal, repair, replacement, or restoration.

Fire Log

Anytime that there is an accidental or suspicious fire on campus, the information is recorded in the fire log. The logs are organized chronologically by year. Within each log, the incidents are organized chronologically by date, and the most recent occurrence is located at the bottom of the list. The Fire Log is open to the public during normal business hours Monday – Friday 8AM to 5PM at the Institute Security Office and is also available on the [MIIS Security webpage](#).

Fire Safety Statistics

Table 5: Statistics and Related Information Regarding Fires in MIIS Residential Facilities 2023

Student Residential Facilities	911 Address	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries that Required Treatment at a Medical Facility	Number of Deaths Related to Fire	Value of Property Damage Caused by Fire
544 Van Buren	544 Van Buren	0	0	N/A	0	0	0
545 Union	545 Union	0	0	N/A	0	0	0
547 Union	547 Union	0	0	N/A	0	0	0
549 Union	549 Union	0	0	N/A	0	0	0
473 Van Buren	473 Van Buren	0	0	N/A	0	0	0
473½ Van Buren	473½ Van Buren	0	0	N/A	0	0	0
Munras Housing	787 Munras	0	0	N/A	0	0	0

Table 6: Statistics and Related Information Regarding Fires in MIIS Residential Facilities 2024

Residential Facilities	911 Address	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries that Required Treatment at a Medical Facility	Number of Deaths Related to Fire	Value of Property Damage Caused by Fire
544 Van Buren	544 Van Buren	0	0	N/A	0	0	0
545 Union	545 Union	0	0	N/A	0	0	0
547 Union	547 Union	0	0	N/A	0	0	0
549 Union	549 Union	0	0	N/A	0	0	0
473 Van Buren	473 Van Buren	0	0	N/A	0	0	0
473½ Van Buren	473½ Van Buren	0	0	N/A	0	0	0
Munras Housing	787 Munras	0	0	N/A	0	0	0

**Table 7: Statistics and Related Information
Regarding Fires in MIIS Residential Facilities 2025**

Student Residential Facilities	911 Address	Total Fires in Each Building	Fire Number	Cause of Fire	Number of Injuries that Required Treatment at a Medical Facility	Number of Deaths Related to Fire	Value of Property Damage Caused by Fire
544 Van Buren	544 Van Buren	0	0	N/A	0	0	0
545 Union	545 Union	0	0	N/A	0	0	0
547 Union	547 Union	0	0	N/A	0	0	0
549 Union	549 Union	0	0	N/A	0	0	0
473 Van Buren	473 Van Buren	0	0	N/A	0	0	0
473½ Van Buren	473½ Van Buren	0	0	N/A	0	0	0
Munras Housing	787 Munras	1	1	Cooking – Unintentional small kitchen grease fire	0	0	\$0 - \$99

Middlebury Institute Campus Clery Geography

