

Conflicting Financial Interest Disclosure Form

Use this form for all grant proposals **except** those that will be funded by the U.S. Public Health Service (for example, NIH, CDC, and VGN)

Per [Middlebury College policy](#):

<http://www.middlebury.edu/offices/support/grants/sro/policies/COI>

Name: _____ Department: _____

Project Role: ___ Lead Researcher/Principal Investigator/Project Director ___ Senior/Key Personnel ___ Consultant

Lead/PI/PD (if other than above): _____ Sponsor: _____ Project Period: _____

Project Title: _____

Check one of the statements in bold below

Neither I nor my spouse, domestic partner, or dependent children have any significant financial interests that, individually or added together, would reasonably appear to affect or be affected by this project or its results.

A significant financial interest is:

- salary or other payments for services (e.g., consulting fees or honoraria) that, when aggregated for yourself, spouse, domestic partner, and dependent children, exceed \$10,000 per year. *This does not include grant funds paid to you through Middlebury.*
- equity interests (e.g., stocks, stock options, or other ownership interests), including those of your spouse, domestic partner, and dependent children, that either 1) exceed \$10,000 in fair market value OR 2) represent more than 5% ownership interest in any single entity.
- intellectual property rights (e.g., patents, copyrights and royalties from such rights).
- anything else of monetary value.

Significant financial interests do *not* include:

- Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities.
- Income from service on advisory committees or review panels for public or nonprofit entities.
- Salary, royalties or other remuneration from Middlebury.

I am disclosing the following significant financial interests:

Check all that apply AND attach supporting documentation (in an envelope marked CONFIDENTIAL) that identifies the business enterprise or entity involved and the nature and amount of the interest.

- Salary or other payment for services (e.g., consulting fees or honoraria).
- Equity interests (e.g., stocks, stock options, or other ownership interests).
- Intellectual property rights (e.g., patents, copyrights, and royalties from such rights).
- Other significant financial interest that would reasonably appear to affect or be affected by the results of the research or educational activities funded or proposed for funding.

My signature below means that I agree to:

- update this disclosure during the award period AND whenever I acquire new significant financial interests that must be reported.
- cooperate in developing a plan to manage, reduce or eliminate any conflicts of interest.
- comply with any conditions or restrictions imposed by Middlebury College to manage, reduce, or eliminate actual or potential conflicts of interests. I understand that if I fail to comply I must forfeit the award.

Signature _____ Date: _____

If there is Disclosure of Significant Financial Interests:

I have reviewed the significant financial interest disclosure and believe that it will be possible to manage, reduce, or eliminate any actual or potential conflict of interest; therefore, I recommend that that proposal be submitted to the agency at this time.

Controller's Signature: _____ Date: _____